Trust Evaluation System
Self-Governance Compacts

Re-engineered Process
(i.e. Tribal Methodology)
Trust Evaluations

- Required annually for Tribes/Consortiums that manage trust programs under Self Determination Compact agreements. (25 CFR, Part 1000.354)
- Performed by the Office of the Special Trustee for American Indians (OST)
- Criteria (25 CFR, Part 1000.355)
Current Trust Evaluation Process

BEGIN

Annual on-site Tribal Evaluation schedule developed
(Approximately 25 Tribes selected annually)

Tribes selected to be evaluated are notified

OST Auditor(s) travel from Albuquerque, NM to Tribal location(s) to perform evaluation field work (i.e. conduct interviews and scan documentation)

OST Auditor(s) travel back to Albuquerque, NM

OST Auditor(s) analyze evaluation field work information

OST issues draft evaluation report(s) to respective Tribes(s) for comment

OST issues final trust evaluation report(s)

END
**Re-engineered Trust Evaluation Process**

1. **BEGIN**
   - Tribe(s) access web-based application.
   - Tribe(s) respond to evaluation questions and upload documentation.
   - Tribe(s) certify that their responses to evaluation questions and uploaded documentation are accurate.

2. **OST Auditor(s) analyze responses to evaluation questions and uploaded documentation.**
   - Determination made if on-site visit(s) is necessary.
   - **YES**
     - OST Auditor travels from Albuquerque, NM to Tribal location(s).
   - **NO**

3. **OST issues draft evaluation reports(s) to respective Tribe(s) for comment.**
   - OST issues final trust evaluation report(s).

4. **END**
For Tribes/Consortiums

- Less intrusive
- Time to complete the evaluation will be more flexible
- Feedback will be more timely
- Evaluation report will be received more timely

For OST

- Information Technology (IT) is leveraged
- Evaluation processes are streamlined and more efficient
- Travel costs will be significantly reduced
- Overall costs to perform evaluations will be reduced
- More evaluations will be performed with the same amount of resources
Web-based application screenshot
Web-based application screenshot
Accomplishments to Date

- Developed re-engineered evaluation process
- Manually tested the re-engineered evaluation procedures on the 9 pilot tribes
- Refined and finalized evaluation questions.
- Established webpage (www.doi.gov/ost/tribal-methodology) that has up-to-date project status and other pertinent information (i.e. FAQs)
- Web-based application is over 70% complete
Upcoming

User Acceptance Testing
• Tribal Module (05/01 – 05/05/2017)
• Administrative and Auditor Modules (05/08 – 05/12/2017)

Departmental Approvals – In Process
• Security
• - Cloud Hosting
• - Section 508 Compliance

Implementation and Rollout – Fiscal Year 2018
Questions / Comments
Contact Information

John White, Deputy Special Trustee – Program Management
(505) 816-1328
John_White@ost.doi.gov

Elizabeth Wells Shollenberger, Director, Office of Trust Review & Audit
(505) 816-1253
Elizabeth_WellsShollenberger@ost.doi.gov

Cecilia Smith, Management & Program Analyst (Acting)
(505) 816-1259
Cecilia_Smith@ost.doi.gov

For additional information, please visit the project website:
www.doi.gov/ost/tribal-methodology