



# United States Department of the Interior

OFFICE OF THE SECRETARY  
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## FINANCIAL MANAGEMENT MEMORANDUM 2012-007 (AF VIII A)

To: Assistant Secretaries  
Bureau and Office Directors  
Bureau Assistant Directors for Administration  
Bureau Chief Financial Officers  
Audit Liaison Officers

From: Pamela K. Haze *Pamela Haze*  
Deputy Assistant Secretary - Budget, Finance, Performance, and Acquisition

Subject: Guidance for FY 2012 Audit Follow-up Program and Departmental Performance Goals for Implementation of Office of Inspector General (OIG) and Government Accountability Office (GAO) Audit Recommendations

This memorandum transmits the U.S. Department of the Interior's guidance for the Fiscal Year (FY) 2012 Audit Follow-up Program, including the activities and timeframes necessary to comply with the Office of Management and Budget (OMB) Circular A-50, *Audit Follow-up*, and the departmental performance goals for the timely implementation of OIG and GAO audit recommendations and the reduction of repeat audit recommendations.

During FY 2012, the Audit Follow-up Program will focus again on closing all open audit recommendations scheduled to be completed during the fiscal year (or that should have been closed in prior fiscal years) and on minimizing the number of repeat audit recommendations. The instructions in **Attachment 1** address the audit follow-up process, discuss reducing the number of repeat recommendations, and summarize the performance goals for FY 2012.

Key activities in the Audit Follow-up Program include:

- Prepare and submit timely response to the OIG and GAO stating concurrence or non-concurrence to the recommendation(s);
- Prepare appropriate Corrective Action Plans with target dates of implementation;
- Submit Corrective Action Plans and revisions, if applicable, to PFM;
- Implement and close the recommendations timely with adequate supporting documentation;
- Review the Goal Base Report quarterly for accuracy; and
- Submit monthly and quarterly status reports to PFM.

Please refer to Section 4 of the *Internal Control and Audit Followup Handbook*, which details the structure and oversight, the requirements in terms of submitting corrective action plans, the closure of recommendations, and the status reporting format.

We look forward to your cooperation and assistance as we fulfill the Department's Audit Follow-up Program responsibilities during FY 2012. If you have questions or would like to discuss the requirements set forth in this memorandum, please contact Douglas Glenn, Director, Office of Financial Management, at (202) 208-4701 or Eric Eisenstein, Chief, Internal Control and Audit Follow-Up Division, at (202) 208-3417.

Attachments

cc: Finance Officers Partnership  
Assistant Inspector General for Audits

Department of the Interior  
Audit Follow-Up Program  
Fiscal Year 2012 Annual Guidance

***Rating by Materiality/Priority***

During FY 2011, PFM continued the procedure that had been initiated in 2010 to enhance visibility of open audit recommendations. In FY 2012, all OIG-issued audit recommendations again will be rated *high, medium, or low* based on their quantitative or qualitative materiality.

All financial statement audit recommendations will be assigned a quantitative rating based on their level of qualification as identified by the independent auditors. Accordingly, recommendations caused by a finding elevated to a material weakness will be assigned a *high* rating and all significant deficiencies a *medium* rating. All other financial statement recommendations will be rated *low*.

Similarly, all program related audit recommendations will be rated *high, medium* (the default rating), *or low* based on their qualitative materiality as determined by management's judgment.

GAO audit recommendations will be assigned a *medium* rating which may be subject to an upgrade or downgrade based on circumstances (e.g., deficiencies identified on GAO's 2011 *High-Risk Series* will be rated *high*).

Special focus will be placed on corrective actions for implementing all recommendations rated *high*. Higher rated recommendations will be more heavily weighted in the evaluation of how well the bureaus and offices are implementing audit recommendations.

***Quarterly Goal Base Review***

The bureau and office audit liaison officers must review the Goal Base Report to ensure its accuracy within five workdays after the end of every quarter. It is critical that all bureaus and offices achieve the 85 percent weighted completion goal set for implementation of audit recommendations by fiscal year-end. As discussed on Page 3, closing a high-rated recommendation counts 50 percent more than a medium-rated one, while closing a low-rated recommendation counts half as much as a medium-rated one, for calculating progress toward the 85 percent target.

***Mid-year Progress and Year-end Issue Resolution Meetings***

PFM hosts mid-year and year-end ICAF meetings with bureau management. These help to ensure sufficient progress in implementing audit recommendations. As necessary, PFM will host additional goal base status meetings with bureau management and/or Audit Liaison Officers.

Bureaus and offices are required to participate in the mid-year meetings with Policy, Management and Budget (PMB), Budget, Finance, Performance and Acquisition (BFPA), PFM, and OIG staff in May 2012. The purpose of the meetings is to review program performance and discuss/resolve

material weaknesses, non-compliances, internal and external audit corrective action issues, and other matters. PFM may schedule additional progress-review meetings, as necessary.

In addition, bureaus and offices are required to participate in year-end issue resolution meetings with PFM and OIG staff in October 2012. The meeting is held, among other purposes, to review the final status of bureau and office Departmental goals for audit recommendation corrections and to discuss/resolve any pending issues.

### **Performance Goal Base**

#### ***OIG and GAO Open Recommendations***

The Department's FY 2012 performance goal for timely implementation of audit recommendations requires bureaus and offices to implement and close 85 percent of weighted OIG and GAO audit recommendations targeted for implementation during the fiscal year. The recommendations that remain open from prior years are included in the 85 percent weighted implementation goal base. The 85 percent implementation goal base includes the financial statement audit recommendations referred to PFM for tracking and/or resolution prior to March 31. If the OIG referral is received by PFM after March 31, it must be implemented and completed by December 31 and therefore will not be part of the goal base.

Managers should regularly emphasize the **timely** closure of audit recommendations and ensure that appropriate corrective action plans are established and implemented. Recommended intermediate goals to facilitate meeting the weighted annual closure goal are: 25 percent closed by January 31, 2012; 40 percent closed by March 31, 2012; 60 percent closed by June 30, 2012; and 75 percent closed by August 31, 2012. Regular and structured monitoring helps to ensure that the Department meets the performance goal for the Audit Follow-up Program and other Office of Management and Budget (OMB) requirements.

**Attachment 4** is the goal base spreadsheet that lists the opening balance by bureau. The goal base includes audit recommendations issued in FY 2011 and recommendations issued prior to FY 2011 that have not been implemented. For all recommendations currently showing "TBD" as a target date, management **must** establish, by January 31, 2012, a specific target date. If the proposed completion dates exceed the one year target, the bureau Chief Financial Officer (CFO) or office Director must provide a full justification to PFM.

**Attachment 5** is the detailed listing from the Department's audit follow-up database. In the listing, the recommendations included in the FY 2012 goal base are noted. The targeted implementation dates are generally based on initial bureau/office concurrences and milestones. Requests for extending the original target date must be submitted by the bureau CFO to PFM in writing with appropriate justification.

The Department's objective is to complete implementation of all OIG audit recommendations within one year of referral to PFM for resolution and closure. There are certain instances in which management cannot complete implementation of an audit recommendation within the one-year timeframe. It is, however, management's duty to establish realistic completion dates that are achievable. PFM tracks recommendations based on the target dates proposed by the responsible bureau/office and forms the basis of its inclusion in the current year goal base.

Recommendations from the final FY 2011 financial statement audit reports and management letters are not included in Attachment 5. PFM will enter them into the tracking system and goal base when the OIG refers them to PFM for resolution and/or implementation. Referrals that are received after March 31, 2012, will be added to the FY 2013 goal base for completion by December 31, 2012, unless a bureau/office indicates to PFM that the recommendation is expected to be implemented prior to the end of the current fiscal year.

PFM will count towards the audit follow-up performance goal any referred recommendations from OIG or GAO audit reports issued **during** FY 2012 that bureaus and offices implement and close before the end of the fiscal year regardless of their original target date. Open audit recommendations that are not scheduled to be implemented within the fiscal year will become part of the goal base for the fiscal year in which they are scheduled to be implemented.

To determine audit follow-up performance in FY 2012, PFM will assign 'high-rated' audit recommendations a weight of 1.5, 'medium-rated' ones 1.0, and 'low-rated' ones 0.5. PFM will calculate periodically the weighted implementation percentage for each bureau/office, with the FY-end goal of 85 percent.

PFM will notify the bureaus/offices when OIG audit reports are referred to PFM for tracking and when PFM submits the statement of actions in response to GAO audit recommendations.

### ***Reduction in Repeat Findings***

Another Departmental goal is to minimize repeat financial audit findings. Repeat audit findings generally occur when corrective actions either were not effectively implemented or did not address the root cause of the problem. We must ensure that corrective actions taken to close audit recommendations directly address the root cause of the finding cited. When bureaus/offices submit documentation to close audit recommendations, they must evaluate whether the actions taken will result in "no finding" next fiscal year. If the actions taken are not likely to accomplish this, the bureau/office should identify additional actions necessary to implement the recommendation, include the additional actions in their corrective action plan, and establish realistic timeframes to accomplish the actions. Open audit recommendations will not be closed until all actions that are likely to result in a "no finding" are complete.

As the OIG refers the FY 2011 financial audit recommendations to PFM, recommendations that are repeated from 2010 will be identified in the audit follow-up tracking system. Progress on closing these recommendations will be discussed as a special interest item at meetings.

PFM will monitor bureau and office performance in meeting the 85 percent weighted closure goal with minimal repeat audit finding and may report the results in a scorecard format to the Assistant Secretary – PMB, appropriate Assistant Secretaries, and Bureau Directors.

### **Corrective Action Plans**

#### ***Financial Statement Findings and Recommendations:***

Corrective Action Plans (CAPs) for financial statement audit-identified material weaknesses and noncompliance issues as well as bureau/office-identified financial material weaknesses and

noncompliance issues are due to PFM within 30 calendar days after issuance of the final report. For all financial statement audit-identified items referred to PFM before March 31, 2012, CAPs with monthly milestones and completion dates no later than June 30, 2012, must be provided to PFM within the prescribed 30-day period unless a written extension request is approved by PFM. Financial statement audit-identified deficiencies referred to PFM by the OIG between March 31, 2012, and June 30, 2012, must be completed / closed no later than December 31, 2012.

It should be noted that a Notice of Finding and Recommendation (NFR) issued by the independent auditors to a bureau or office during the financial statement audit may not necessarily result in the same finding and recommendation being issued in the financial statement audit report or management letter report. Although it is important that the bureau or office associated with the finding take corrective actions towards addressing the concurred-to recommendation in the NFR, PFM only tracks audit recommendations that are issued in the report and that are later referred by the OIG through a referral memo. Therefore it is important that bureaus or offices review the actual recommendations issued in the report and ensure that these relate to the corrective actions concurred to during the NFR phase. It is also important to note that based on the response to the NFR, the wording of the recommendation may change in the report.

***Program Audit and Evaluation Findings and Recommendations:***

CAPs for OIG program audit recommendations must be submitted with the response to the OIG within 30 days.

CAPs for GAO audit recommendations must be submitted within 60 calendar days after the final report is issued.

The Corrective Action Plan template (**Attachment 2**) must be used to develop corrective action plans for monthly and quarterly reporting purposes.

Recently, PFM issued a memo titled *Mandatory Submission for Review of Draft Responses to Audit Reports*. The memorandum directs bureaus and offices to submit to PFM, for a limited review, responses to the reports issued by the OIG. PFM's limited review will help ensure that responses are timely and that the key elements such as concurrence/non-concurrence to the recommendation(s) and a corrective action plan that identifies the target date for implementation and responsible official are part of the draft response. PFM will not participate in developing or endorse these responses and corrective action plans. Rather, PFM will remain impartial so that it can later objectively issue resolution and closure determinations, as necessary.

**Closing Corrective Actions and Recommendations**

Bureaus and offices are to submit audit recommendation closure requests with appropriate supporting documentation (in electronic format, if possible) to PFM. PFM will review each request, make a determination regarding closure, and issue a closure memorandum to the OIG, if deemed appropriate. Bureaus are not to communicate directly with the OIG or GAO regarding closure of open audit recommendations. The corrective action completion request must identify the report finding, the audit report recommendation number, actions taken, and rationale for closure. If PFM believes that the supporting documentation is not sufficient to close a recommendation, PFM will deny the closure request and may ask that the bureau provide

additional information to support the closure request. Closure requests should be submitted as corrective actions are completed and not delayed until the end of the quarter.

Bureaus or offices that nonconcur with audit recommendations must provide to PFM for resolution any justification beyond or in support of their response to the OIG. Nonconcurrency requests can include alternative suggested actions. Additional information from the nonconcurring offices must be provided to enable PFM to arrive at a resolution. If PFM determines the nonconcurrency to be justified, PFM will issue a resolution memorandum to the OIG explaining that it is closed based on the justification. If PFM determines that the nonconcurrency by the bureau/office is not justified, PFM will issue a resolution memorandum to the bureau/office in question enforcing the implementation of OIG's recommendation. In some cases, PFM will hold a meeting with representatives from the bureau/office and the OIG to discuss the matter and reach consensus.

When a GAO report is issued with recommendations for executive action, the affected bureau will respond to the Congressional requestor(s) with a plan of action, responsible official, and a target date of implementation. GAO allows up to four years to implement a recommendation, but most concurred-with recommendations should be implemented within one year. Corrective actions toward implementing any GAO recommendation must be planned to be accomplished within four years from issuance of the report. Otherwise, GAO will record the recommendation as 'closed – not implemented.'

### **Status Reporting**

#### ***Monthly Status Reports***

Beginning in **December 2011**, on the last working day of each month, bureaus/offices must submit to the Director, PFM, via e-mail or facsimile, a status report of their CAP on **identified material weakness or non-compliance items**, indicating which monthly milestones are completed. If milestones are missed, bureaus/offices must provide to PFM, a concise, written statement with the reasons for missing the milestone and the actions that are planned to actually complete the milestone during the subsequent month.

Monthly status reports, to include status against corrective action plans, will be required only for:

- Auditor-identified material weaknesses;
- Auditor-identified noncompliance issues;
- Bureau/office-identified material weaknesses or noncompliance issues, including Appendix A financial reporting weaknesses.

#### ***Quarterly Status Reports***

Bureaus and offices must provide to PFM, within 15 days after the end of each quarter (except for the fourth quarter, which must be submitted by September 30), a status report on all OIG and GAO audit recommendations, external audits (single audits and grants), financial statement recommendations, and internal control issues reported on the issue log. The quarterly report must summarize all findings, target completion dates, responsible official, status of implementation, and percent completed towards remediation of the finding. The first report is due for the quarter ending **December 31, 2011**. (**Attachment 3** contains template for reporting the status of all external audit recommendations.)

Quarterly status reports must include all monthly reporting items plus:

- All other OIG recommendations from financial statement audits (including significant deficiencies), program audits, and evaluations;
- GAO audit recommendations; and
- A-123, Appendix A Issue Log or assessment summary.





OIG AND GAO AUDIT RECOMMENDATIONS									
FY 2011 DEPARTMENTAL PERFORMANCE GOAL FOR CLOSING AUDITS									
As of November 18, 2011									
BUREAU	Beginning Balance OIG	Additions OIG	Beginning Balance GAO	Additions GAO	COUNT		WEIGHTED		
					TOTAL RECS	IMPLEMENTED	OPEN	IMPLEMENTED	GOAL BASE %
DEPT/OS	18		16		34		34.0	0.0	0%
NBC	2		0	1	3		3.0	0.0	0%
OST	1		0		1		1.0	0.0	0%
ONRR	3		3		6		6.0	0.0	0%
IA	12	1	3		16	1	13.5	1.0	7%
NPS	7		1		8		8.0	0.0	0%
FWS	6		5		11		10.5	0.0	0%
BLM	23		25		48	1	66.0	1.0	2%
BOEM	2		0		2		3.0	0.0	0%
BSEE	38		6		44		66.0	0.0	0%
OSM	2		0		2		2.5	0.0	0%
BOR	1		0		1		1.0	0.0	0%
USGS	0		2		2		2.0	0.0	0%
<b>TOTAL</b>	<b>115</b>	<b>1</b>	<b>61</b>	<b>1</b>	<b>178</b>	<b>2</b>	<b>216.5</b>	<b>2.0</b>	<b>1%</b>
Departmental Annual Performance Goal = 85% (weighted)									
While OIG recommendations are weighted .5, 1.0, or 1.5 according to their materiality and/or level of qualification assigned by the auditors, all GAO recommendations have a weight of 1.0 except for the ones related to oil and gas program.									

## ATTACHMENT 5

MASTER LIST OF REFERRED OIG AUDIT RECOMMENDATIONS BY BUREAU AND CURRENT TARGET DATE											
As of November 16, 2011											
Type of Audit	REPORT NUMBER	RECOMMENDATION NUMBER	BUREAU	OS Office	SUBJECT	FY '12 GoalBase	Original Target Date	Current Target Date	Completion Date	Quantitative Materiality (High, Medium, or Low)	Qualitative Materiality (High, Medium, or Low)
Program	C-EV-MOA-0009-2008	3.a	BLM		The Department work with BLM and MMS to identify the best existing system (either MMS or BLM system) for lease management and develop the capability for both bureaus to access and use this system, thus eliminating multiple systems, the need for manual reporting between the bureaus, and the attendant data integrity problems that arise.	v	09/30/2010	6/30/2012			H
Program	C-IN-MOA-0001-2009	1	BLM		The Director of BLM should: 1. Develop and implement a plan to identify, prioritize, and conduct surveys on those lands, both federal and Indian, with the highest risk boundaries. This plan should include, at a minimum: a. the use of standardized, high-risk, boundary attributes such as those identified in BLM's current project on risk identification. b. how high value issues identified while performing surveys will be resolved.	v	09/30/2012	9/30/2012			M
Program	C-IN-MOA-0001-2009	2	BLM		Develop and implement a plan to increase the scope of cost reimbursable cadastral surveys to include evaluating significant boundary risk attributes.	v	09/30/2012	9/30/2012			M
Program	C-IN-MOA-0001-2009	3	BLM		Explore with Congress the potential to retain a portion of any revenues recovered as a result of surveys performed. If approved, use the additional funding to cover the cost of performing self-initiated cadastral surveys on lands with high risk boundaries.	v	11/30/2010	11/30/2010	10/24/2011		M
Program	C-IN-MOA-0001-2009	6	BLM		Require that costs for oversight of significant commercial projects be recovered from the commercial entities.	v	04/30/2011	4/30/2011			M
Program	C-IN-MOA-0001-2009	8	BLM		Expand the procedures for boundary evidence models found in the Departmental Manual chapter Standards for Indian Trust Lands Boundary Evidence? (303 DM 7.9) to include conducting less costly boundary resolutions or assurances to all bureaus department-wide.	v	11/30/2010	11/30/2010			M
Program	C-IN-MOA-0004-2007	4	BLM		Identify and resolve trespassing on abandoned mine sites and assess and mitigate hazards associated with these sites.	v	9/30/2010	9/30/2010			H
Program	C-IN-MOA-0004-2007	5	BLM		Validate existing inventory data and develop procedures for ongoing data collection to ensure that data in the inventory is complete, accurate, and consistent.		09/30/2015	9/30/2015			H

Type of Audit	REPORT NUMBER	RECOMMENDATION NUMBER	BUREAU	OS Office	SUBJECT	FY '12 GoalBase	Original Target Date	Current Target Date	Completion Date	Quantitative Materiality (High, Medium, or Low)	Qualitative Materiality (High, Medium, or Low)
Program	C-IN-MOA-0004-2007	7a	BLM		Implement immediate temporary or permanent measures to mitigate known dangerous sites, including those identified in Appendix A of this report.	v	09/30/2012	9/30/2012			H
Program	C-IS-BLM-0018-2010	1	BLM		There is urgent and aggressive focus on research and testing of improved population control methods to balance wild horse and burro population growth with adoption demand, thereby minimizing the need for additional long-term holding facilities and preserves.		9/30/2013	9/30/2013			M
Program	C-IS-BLM-0018-2010	2	BLM		There is an ambitious effort to minimize and reduce over the long term the need for short- and long-term storage facilities.		12/31/2014	12/31/2014			M
Program	C-IS-BLM-0018-2010	3	BLM		The best science for wild horse and burro management and needed new research is coordinated with and confirmed by the National Academy of Sciences and the results put into practice.		12/31/2013	12/31/2013			M
Program	CR-EV-BLM-0001-2009	4	BLM		Establish criteria and eligibility requirements for more industry self-inspections, including specific criteria and verification that self-inspections are adequately completed. Operators with a history of significant and chronic violations should not be permitted to perform self-inspections.		10/31/2012	10/31/2012			H
Program	CR-EV-BLM-0001-2009	5	BLM		Develop and implement standardized and written oversight inspection and file documentation protocols to improve the quality of inspections. These protocols should include increasing the number of oversight inspections conducted by supervisors and requiring evidence in the inspection report files to demonstrate inspections were actually performed.	v	12/31/2011	12/31/2011			H
Program	CR-EV-BLM-0001-2009	6	BLM		Conduct periodic peer reviews that evaluate the overall performance of the I&E Program at state and field offices.	v	10/31/2011	10/31/2011			H
Program	CR-EV-BLM-0001-2009	7	BLM		Ensure that oil and gas regulations are current by updating and issuing onshore orders, and formally publishing environmental inspection policies.	v	06/30/2012	6/30/2012			H
Program	CR-EV-BLM-0001-2009	8	BLM		Improve the consistency and timely resolution of enforcement actions by issuing enforcement actions and following up on those findings according to established policy.	v	10/31/2011	10/31/2011			H
Program	CR-EV-BLM-0001-2009	9	BLM		Enhance the deterrent for operator noncompliance by increasing the dollar amount of monetary assessments, seeking congressional action for increasing civil penalties, and expanding the infractions for which immediate assessments may be issued.	v	06/30/2012	6/30/2012			H

Type of Audit	REPORT NUMBER	RECOMMENDATION NUMBER	BUREAU	OS Office	SUBJECT	FY '12 GoalBase	Original Target Date	Current Target Date	Completion Date	Quantitative Materiality (High, Medium, or Low)	Qualitative Materiality (High, Medium, or Low)
Program	CR-EV-BLM-0001-2009	10	BLM		Develop and implement an AFMSS Data Integrity Plan to ensure the reliability of inspection data in AFMSS. The Plan should include data entry controls, expediting data entry, and enabling inspectors to enter data remotely.	v	06/30/2012	6/30/2012			H
Program	CR-EV-BLM-0001-2009	12	BLM		Develop and implement a PET Retention Plan and consider continued service agreements requiring PETs to remain under BLM employment for a specified number of years following certification.	v	12/31/2011	12/31/2011			H
Program	CR-EV-BLM-0002-2009	2	BLM		Develop and distribute supplemental guidance on implementing the IM throughout the Bureau and update the BLM Handbook (H-3120-1, Competitive Leases) to incorporate the new guidance as soon as possible.	v	12/31/2010	12/31/2010			H
Program	CR-EV-BLM-0002-2009	3	BLM		If BLM does not implement the policy to make the entire bonus bid a debt to the U.S., BLM should increase the amount due on the day of sale from \$2 per acre to a specified percentage (e.g. 20 percent) of the bonus bid to be determined by BLM.	v	10/31/2010	9/30/2012			H
Program	CR-EV-BLM-0002-2009	5	BLM		Work with Congress to revise or amend the Mineral Leasing Act of 1920 to eliminate the requirement for quarterly oral auctions and allow for alternative auction processes, e.g., sealed bid or Internet.	v	12/31/2011	12/31/2011			H
Program	CR-IS-MOA-0004-2009	1.BLM	BLM		BLM and MMS develop and implement clear, consistent, national beneficial use guidance and requirements, as detailed in the June 11, 2009 OIG Management Advisory. Such guidance should include a requirement for prior written approvals for all beneficial use that contain a description of the equipment involved, as well as an estimated volume of the gas and oil designated for beneficial use purposes.	v	03/31/2012	3/31/2012			H
Program	CR-IS-MOA-0004-2009	4.BLM	BLM		BLM and MMS develop and implement a joint verification process that includes a comparison of reported beneficial use volumes to actual measured volumes.	v	03/31/2011	8/31/2012			H
Program	WR-IN-BLM-0003-2010	2	BLM		Reexamine the existing cost allocation and evaluate other approaches for cost allocation as the end of the term of the CHEU and associated agreements approaches. If additional capital investments are to be made to maintain the operational integrity of the of the Cliffside Reservoir, pipeline, and other facilities, careful and systematic consideration should be given to evaluating cost allocation issues.		09/30/2015	9/30/2015			M
Program	WR-IN-BLM-0003-2010	3	BLM		Ensure that the arrangements with CRLP and refiners are transparent and easily explainable, including cost rates and billing processes.	v	01/31/2012	1/31/2012			M

Type of Audit	REPORT NUMBER	RECOMMENDATION NUMBER	BUREAU	OS Office	SUBJECT	FY '12 GoalBase	Original Target Date	Current Target Date	Completion Date	Quantitative Materiality (High, Medium, or Low)	Qualitative Materiality (High, Medium, or Low)
Program	WR-IN-BLM-0003-2010	4	BLM		Create clear definitions for routine and major maintenance and clear delineation for when funds should be used. Develop a system to track major maintenance funds provided to CRLP to ensure that funds are being used in a way that is consistent with the cooperative agreements. In addition, a thorough review of all costs paid to date should occur to verify that all funds have been accounted for and appropriately spent.	v	01/31/2012	1/31/2012			M
Program	WR-IN-BLM-0003-2010	5	BLM		Reexamine the reasonableness of the CHEU investment fee, given the expected risk and liability assumed by CRLP, and the appropriate guidance provided by OMB Circular A-94. All excessive payments should be reevaluated and suspended. Any future agreements created for the continuance of mission-related work should not include a profit measure.		TBD	TBD			M
ML	X-IN-MOA-0003-2011	2.a.BLM.106	BLM		Continue to train individuals on preparing and evaluating accounts payable estimates. (NFR DOI-2010-106)	v	06/30/2011	6/30/2011		L	
<b>TOTAL BLM</b>											
Program	CR-EV-MMS-0015-2010	3	BSEE		Develop a comprehensive and current handbook to compile and standardize policies and practices designed to assist permit reviewers in carrying out their responsibilities.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	6	BSEE		Develop procedures for reviewing departure requests that would standardize the process and ensure operators justify the requests based on concerns for well control; properly developing a lease; conserving natural resources; or protecting life, property, or the marine, coastal, or human environment.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	7	BSEE		Reevaluate departures previously or routinely granted to ensure that they can be justified according to the criteria for departures.	v	06/30/2012	6/30/2012			H
Program	CR-EV-MMS-0015-2010	8	BSEE		Compile a comprehensive and current handbook of all policies and practices designed to assist inspectors in carrying out their responsibilities.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	9	BSEE		Develop an inspection program with strong representation at all levels of the Bureau. The program should facilitate good intra-agency communication in order to promote consistency, effectiveness, and efficiency and should provide strong support to the front-line inspectors.	v	12/31/2011	12/31/2011			H

Type of Audit	REPORT NUMBER	RECOMMENDATION NUMBER	BUREAU	OS Office	SUBJECT	FY '12 GoalBase	Original Target Date	Current Target Date	Completion Date	Quantitative Materiality (High, Medium, or Low)	Qualitative Materiality (High, Medium, or Low)
Program	CR-EV-MMS-0015-2010	13	BSEE		Clarify the criteria for what constitutes unannounced inspections. Review and clarify the current policies under which unannounced inspections can be performed, including the U.S. Coast Guard MARSEC restrictions, and special notification arrangements with certain companies, so that unannounced inspections can be conducted to the greatest extent practicable.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	14	BSEE		Identify critical operations conducted on all BOEMRE regulated facilities, and require that operators notify the Bureau about the timing of these operations so that inspectors can view operations first hand to the greatest extent practicable.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	18	BSEE		Analyze the benefits of obtaining electronic access to real-time data transmitted from offshore platforms/drilling rigs, such as operators' surveillance cameras and BOP monitoring systems, and/or other automated control and monitoring systems to provide BOEMRE with additional oversight tools.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	19	BSEE		Update all inspection forms to ensure they reflect all aspects of the inspection and accurately reflect new technology.	v	06/30/2012	6/30/2012			H
Program	CR-EV-MMS-0015-2010	20	BSEE		Analyze ways to perform inspection activities more efficiently by using current technological tools, such as online review of reports and records, and by using mobile technology in the field.	v	06/30/2012	6/30/2012			H
Program	CR-EV-MMS-0015-2010	21	BSEE		Information technology systems should be considered within the context of the reorganization. Specifically, BOEMRE should examine whether TIMS can be upgraded to meet business requirements and address user performance concerns by leveraging more current, web-based, user friendly technologies together with existing tools already within the Department. BOEMRE should carefully consider factors such as speed, performance requirements, and cost-effectiveness.	v	06/30/2012	6/30/2012			H
Program	CR-EV-MMS-0015-2010	22	BSEE		Implement a Bureau-wide certificate or accreditation program for inspectors. Consider partnering with BLM and its National Training Center to establish a Department oil and gas inspection certification program, with training modules appropriate to the offshore environment as needed.	v	06/30/2012	6/30/2012			H
Program	CR-EV-MMS-0015-2010	23	BSEE		Develop a standardized training program similar to other Department bureaus to ensure that inspectors are knowledgeable in all pertinent regulations, policies, and procedures. Ensure that annual training keeps inspectors up-to-date on new technology, policies, and procedures.		06/30/2013	6/30/2013			H

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Program	CR-EV-MMS-0015-2010	27	BSEE		Develop and implement clear rules of engagement for operations that are transparent to all entities, including both BOEMRE and industry personnel, particularly relating to industry exerting pressure on inspectors.	v	06/30/2011	6/30/2011			H
Program	CR-EV-MMS-0015-2010	30	BSEE		Ensure that BOEMRE managers support and enforce established rules of engagement and ethics rules.	v	06/30/2011	6/30/2011			H
Program	CR-EV-MMS-0015-2010	31	BSEE		Reevaluate the full range of enforcement actions, including INCs, civil penalties, and lease suspensions and cancellations to determine whether enforcement actions deter violations. For example, BOEMRE should consider sanctions for repeat offenders.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	32	BSEE		Ensure that inspectors have the appropriate technology, resources, and management support for the issuance and defense of INCs.	v	06/30/2012	6/30/2012			H
Program	CR-EV-MMS-0015-2010	33	BSEE		Evaluate INCs to determine which, if any, are appropriate for an automatic assessment of a fine and how much the fine should be. BOEMRE's evaluation could be informed by a review of other regulatory agencies.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	34	BSEE		Develop a public notification policy for INCs issued.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	36	BSEE		Evaluate the rates and the structure of the civil penalty program and, if necessary, initiate the legislative or rulemaking process to ensure that penalties are appropriately tied to the severity of the violation.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	38	BSEE		Require on-site follow-up inspections, or other forms of evidence, to document that operators have made the required corrections to INCs.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	39	BSEE		Improve the INC documenting and tracking system so the status and resolution of INCs are fully documented, properly tracked, and corrected.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	40	BSEE		Consider updating the INC form and other operational reporting documents to require operators to certify under penalty of perjury that all information submitted to the Bureau is accurate.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	41	BSEE		Consider changing the approval process for returning a facility or component to operation by limiting who has approval authority, creating a system for tracking approvals and disapprovals, and ensuring that all 78 staff who have approval authority have access to and properly use the tracking system.	v	06/30/2011	6/30/2011			H
Program	CR-EV-MMS-0015-2010	44.a	BSEE		Consider creating a review panel within BOEMRE to resolve all conflicts regarding information requests, mitigation determinations, and remediation efforts.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	45	BSEE		Consider giving Environmental Section supervisory staff INC approval authority for issues related to the environmental regulations they oversee.	v	12/31/2011	12/31/2011			H

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Program	CR-EV-MMS-0015-2010	46	BSEE		Review the adequacy of access rights to TIMS and the training of environmental staff in its use.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	50	BSEE		Develop and implement internal procedures to fully conduct and document accident investigations, including planning, basic investigation, evidence gathering protocol, and supervisory review.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	51	BSEE		To supplement existing ethics requirements and recusal policy, create an independence policy for all accident investigation personnel that includes certifications signed by investigation personnel, prior to commencing work on a particular investigation, affirming the absence of any conflicts of interest.	v	06/30/2011	6/30/2011			H
Program	CR-EV-MMS-0015-2010	53	BSEE		Establish a system to track investigation recommendations for implementation and verify that they have been implemented.	v	06/30/2012	6/30/2012			H
Program	CR-EV-MMS-0015-2010	54	BSEE		Develop a dynamic regulatory framework that provides for interim and continuing guidance to operators, ensures the proper use of NTLs, addresses gaps and inconsistencies within BOEMRE regulations, and reconciles related Bureau regulations.	v	06/30/2012	6/30/2012			H
Program	CR-EV-MMS-0015-2010	55	BSEE		Ensure that BOEMRE has sufficient staff with the expertise needed to review and vet standards developed by industry group subject matter experts to determine the extent to which those standards should be used in developing regulations.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	57	BSEE		Consulting with technical experts, conduct further analysis of the effects of water depth on equipment and operations, and determine the adequacy of current regulations.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	58	BSEE		Draft a new Memorandum of Agreement with the U.S. Coast Guard, EPA, and other interested agencies, requiring appropriate participation of all parties in the review of OSRPs and any related drills or exercises.	v	06/30/2012	6/30/2012			H
Program	CR-EV-MMS-0015-2010	59	BSEE		Develop a review process for OSRPs that incorporates risk-based and other strategies to ensure that all critical information and spill scenarios are included in the OSRP by operators, and are comprehensively reviewed and verified by BOEMRE and/or other appropriate officials.	v	03/31/2012	3/31/2012			H
Program	CR-EV-MMS-0015-2010	60	BSEE		Determine and ensure technical expertise necessary for staff to conduct comprehensive reviews of OSRPs.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	63	BSEE		Review calculations for worst-case discharges, with input from the Flow Rate Technical Group, and make recommendations for changes to 30 C.F.R. 254.47, as appropriate.	v	12/31/2011	12/31/2011			H

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Program	CR-IS-MOA-0004-2009	1.BOEM	BSEE		BLM and MMS develop and implement clear, consistent, national beneficial use guidance and requirements, as detailed in the June 11, 2009 OIG Management Advisory. Such guidance should include a requirement for prior written approvals for all beneficial use that contain a description of the equipment involved, as well as an estimated volume of the gas and oil designated for beneficial use purposes.	v	03/31/2011	8/1/2012			H
Program	CR-IS-MOA-0004-2009	4.BOEM	BSEE		BLM and MMS develop and implement a joint verification process that includes a comparison of reported beneficial use volumes to actual measured volumes.	v	03/31/2011	3/31/2011			H
<b>TOTAL BSEE</b>											
Program	CR-EV-MMS-0015-2010	43	BOEM		Explore and encourage other processes, policies, and incentives that promote a culture of balanced stewardship and evaluate existing policies and practices that may impede the ability to achieve this balance.	v	12/31/2011	12/31/2011			H
Program	CR-EV-MMS-0015-2010	44.b	BOEM		Consider creating a review panel within BOEMRE to resolve all conflicts regarding information requests, mitigation determinations, and remediation efforts.	v	12/31/2011	12/31/2011			H
<b>TOTAL BOEM</b>											
Program	97-I-1305	3	FWS		D/Refug Op in LEMIS	v	1/30/2002	12/31/2011			L
Program	C-IS-FWS-0007-2010	2	FWS		Inspect all remaining FWS sites that house museum collections and correct and/or mitigate, to the greatest extent possible, all identified deficiencies.	v	12/31/2011	12/31/2011			M
Program	K-CX-FWS-0002-2011	1	FWS		We based our determination of questioned and unsupported costs on our review and evaluation of the contractor's claimed amounts. We questioned costs that were not allowable under terms of the contract and applicable provisions of the FAR or that appeared to be unreasonable. In addition, we identified costs as unsupported when sufficient documentation related to the costs was not available for review. Of the \$618,460 in costs claimed by the contractor, we questioned \$147,145 and classified \$140,557 as unsupported.		TBD	TBD		M	
Program	WR-VS-MOA-0013-2011	7	FWS		Require accurate reporting of CCS Program accomplishments, including the federal to non federal matching ratio, to Congress.	v	3/30/2012	3/30/2012			M
Program	WR-VS-MOA-0013-2011	8	FWS		Require periodic management control reviews be performed on the CCS program to ensure that bureaus have complied with all existing Program policies and procedures.		12/31/2012	12/31/2012			M
Program	X-IN-FWS-0007-2010	1	FWS		Implement appropriate internal controls to ensure that the expenditures & obligations in the administration of the Act are properly reviewed and approved.	v	11/15/2011	11/15/2011			M

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Program	X-IN-FWS-0007-2010	2	FWS		Develop & implement policies & procedures to ensure that appropriate documentation is properly maintained to support the expenditures & obligations were transacted in accordance with the requirements of the Act.	v	11/15/2011	11/15/2011			M
Financial	X-IN-MOA-0004-2010	A.2.FWS.401	FWS		IT Controls Over Financial Management Systems: Segregate software development and change responsibilities, segregate conflicting user responsibilities, test and document testing of application changes, prepare and review application change audit logs, and apply the latest security updates.	v	06/30/2011	6/30/2011		M	
<b>TOTAL FWS</b>											
Program	ER-IN-BIA-0014-2009	1	IA-BIA		Ensure that the Office of Justice Services (OJS) implement proper procedures to accurately track and report training information.	v	09/30/2011	9/30/2011			L
Program	ER-IN-BIA-0014-2009	2	IA-BIA		Provides the PSR and supporting information to the OIG in sufficient time (Dec. 31 of each year or earlier) to meet the reporting deadline.	v	12/21/2010	12/21/2010			L
Program	ER-IN-BIA-0014-2009	4	IA-BIA		Reviews on a test basis the accuracy of support for reported information.	v	03/31/2011	3/31/2011			L
Program	ER-IN-BIA-0016-2009	1	IA-BIA		BIA, in coordination with SOL, should determine when to use each of the following agreements with tribes: P.L. 93-638 self-determination contracts, P.L. 93-638 cooperative agreements, and non-P.L. 93-638 cooperative agreements, as well as when to use other funding mechanisms. BIA should then establish and implement appropriate policy.	v	3/31/2012	3/31/2012			L
Program	ER-IN-BIA-0016-2009	2	IA-BIA		BIA should develop and require the use of a standardized template ofr each type of tribal agreement (funding mechanism). Each template should provide clear instructions to ensure consistency and must identify, at a minimum: a) Which responsibilities are to be performed by a tribe and which by BIA; b) How and when the responsibilities of each party are to be carried out; c) What costs can be charged to the agreement; d) What invoicing and billing procedures to follow, including timing of invoice submission; and e) What documentation/support is to be provided and maintained.	v	3/31/2012	3/31/2012			L

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Program	ER-IN-BIA-0016-2009	3	IA-BIA		BIA Headquarters should conduct regularly scheduled fire preparedness reviews of regional offices. Regions should be reviewed at least every 5 years, although more frequently would be preferable.		12/31/2012	12/31/2012			L
Program	ER-IN-BIA-0016-2009	4	IA-BIA		BIA should develop and implement guidance on performance of thorough financial management reviews. Guidance should require inclusion of a financial management review in each regular, regional fire preparedness review of an agency/tribal office.	v	3/31/2012	3/31/2012			L
Program	ER-IN-BIA-0016-2009	5	IA-BIA		BIA should establish and implement procedures for the regular analysis of fire suppression financial data, whether performed by BIA Headquarters or by regional offices.	v	12/31/2011	12/31/2011			L
Program	ER-IN-BIA-0016-2009	6	IA-BIA		BIA should develop and implement policy and procedures to ensure prompt recording of wildland fire suppression obligations/expenses and prompt payment of expenses. At a minimum, such policy and procedures should: a) Outline clear responsibilities and establish time frames for BIA review and approval of financial documents; and b) Ensure that tribal agreements reflect the established time frames (see Recommendation no. 2).	v	5/30/2012	5/30/2012			L
Program	WR-EV-BIA-0005-2010	1	IA-BIA		Develop and implement a financial management system that identifies, accumulates, and reports on detention funding priorities, budget allocations, and expenditures agency-wide by activity or cost category.		TBD	TBD			
Program	WR-EV-BIA-0005-2010	2	IA-BIA		Develop and implement plans and strategies to alleviate detention staff shortages (staff recruitment and retention). Specifically, these plans and strategies should address issues such as the lack of proactive management, unavailable housing, and remote locations of the facilities, low pay grade structure, and human processing delays.		TBD	TBD			
Program	WR-EV-BIA-0005-2010	3	IA-BIA		Improve the physical condition of bureau and tribally operated detention facilities and ensure that maintenance and repairs are being performed as required.	v	TBD	TBD	11/10/2011		M
Program	WR-IS-OST-0006-2009	1.BIA	IA-BIA		Develop and implement procedures that improve the quality and frequency of communication between OST, BIA and Tribal Social Services to settle unresolved supervised trust accounts, including procedures that provide BIA Social Services offices across the country access to the OST beneficiary call center's tracking system.	v	12/31/10	01/31/12			M

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Program	NM-EV-BIE-0001-2008	1	IA-BIE		IA should immediately prepare and implement a plan of action to evaluate the safety and security of each education facility and correct identified weaknesses. Corrective actions should ensure: unauthorized individuals cannot gain access to the campus through unlocked doors; all facilities have operable, and regularly tested central alarm and intercom systems; and trained first responders are available to respond to emergencies.		10/31/2012	10/31/2012			H
Program	NM-EV-BIE-0003-2008	2	IA-BIE		IA should immediately prepare and implement a plan of action to evaluate the safety and security of each education facility against the safety policy and: a) at BIE operated education facilities, immediately correct identified weaknesses, b) at grant operated education facilities, resume control of the facility if immediate threat of imminent harm to the safety of any person is discovered if the fault of the grantor, and correct identified weaknesses.	v	05/31/2012	5/31/2012			H
Program	NM-EV-BIE-0003-2008	3	IA-BIE		Prepare and implement a plan of action to evaluate grant agreements and update these agreements to require the establishment of and adherence to all BIE safety policies.	v	04/01/2012	4/1/2012			H
Program	NM-EV-BIE-0003-2008	4	IA-BIE		Develop and implement a plan to ensure all teachers in schools receiving BIE funding are trained, at least annually, in gang indicators, school specific emergency plans and procedures, conflict resolution, anger management, bully prevention, suicide prevention, and drug abuse resistance.	v	10/01/2011	10/1/2011			H
<b>TOTAL IA</b>											
Program	98-I-406	1	NPS		Revise Special Directive 83-2 to include specific guidelines for recovering capital investments in utility systems that are identifiable to non-Governmental users and to allow for the recovery of these investments through the utility rate process. Any exceptions to full recovery of such capital investments should be properly authorized and documented.	v	12/30/2001	12/31/2011			M
Program	98-I-406	2	NPS		Establish an oversight process to ensure that capital investments in utility systems and operational costs which are identifiable to non-Governmental users are fully recovered and that any exceptions to full recovery are properly authorized and documented.	v	12/30/2001	12/31/2011			M

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Program	98-I-406	3	NPS		Issue guidance for the recovery of operational costs of utility systems. The guidance should include but not be limited to the various types of direct and indirect park maintenance costs that are to be included in rate computations and procedures for developing the rates.	v	12/30/2001	12/31/2011			M
Program	98-I-406	4	NPS		Ensure that adequate training and/or guidance is provided to personnel who are responsible for formulating utility rates.	v	12/30/2001	12/31/2011			M
Program	98-I-406	5	NPS		Ensure that park units which provide utility services to non-Governmental users have adequate internal controls relating to the separation of duties for the billing and collection functions and for the timely deposit of receipts.	v	12/30/2001	12/31/2011			M
Program	HI-EV-NPS-0001-2010	1	NPS		Systematically monitor and report CFP-related results, including actions taken and outcomes achieved.	v	6/30/2012	6/30/2012			M
Program	HI-EV-NPS-0001-2010	3	NPS		Update the CLIP tool's capabilities to ensure that agency aggregate level data is compiled automatically to be less prone to errors and meet current greenhouse gas accounting and reporting requirements.	v	6/30/2012	6/30/2012			M
Program	HI-EV-NPS-0001-2010	4	NPS		Develop a long-term plan for the CFP initiative that takes into consideration the initiative's integration potential with other environmental programs, as well as its outreach potential.		12/31/2012	12/31/2012			M
Program	WR-VS-MOA-0012-2011	4	NPS		Seek the advice of the solicitor's office to determine the proper disposition of the inappropriate 2.6 million augmentation of the NPS's appropriation.		TBD	TBD		M	
Program	WR-VS-MOA-0013-2011	1	NPS		Require CCS funding announcements be posted to Grants.gov		TBD	TBD			M
Program	WR-VS-MOA-0013-2011	3	NPS		Require CCS awards be reported in the Federal Assistance Award Data System, as applicable.		TBD	TBD			M
Program	WR-VS-MOA-0013-2011	8	NPS		Require periodic management control reviews be performed on the CCS program to ensure that bureaus have complied with all existing Program policies and procedures.		TBD	TBD			M
Program	WR-VS-NPS-0013-2004	5	NPS		Establish a monitoring process to ensure that facility maintenance expenditures are being accurately captured and reported. For example, require the regions to conduct periodic comparisons of park facility maintenance expenditures against park facility maintenance work efforts and against budget planning documents and request explanations for discrepancies.		3/31/2013	3/31/2013		M	
Program	WR-VS-NPS-0013-2004	6	NPS		Hold park managers accountable for ensuring the accuracy of their reporting including taking action against park managers who fail to properly account for facility maintenance expenditures.		3/31/2013	3/31/2013		M	
<b>TOTAL NPS</b>											

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Program	C-EV-MOA-0009-2008	3.b	ONRR		The Department work with BLM and MMS to identify the best existing system (either MMS or BLM system) for lease management and develop the capability for both bureaus to access and use this system, thus eliminating multiple systems, the need for manual manual reporting between the bureaus, and the attendant data integrity problems that arise.	v	09/30/2010	3/31/2012			M
Program	C-IN-MOA-0004-2009	2	ONRR		Perform either a compliance review or an audit on the six companies deducting 99 percent for more than half of the year to determine the appropriateness or allowability of the deductions claimed.		06/30/2011	12/31/2012			M
Program	C-IN-MOA-0004-2009	4	ONRR		Develop and implement checks to ensure companies are submitting all required documentation each month.	v	12/31/2011	12/31/2011			M
Program	C-IN-MOA-0004-2009	5	ONRR		Develop and implement review procedures to ensure that companies' monthly deduction percentages are properly calculated and used to prioritize compliance reviews or audits.	v	03/31/2012	3/31/2012			M
<b>TOTAL ONRR</b>											
Program	ER-IS-NBC-0003-2011	1	OS	NBC	Determine whether the risks associated with the interagency contracting activities at AQD - Sierra Vista are worth continuing to accept them.		12/31/2012	12/31/2012		M	
Program	ER-IS-NBC-0003-2011	2	OS	NBC	Determine whether moving the AQD-Sierra Vista organization into the Interior Franchise Fund would increase the monetary benefit to DOI without losing the customer base.		12/31/2012	12/31/2012		M	
Program	ER-IS-NBC-0003-2011	3	OS	NBC	Implement reasonable cut-off dates to ensure AQD - Sierra Vista has enough time to properly award contracts.	v	2/1/2012	2/1/2012		M	
Program	ER-IS-NBC-0003-2011	4	OS	NBC	Standardize procedures for contracting officers, contracting specialists, and contracting officer representatives monitoring B(a) awards, specifically compliance with the Limitation of Subcontracting rule.	v	2/1/2012	2/1/2012		M	
<b>TOTAL NBC</b>											
Financial	X-IN-MOA-0004-2010	A.1.DO.302	OS	DO	IT Controls Over Financial Management Systems: Issue consistent user access guidance, review and approve segregation of user responsibilities, protect generic users, limit use of emergency privilege users, approve user access before granting access, document review and approval of user access, recertify user access, maintain user access documentation, remove access for terminated users, controls contractor access to applications, prepare and review audit logs, and document audit log reviews. (NFR DOI-2010-101).	v	06/30/2011	6/30/2011		M	

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Program	WR-EV-OIA-0007-2008	1	OS	OIAF	Evaluate OIA's [Office of Insular Affairs] staff utilization and location and clarify their duties in order to most effectively accomplish OIA's mission.	v	09/15/2010	11/30/2010			L
Program	ER-EV-MOA-0012-2009	2	OS	OWF	Strengthen the interagency NFPORS WUI community assistance module, including issuance of comprehensive guidelines for users, defined project activity elements, and performance monitoring and tracking tools.	v	10/01/2011	6/30/2012			M
Program	ER-EV-MOA-0012-2009	3	OS	OWF	Establish consistent WUI community assistance grant policies and guidance addressing program objectives and performance measures.	v	01/31/2011	6/30/2012			M
Program	ER-EV-MOA-0012-2009	4	OS	OWF	Establish a national methodology for sharing educational and outreach products developed through WUI community assistance grants.	v	01/31/2011	11/30/2011			M
Program	C-EV-MOA-0003-2009	1	OS	PAM	Establish a DOI-level office or group able to provide centralized, consistent, systematic oversight of the different FLH-supported roads programs and to serve as a focal point for interaction with DOT.	v	06/30/2012	6/30/2012			L
Program	C-IN-MOA-0010-2008	1	OS	PAM	Develop and implement a policy that provides for greater Department-level oversight of bureau museum programs to ensure that they comply with Department Manual requirements.	v	06/30/2012	6/30/2012			M
Program	C-IN-MOA-0010-2008	2	OS	PAM	Revise DM 411 to require that bureaus comply with procedures established in the Museum Property Handbook, Volume II.		11/30/2012	11/30/2012			M
Program	C-IN-MOA-0010-2008	3	OS	PAM	Develop and implement a comprehensive plan to be used by all bureaus to eliminate accessioning and cataloging backlogs so that all museum collections can be properly identified, tracked, and accounted for. The plan should identify the necessary resources.		11/30/2014	11/30/2014			M
Program	C-IN-MOA-0010-2008	5	OS	PAM	Ensure that required annual physical inventories are conducted at all DOI facilities that have museum collections and that appropriate steps are taken to address missing items.		01/31/2013	1/31/2013			M
Program	C-IN-MOA-0010-2008	6	OS	PAM	Complete the department-wide implementation of ICMS to ensure uniform recordkeeping.	v	11/30/2010	11/30/2011			M
Program	C-IN-MOA-0010-2008	7	OS	PAM	Reduce the number of facilities managing collections by consolidating collections at larger curation centers.		07/31/2014	7/31/2014			M
Program	C-IN-MOA-0010-2008	8	OS	PAM	Pursue additional partnerships with interested organizations, such as universities, foundations, and other special interest groups, to aid in managing museum collections.		03/31/2013	3/31/2013			M

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Program	C-IN-MOA-0010-2008	9	OS	PAM	Increase effectiveness of control over museum collections held at non-DOI facilities by: a. identifying all organizations that hold DOI collections, b. identifying all objects held by those organizations, and, c. ensuring that annual physical inventories are conducted.		06/30/2014	6/30/2014			M
Program	C-IN-MOA-0010-2008	11	OS	PAM	Revise Departmental Manual Part 411 to require bureaus comply with the preservation and protection procedures established in Volume I of the Museum Property Handbook.		08/31/2014	8/31/2014			M
Program	C-IN-MOA-0010-2008	12	OS	PAM	Increase effectiveness of protection of collections held at DOI and non-DOI facilities by ensuring that annual physical inventories, which clearly identify the condition of museum property held, are conducted as required.		01/31/2013	1/31/2013			M
Program	C-IN-MOA-0010-2008	13	OS	PAM	Direct all sites that have DOI property complete the comprehensive checklist included in Departmental Manual Part 411.		06/30/2014	6/30/2014			M
Program	C-IN-MOA-0049-2004	5	OS	PAM	PAM-Develop and implement an integrated management information system for maintaining and reporting concession data.	v	11/30/2008	03/31/2010			H
Program	WR-EV-MOI-0008-2008	1	OS	PFM	Implement an integrated management information system for PCS relocation that will allow management to actively monitor PCS relocation cases, and control and administer travel costs associated with relocating employees.		11/30/2013	11/30/2013			L
Program	WR-EV-MOI-0008-2008	2	OS	PFM	Require the use of a standardized TA form that identifies all mandatory and discretionary allowances and demonstrates which discretionary allowances have or have not been authorized.		11/30/2013	11/30/2013			L
Program	WR-EV-MOI-0008-2008	3	OS	PFM	Require the use of a standardized SA form that ensures the employee provides all of the information required by the FTR.		11/30/2013	11/30/2013			L
Financial	X-IN-MOA-0004-2010	A.1.PMB.007	OS	PFM	IT Controls Over Financial Management Systems: Issue consistent user access guidance, review and approve segregation of user responsibilities, protect generic users, limit use of emergency privilege users, approve user access before granting access, document review and approval of user access, recertify user access, maintain user access documentation, remove access for terminated users, controls contractor access to applications, prepare and review audit logs, and document audit log reviews. (NFR DOI-2010-101).	v	06/30/2011	6/30/2011		M	
Program	C-VS-MOA-0003-2008	4	OS	PHR	Charge workers' compensation costs to the lowest organizational unit to impose ownership, cost awareness, and incentives for cost reduction.	v	TBD	09/30/2008			H

Type of Audit	REPORT NUMBER	RECOMMENDATION NUMBER	BUREAU	OS Office	SUBJECT	FY '12 GoalBase	Original Target Date	Current Target Date	Completion Date	Quantitative Materiality (High, Medium, or Low)	Qualitative Materiality (High, Medium, or Low)
Program	ER-IN-OSS-0009-2009	2	OS	PIA	Draft and implement internal policy that would ensure ITAP complies with all statutory and regulatory requirements and adequately protects federal funds.	v	12/31/2010	12/31/2011			L
Program	ER-IN-OSS-0009-2009	3	OS	PIA	Ensure ITAP compliance with the Transparency Act.	v	12/31/2010	12/31/2011			L
Program	ER-IN-OSS-0009-2009	4	OS	PIA	Consult with the Office of the Solicitor to determine when MOUs, grants, and cooperative agreements are the proper vehicle for disbursing funds through ITAP.	v	12/31/2010	12/31/2011			L
Program	C-IN-MOA-0007-2005	2	OS	PIO	CIO: Assign full responsibility over the radio communications program to the OCIO, including management and funding of all radio equipment and related infrastructure.	v	12/31/2008	12/31/2011			H
Program	C-IN-MOA-0007-2005	3	OS	PIO	CIO: Develop a comprehensive management plan for the radio communications program, with input from users and stakeholders, that includes the following components: The CPIC process to manage the radio communications program; A dept-wide action plan with milestones to perform necessary site assessments and correct deficiencies; A determination of the funding necessary to conduct site assessments, correct deficiencies, and perform routine maintenance on the radio infrastructure; and short and long-term strategies for completing the narrowband conversion.	v	10/1/2009	09/30/2012			H
Program	C-IN-MOA-0007-2005	4	OS	PIO	CIO: Identify specific user groups (for eg: fire fighters, law enforcement, and biologists) and ensure the following: User needs are thoroughly assessed and addressed. Guidance that meets all users' needs is provided and enforced. All user groups are provided adequate training on radio use. Allow users to purchase analog narrowband technology or to develop hybrid systems to address health and safety issues or limitations in infrastructure capabilities.		06/30/2010	09/30/2013			H
Program	C-IN-MOA-0007-2005	6	OS	PIO	CIO: DOI should enforce existing safety procedures, such as posting warning signs, to inform employees and the general public of hazardous site conditions.		12/01/2008	12/31/2016			H

Type of Audit	REPORT NUMBER	RECOMMENDATION NUMBER	BUREAU	OS Office	SUBJECT	FY '12 GoalBase	Original Target Date	Current Target Date	Completion Date	Quantitative Materiality (High, Medium, or Low)	Qualitative Materiality (High, Medium, or Low)
						v					H
Program	C-IN-MOA-0007-2005	7	OS	PIO	CIO: Implement the following best practices, where appropriate: Establish a universal property mgmt and radio system network db to better identify existing resources dept-wide and to help identify resource-sharing opportunities within DOI. Share infrastructure with other fed agencies and state and local govts. Consider alternate technologies. Centralize the bureaus' technical service capabilities to take advantage of expertise and resources dept-wide. Establish a consistent funding mechanism, such as a working capital fund, to ensure availability of funds for annual maintenance. Establish a life-cycle replacement program to systematically track the condition and useful life of the radio infrastructure so radio costs can be systematically projected.		09/30/2008	09/30/2012			H
Program	WR-EV-MOI-0006-2008	4	OS	PIO	Take immediate steps to encrypt all portable computers throughout the Department.	v	12/31/2010	12/31/2011			M
Program	WR-EV-OSS-0005-2008	1	OS		AS -FNP and Director, NBC immediately halt the use of these airplanes and remove them from service as non-airworthy assets.		TBD	TBD			H
Program	WR-EV-BIA-0002-2010	1	OS	Dep.Sec.	Ensure that the Department's Interim Cobell Team facilitates and coordinates the efforts of the many offices that will be involved in implementing the settlement. This team should gain an understanding of the needs of the various offices and develop a strategy that is communicated to all involved.		TBD	TBD			H
Program	WR-EV-BIA-0002-2010	2	OS	Dep.Sec.	Provide affected offices within the Department and OMB an opportunity for input on BIA's strategic plan for the Indian Land Consolidation Program.		TBD	TBD			H
Program	WR-EV-BIA-0002-2010	3	OS	Dep.Sec.	Provide Indian Tribes and Tribal organizations, such as the Intertribal Monitoring Association on Indian Trust, an opportunity to become more involved in the planning and implementation of land acquisition strategies.		TBD	TBD			H
Program	WR-EV-BIA-0002-2010	4	OS	Dep.Sec.	Develop a communication plan to improve communication and more efficient sharing of information between BIA and OST.		TBD	TBD			H
Program	WR-EV-BIA-0002-2010	5	OS	Dep.Sec.	Direct BIA to review the proposed policy allowing ILCC to automatically apply title to ensure that quality controls have not been compromised, given the risks associated with maintaining accurate title.		TBD	TBD			H
Program	WR-EV-BIA-0002-2010	6	OS	Dep.Sec.	Direct BIA to monitor the expected increase in workload and number of TAAMS users and work with the TAAMS vendor to ensure any technical issues are addressed.		TBD	TBD			H
Program	WR-EV-BIA-0001-2011	1	OS	Dep.Sec.	Analyze MAPS' application for use in the Cobell consolidation effort.		TBD	TBD			H

Type of Audit	REPORT NUMBER	RECOMMENDATION NUMBER	BUREAU	OS Office	SUBJECT	FY '12 GoalBase	Original Target Date	Current Target Date	Completion Date	Quantitative Materiality (High, Medium, or Low)	Qualitative Materiality (High, Medium, or Low)
Program	WR-EV-BIA-0001-2011	2	OS	Dep.Sec.	An independent appraisal organization should evaluate MAPS for compliance with professional appraisal standards.		TBD	TBD			H
Program	WR-EV-BIA-0001-2011	3	OS	Dep.Sec.	Obtain a legal opinion from the Office of the Solicitor on whether the Agriculture Secretary's disclosure authority (P.L.110-234) would permit the sharing of geospatial data with DOI personnel. If the answer is yes, initiate discussions with the U.S.D.A.'s senior officials regarding OAS' ability to access FSA's geospatial data of agricultural lands for use in MAPS.		TBD	TBD			H
<b>TOTAL OS</b>											
Financial	X-IN-MOA-0004-2010	D.2.OSM.800	OSM		We recommend that Interior perform the following to improve its grant monitoring process: 2. Communicate federal award requirements to grantees. (NFR DOI-2010-800)	v	06/30/2011	6/30/2011		M	
Financial	X-IN-MOA-0004-2010	F.OSM.800	OSM		We recommend that in fiscal year 2011, Interior obtain financial status and single audit reports, issue management decisions on audit findings, and monitor grantees to ensure compliance with the Single Audit Act Amendments of 1996, the related OMB Circular A-133 and other laws.	v	06/30/2011	6/30/2011		H	
<b>TOTAL OSM</b>											
Program	WR-IS-OST-0006-2009	1.OST	OST		Develop and implement procedures that improve the quality and frequency of communication between OST, BIA and Tribal Social Services to settle unresolved supervised trust accounts, including procedures that provide BIA Social Services offices across the country access to the OST beneficiary call center's tracking system.	v	12/31/10	01/31/12			M
<b>TOTAL OST</b>											
Program	C-IS-BOR-0006-2010	1	BOR		Correct and/or mitigate, to the greatest extent possible, all identified deficiencies at the five sites identified in this report.		09/15/2013	9/15/2013			L
Program	WR-FL-BOR-0007-2010	1	BOR		Ensure that compatibility determinations are conducted and documented in a consistent and transparent manner, identifying the extent of public involvement and those actions taken by USBR in response to this input.		12/31/2012	12/31/2012			
Program	WR-FL-BOR-0007-2010	2	BOR		Clearly identify a minimum set of standard features to be inspected for those compliance reviews required by Part 429, as well as how findings should be documented so as to ensure deficiencies are appropriately addressed and corrected.		TBD	TBD			

Type of Audit	REPORT NUMBER	RECOMMENDATION NUMBER	BUREAU	OS Office	SUBJECT	FY '12 GoalBase	Original Target Date	Current Target Date	Completion Date	Quantitative Materiality (High, Medium, or Low)	Qualitative Materiality (High, Medium, or Low)
Program	WR-FL-BOR-0007-2010	3	BOR		Monitor the Recreation Use Data Report (RUDR) system for significant variations in specific recreation features to identify whether a more frequent compatibility determination is required in compliance with provisions of the existing regulations. Such features may include annual visitation rates and inventory of recreation facilities, designated areas, and opportunities.	v	06/30/2012	6/30/2012			M
Program	WR-FL-BOR-0007-2010	4	BOR		Complete a review on a cyclical basis, such as at least once every 3 years, of the RUDR form and system to identify where improvements are needed (e.g., identifying use authorization fees and their basis assessed for residential exclusive use sites).		12/31/2012	12/31/2012			
<b>TOTAL BOR</b>											

**MASTER LIST OF GAO AUDIT RECOMMENDATIONS BY BUREAU AND CURRENT TARGET DATE**  
As of November 18, 2011

Type of Audit	REPORT NUMBER	Report Title	RECOMMENDATION NUMBER	BUREAU	SUBJECT	FY '12 GoalBase	Original Target Date	Current Target Date	Completion Date	Qualitative Materiality (High, Medium, or Low)
GAO	GAO-08-679	BUREAU OF INDIAN EDUCATION SCHOOLS: Improving Interior's Assistance Would Help Some Tribal Groups Implement Academic Accountability Systems	1	BIE	Coordinate with relevant tribal groups in pursuing negotiation of MOUs with states that lack them, seeking facilitation from US Dept of Education when necessary and appropriate.	v	01/31/09	1/31/2009		M
GAO	GAO-08-679	BUREAU OF INDIAN EDUCATION SCHOOLS: Improving Interior's Assistance Would Help Some Tribal Groups Implement Academic Accountability Systems	2	BIE	In close coordination with Education, provide prompt assistance to tribal groups in defining assessment options, especially in instances in which tribal groups are not accessing state assessments. Such assistance could include delineating options - such as using an already established assessment, augmenting an assessment, or incorporating cultural components as an additional academic indicator - and their associated costs.	v	06/01/09	6/1/2009		M
GAO	GAO-08-679	BUREAU OF INDIAN EDUCATION SCHOOLS: Improving Interior's Assistance Would Help Some Tribal Groups Implement Academic Accountability Systems	4	BIE	Establish internal response time frames and processes to ensure more timely responses to all correspondence with tribal groups as well as proactive communication with tribal groups, and Education to resolve issues related to waivers, requests for technical assistance, and development of alternative definition of AYP.	v	06/01/09	6/1/2009		M
<b>TOTAL BIE</b>										
GAO	GAO-08-893R	MINERAL REVENUE: Data Management Problems and Reliance on Self-Reported Data for Compliance Efforts put MMS Royalty Collections at Risk	3.a	BLM	Direct BLM to evaluate both the accuracy and completeness of production inspection data in their databases, including the timeliness of data entry, and amend relevant policies and procedures as necessary.	v	09/30/09	6/30/2011		H
GAO	GAO-09-611	Federal Land Management: BLM and the Forest Service has improved oversight of the Land Exchange Process, but additional Actions are Needed	12	BLM	Develop and issue national land tenure strategies for each agency and encourage the BLM state and Forest Service regional offices to develop their own plan to help guide land exchange decisions.	v	09/30/10	9/30/2011		M
GAO	GAO-10-670	ONSHORE OIL AND GAS: BLM's Management of Public Protests to Its Lease Sales Needs Improvement	1	BLM	To improve the efficiency and transparency of BLM's process with regard to protests of its lease sale decisions and to strengthen how BLM carries out its responsibilities under the Mineral Leasing Act, we recommend that the Secretary of the Interior direct the Director of BLM to Revisit the agency's use of the module for tracking protest information and, in so doing, determine and implement an approach for collecting such information agency-wide that is complete, consistent, and available to the public.	v	12/31/2011	12/31/2011		H

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GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	3a	BLM	(LLM)To improve the consistency and efficiency of Interior's oil and gas measurement regulations and policies, we recommend that the Secretary empower a centralized panel consisting of staff with measurement expertise from BLM and OEMM to take the following actions:Provide department-wide guidance on measurement technologies not addressed in current regulations and approve variances for measurement technologies in instances when such technologies are not addressed in current regulations or department-wide guidance.	v	6/30/2011	6/30/2011		H
GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	4a	BLM	(LLM)To improve the consistency and efficiency of Interior's oil and gas measurement regulations and policies, we recommend that the Secretary empower a centralized panel consisting of staff with measurement expertise from BLM and OEMM to take the following	v	12/31/10	12/31/2011		H
GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	4b	BLM	(LLM)To improve the consistency and efficiency of Interior's oil and gas measurement regulations and policies, we recommend that the Secretary empower a centralized panel consisting of staff with measurement expertise from BLM and OEMM to take the following actions: Develop guidance clarifying when Federal oil and gas may be commingled and establish standardized measurement methods in such a way that production can be adequately measured and verified.	v	6/30/2012	6/30/2012		H
GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	5a	BLM	(LLM)To provide greater assurance that key elements in the oil and gas production infrastructure are adequately overseen, the Secretary should determine the extent to which Interior has authority regarding: Pipelines, including meters that pipeline company own, as well as other methods transportation companies use to ship and measure oil and gas produced from federal leases.	v	12/31/10	12/31/2010		H
GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	6a	BLM	(LLM)To provide greater assurance that key elements in the oil and gas production infrastructure are adequately overseen, the Secretary should determine the extent to which Interior has authority regarding: Gas plants that process gas from Federal leases, including the requirements and responsibilities for approving gas plant meters, and conducting inspections of them.	v	09/30/10	12/31/2010		H
GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	7	BLM	(LLM)To help ensure that Interior is consistently tracking where and how oil and gas are measured, the Secretary should require that: BLM track all onshore meters, including information about meter location, identification number, and owner.	v	6/30/2012	6/30/2012		H
GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	8a	BLM	LLM)To help ensure that Interior is consistently tracking where and how oil and gas are measured, the Secretary should require that: MMS require onshore operators to report meter identification numbers in the required monthly production reports.	v	6/30/2012	6/30/2012		H

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GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	9a	BLM	(LLM)To help ensure that Interior is consistently tracking where and how oil and gas are measured, the Secretary should require that: BLM petroleum engineers work with BLM staff conducting production verification to confirm that commingling agreements are (1) consistent with Interior guidance on such agreements, and (2) are adequately structured to facilitate key production verification activities before such agreements are approved.	v	12/31/10	12/31/2010		H
GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	9b	BLM	(LLM)To help ensure that Interior is consistently tracking where and how oil and gas are measured, the Secretary should require that: BLM petroleum engineers work with BLM staff conducting production verification to confirm that commingling agreements are (1) consistent with Interior guidance on such agreements, and (2) are adequately structured to facilitate key production verification activities before such agreements are approved.	v	6/30/2012	6/30/2012		H
GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	10a	BLM	(LLM)To help ensure that Interior's production accountability inspection program consistently addresses key areas affecting measurement accuracy and that BLM meets its inspection goals, the Secretary should: Establish goals for (1) witnessing onshore oil and gas meter calibrations, (2) witnessing onshore and offshore gas sample collections, (3) comparing onshore reported BTU values with gas analyses, and (4) inspecting onshore and offshore onifice plates and meter tubes.	v	6/30/2012	6/30/2012		H
GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	12	BLM	(LLM)To improve the consistency of Interior's management of its onshore production and inspection program, the Secretary should direct BLM to: Review and revise, as appropriate, its oversight of field and state offices and train managers involved in BLM's inspection and enforcement program to ensure adequate and appropriate review of personnel, processes, and production, consistent with standards for internal controls.	v	12/31/2011	12/31/2011		H
GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	13	BLM	(LLM)Conduct reviews of the quality and completeness of the hard copy production inspection program files across field offices periodically and ensure that the data in these files are accurately entered into its database.	v	12/31/10	12/31/2010		H
GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	16	BLM	(LLM)To improve the tools available to Interior's production inspection staff, the Secretary should: Direct BLM to evaluate its commitment to further develop its in-house software, in light of the functionality, cost, and ease of adoption by Interior and industry of commercially available software, and present the results of this evaluation to Congress.	v	12/31/10	12/31/2010		H

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GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	17a	BLM	(LLM)Require all companies purchasing federal leases to immediately provide Interior access to oil and gas production data generated by electronic flow computers to leave open a range of future options for electronic data exchanges with operators.	v	6/30/2012	6/30/2012		H
GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	18	BLM	(LLM)Direct BLM to implement a mobile computing solution for its inspection and enforcement program to allow staff to spend more time in the field conducting inspections and to improve the reliability of the inspection data.	v	12/31/10	12/31/2010		H
GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	19a	BLM	(LLM)Coordinate onshore and offshore inspection staffs' efforts to design and implement a mobile computing solution for inspectors in the field, while taking into account any unique or specific needs associated with onshore versus offshore inspections.	v	9/30/2012	9/30/2012		H
GAO	GAO-11-292	Oil and Gas Bonds: BLM Needs a Comprehensive Strategy to Better Manage Potential Oil and Gas Well Liability	1	BLM	To better manage potential liability on federal land, we recommend that the Secretary of the Interior direct the Director of BLM to develop a comprehensive strategy to include four actions:Increasing regulatory minimum bonding amounts over time to strengthen bonding as a tool for ensuring operators' compliance.		06/30/13	6/30/2013		H
GAO	GAO-11-292	Oil and Gas Bonds: BLM Needs a Comprehensive Strategy to Better Manage Potential Oil and Gas Well Liability	2	BLM	Revising the bond adequacy review policy to more clearly define terms and the conditions that warrant a bond increase.	v	12/31/11	12/31/2011		H
GAO	GAO-11-292	Oil and Gas Bonds: BLM Needs a Comprehensive Strategy to Better Manage Potential Oil and Gas Well Liability	3	BLM	Implementing an approach for ensuring complete and consistent well records in AFMSS so that BLM field and state offices can better evaluate potential liability and improve decisionmaking.	v	12/31/11	12/31/2011		H
GAO	GAO-11-292	Oil and Gas Bonds: BLM Needs a Comprehensive Strategy to Better Manage Potential Oil and Gas Well Liability	4	BLM	Implementing an approach for better monitoring agency performance in conducting reviews for bond adequacy and idle wells.	v	06/30/12	6/30/2012		H
GAO	GAO-11-34	FEDERAL OIL AND GAS LEASES: Opportunities Exist to Capture Vented and Flared Natural Gas, Which Would Increase Royalty Payments and Reduce Greenhouse Gases	1.a	BLM	(BLM)To ensure that Interior has a complete picture of venting and flaring on federal leases and takes steps to reduce this lost gas where economic to do so, and to ensure that Interior's data are complete and accurate, the Secretary of the Interior should direct BLM and BOEMRE to take additional steps to ensure that each agency has a complete and accurate picture of vented and flared gas, for both onshore and offshore leases, by (1) BLM developing more complete data on lost gas by taking into consideration additional large onshore sources and ways to estimate them not currently addressed in regulations--sources that EPA's newly proposed greenhouse gas reporting rule addresses--and (2) BOEMRE reconciling differences in reported offshore venting and flaring volumes in Oil and Gas Operations Report (OGOR) and Gulfwide Offshore Activities Data System (GOADS) data systems and making adjustments to ensure the accuracy of these systems.		12/31/13	12/31/2013		H

Type of Audit	REPORT NUMBER	Report Title	RECOMMENDATION NUMBER	BUREAU	SUBJECT	FY '12 GoalBase	Original Target Date	Current Target Date	Completion Date	Qualitative Materiality (High, Medium, or Low)
GAO	GAO-11-34	FEDERAL OIL AND GAS LEASES: Opportunities Exist to Capture Vented and Flared Natural Gas, Which Would Increase Royalty Payments and Reduce Greenhouse Gases	2.a	BLM	(BLM)To ensure that Interior has a complete picture of venting and flaring on federal leases and takes steps to reduce this lost gas where economic to do so, and to help reduce venting and flaring of gas by addressing limitations in their regulations, the Secretary of the Interior should direct BLM and BOEMRE to revise its guidance to operators to make it clear that technologies should be used where they can economically capture sources of vented and flared gas, including gas from liquid unloading, well completions, pneumatic valves, and glycol dehydrators. BOEMRE should consider extending its requirement that gas be captured where economical to "lease-use" sources of gas.		12/31/13	12/31/2013		H
GAO	GAO-11-34	FEDERAL OIL AND GAS LEASES: Opportunities Exist to Capture Vented and Flared Natural Gas, Which Would Increase Royalty Payments and Reduce Greenhouse Gases	3.a	BLM	(BLM)To ensure that Interior has a complete picture of venting and flaring on federal leases and takes steps to reduce this lost gas where economic to do so, and to help reduce venting and flaring of gas by addressing limitations in their regulations, the Secretary of the Interior should direct BLM and BOEMRE to assess the potential use of venting and flaring reduction technologies to minimize the waste of natural gas in advance of production where applicable, and not solely for purposes of air quality.		12/31/13	12/31/2013		H
GAO	GAO-11-34	FEDERAL OIL AND GAS LEASES: Opportunities Exist to Capture Vented and Flared Natural Gas, Which Would Increase Royalty Payments and Reduce Greenhouse Gases	4.a	BLM	(BLM)To ensure that Interior has a complete picture of venting and flaring on federal leases and takes steps to reduce this lost gas where economic to do so, and to help reduce venting and flaring of gas by addressing limitations in their regulations, the Secretary of the Interior should direct BLM and BOEMRE to consider the expanded use of infrared cameras, where economical, to improve reporting of emission sources and to identify opportunities to minimize lost gas.	v	06/30/12	6/30/2012		H
GAO	GAO-11-34	FEDERAL OIL AND GAS LEASES: Opportunities Exist to Capture Vented and Flared Natural Gas, Which Would Increase Royalty Payments and Reduce Greenhouse Gases	5.a	BLM	(BLM)To ensure that Interior has a complete picture of venting and flaring on federal leases and takes steps to reduce this lost gas where economic to do so, and to help reduce venting and flaring of gas by addressing limitations in their regulations, the Secretary of the Interior should direct BLM and BOEMRE to collect information on the extent that larger operators use venting and flaring reduction technology and periodically review this information to identify potential opportunities for oil and gas operators to reduce their emissions, and BOEMRE should use existing information in its GOADS data system for this same purpose, to the extent possible.		12/31/13	12/31/2013		H
GAO	GAO-11-35	ENERGY-WATER NEXUS: A Better and Coordinated Understanding of Water Resources Could Help Mitigate the Impacts of Potential Oil Shale Development	1.a	BLM	Establish comprehensive baseline conditions for groundwater and surface water quality, including their chemistry, and quantity in the Piceance and Uinta Basins to aid in the future monitoring of impacts from oil shale development in the Green River Formation.	v	09/30/12	9/30/2012		M

Type of Audit	REPORT NUMBER	Report Title	RECOMMENDATION NUMBER	BUREAU	SUBJECT	FY '12 GoalBase	Original Target Date	Current Target Date	Completion Date	Qualitative Materiality (High, Medium, or Low)
GAO	GAO-11-35	ENERGY-WATER NEXUS: A Better and Coordinated Understanding of Water Resources Could Help Mitigate the Impacts of Potential Oil Shale Development	2.a	BLM	Model regional groundwater movement and the interaction between groundwater and surface water, in light of aquifer properties and the age of groundwater, so as to help in understanding the transport of possible contaminants derived from the development of oil shale.		09/30/13	9/30/2013		M
GAO	GAO-11-35	ENERGY-WATER NEXUS: A Better and Coordinated Understanding of Water Resources Could Help Mitigate the Impacts of Potential Oil Shale Development	3.a	BLM	Coordinate with the DOE and State agencies with regulatory authority over water resources in implementing these recommendations, and to provide a mechanism for water-related research collaboration and sharing of results.	v	06/30/12	6/30/2012		M
GAO	GAO-11-144	Federal Lands: Adopting a Formal, Risk-Based Approach Could Help Land Management	1.a	BLM	To help the agencies identify the law enforcement resources they need and how to distribute these resources effectively, the Secretaries of Agriculture and the Interior should direct the Chief of the Forest Service and the Directors of the Bureau of Land Management, Fish and Wildlife Service, and National Park Service, respectively, to each take the following action: Adopt a risk management approach to systematically assess and address threats and vulnerabilities presented by illegal activities on federal lands. The approach can vary among the agencies but should be consistent within each agency and should include (1) conducting periodic risk assessments to identify and rank threats and assess agency vulnerabilities and (2) establishing a structured process for using the results of these assessments to set priorities for and distribute law enforcement resources to best protect natural and cultural resources, as well as public and agency employee safety. In developing a risk management approach, the agencies should consider conducting the risk assessments at regional or state levels and using those assessments to inform decisions about law enforcement resource needs and how to distribute those resources across the country.		12/31/12	12/31/2012		M
<b>TOTAL BLM</b>										
GAO	GAO-10-276	OFFSHORE OIL & GAS DEVELOPMENT: Ads' guidance would help strengthen the MMS' assessment of Environ impacts N. Aleutian Basin	1	BSEE	To help MMS meet federal requirements in assessing environmental impacts of offshore oil and gas development, the Secretary of the Interior should direct the Director of the MMS to strengthen the agency's NEPA procedures and ensure implementation of its agencywide April 2008 information-sharing policy by developing and setting a deadline for issuing a comprehensive NEPA handbook providing guidance on how to implement NEPA and periodically update and revise this guidance as needed. Such guidance should detail procedures for conducting and documenting NEPA-required analyses, including how determinations of significance are to be made and how scientific findings are to be reviewed.	v	12/31/10	12/31/2010		H

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GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	3b	BSEE	(BOEM)To improve the consistency and efficiency of Interior's oil and gas measurement regulations and policies, we recommend that the Secretary empower a centralized panel consisting of staff with measurement expertise from BLM and OEMM to take the following actions:Provide department-wide guidance on measurement technologies not addressed in current regulations and approve variances for measurement technologies in instances when such technologies are not addressed in current regulations or department-wide guidance.	v	06/30/11	6/30/2011		H
GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	4c	BSEE	(BOEM)To improve the consistency and efficiency of Interior's oil and gas measurement regulations and policies, we recommend that the Secretary empower a centralized panel consisting of staff with measurement expertise from BLM and OEMM to take the following actions: Develop guidance clarifying when Federal oil and gas may be commingled and establish standardized measurement methods in such a way that production can be adequately measured and verified.		12/31/2012	12/31/2012		H
GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	5b	BSEE	(BOEM)To provide greater assurance that key elements in the oil and gas production infrastructure are adequately overseen, the Secretary should determine the extent to which Interior has authority regarding: Pipelines, including meters that pipeline companies own, as well as other methods transportation companies use to ship and measure oil and gas produced from federal leases.	v	12/31/10	12/31/2010		H
GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	14b	BSEE	(BOEM)To address gaps in critical oil and gas measurement abilities, the Secretary should: Direct BLM and OEMM to ensure that key onshore and offshore production verification staff have received initial standardized training necessary to effectively carry out their job functions and receive ongoing measurement training as needed.	v	06/30/11	6/30/2011		H
GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	17b	BSEE	(BOEM)Require all companies purchasing federal leases to immediately provide Interior access to oil and gas production data generated by electronic flow computers to leave open a range of future options for electronic data exchanges with operators.	v	6/30/2012	6/30/2012		H
GAO	GAO-10-313	OIL & GAS MANAGEMENT: Interior's Oil & Gas Production Verification Efforts Do Not Provide Reasonable Assurance of Accurate Measurement of Production Volumes	19b	BSEE	(BOEM)Coordinate onshore and offshore inspection staffs' efforts to design and implement a mobile computing solution for inspectors in the field, while taking into account any unique or specific needs associated with onshore versus offshore inspections.	v	9/30/2012	9/30/2012		H

Type of Audit	REPORT NUMBER	Report Title	RECOMMENDATION NUMBER	BUREAU	SUBJECT	FY '12 GoalBase	Original Target Date	Current Target Date	Completion Date	Qualitative Materiality (High, Medium, or Low)
GAO	GAO-11-34	FEDERAL OIL AND GAS LEASES: Opportunities Exist to Capture Vented and Flared Natural Gas, Which Would Increase Royalty Payments and Reduce Greenhouse Gases	1.b	BSEE	(BOEM)To ensure that Interior has a complete picture of venting and flaring on federal leases and takes steps to reduce this lost gas where economic to do so, and to ensure that Interior's data are complete and accurate, the Secretary of the Interior should direct BLM and BOEMRE to take additional steps to ensure that each agency has a complete and accurate picture of vented and flared gas, for both onshore and offshore leases, by (1) BLM developing more complete data on lost gas by taking into consideration additional large onshore sources and ways to estimate them not currently addressed in regulations--sources that EPA's newly proposed greenhouse gas reporting rule addresses--and (2) BOEMRE reconciling differences in reported offshore venting and flaring volumes in Oil and Gas Operations Report (OGOR) and Gulfwide Offshore Activities Data System (GOADS) data systems and making adjustments to ensure the accuracy of these systems.		12/31/13	12/31/2013		H
GAO	GAO-11-34	FEDERAL OIL AND GAS LEASES: Opportunities Exist to Capture Vented and Flared Natural Gas, Which Would Increase Royalty Payments and Reduce Greenhouse Gases	2.b	BSEE	(BOEM)To ensure that Interior has a complete picture of venting and flaring on federal leases and takes steps to reduce this lost gas where economic to do so, and to help reduce venting and flaring of gas by addressing limitations in their regulations, the Secretary of the Interior should direct BLM and BOEMRE to revise its guidance to operators to make it clear that technologies should be used where they can economically capture sources of vented and flared gas, including gas from liquid unloading, well completions, pneumatic valves, and glycol dehydrators. BOEMRE should consider extending its requirement that gas be captured where economical to "lease-use" sources of gas.		12/31/14	12/31/2014		H
GAO	GAO-11-34	FEDERAL OIL AND GAS LEASES: Opportunities Exist to Capture Vented and Flared Natural Gas, Which Would Increase Royalty Payments and Reduce Greenhouse Gases	3.b	BSEE	(BOEM)To ensure that Interior has a complete picture of venting and flaring on federal leases and takes steps to reduce this lost gas where economic to do so, and to help reduce venting and flaring of gas by addressing limitations in their regulations, th		12/31/14	12/31/2014		H
GAO	GAO-11-34	FEDERAL OIL AND GAS LEASES: Opportunities Exist to Capture Vented and Flared Natural Gas, Which Would Increase Royalty Payments and Reduce Greenhouse Gases	4.b	BSEE	(BOEM)To ensure that Interior has a complete picture of venting and flaring on federal leases and takes steps to reduce this lost gas where economic to do so, and to help reduce venting and flaring of gas by addressing limitations in their regulations, their regulations, the Secretary of the Interior should direct BLM and BOEMRE to assess the potential use of venting and flaring reduction technologies to minimize the waste of natural gas in advance of production where applicable, and not solely for purposes of air quality.		12/31/14	12/31/2014		H

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GAO	GAO-11-34	FEDERAL OIL AND GAS LEASES: Opportunities Exist to Capture Vented and Flared Natural Gas, Which Would Increase Royalty Payments and Reduce Greenhouse Gases	5.b	BSEE	(BOEM)To ensure that Interior has a complete picture of venting and flaring on federal leases and takes steps to reduce this lost gas where economic to do so, and and to help reduce venting and flaring of gas by addressing limitations in their regulations, the Secretary of the Interior should direct BLM and BOEMRE to collect information on the extent that larger operators use venting and flaring reduction technology and periodically review this information to identify potential opportunities for oil and gas operators to reduce their emissions, and BOEMRE should use existing information in its GOADS data system for this same purpose, to the extent possible.		12/31/14	12/31/2014		H
TOTAL BSEE										
GAO	GAO-07-356	Coastal Barrier Resources System: Status of Development That Has Occurred and Financial Assistance Provided by Federal	1	FWS	In addition, given the importance of digital maps to making accurate CBRS determinations, we are recommending that the Secretary of the Interior direct FWS to place a high priority on completing its efforts to develop digital maps that more accurately depict unit boundaries.		1/31/2013	1/31/2013		M
GAO	GAO-07-1092	U.S. Fish and Wildlife Service: Additional Flexibility Needed to Deal with Farmlands Received from the Dept of Agriculture	02	FWS	Develop a proposal to Congress seeking the authority for additional flexibility with regard to the farmlands the Service determines may not be in the best interest to continue to include as part of the National Wildlife Refuge System.	v	12/31/09	12/31/2010		M
GAO	GAO-09-550	ENDANGERED SPECIES: FWS has incomplete information about Effects on listed Species from Section 7 Consultations.	1	FWS	To increase the Service's institutional knowledge and understanding of the effects on species of actions subject to formal consultations under the Endangered Species Act, we recommend that the Secretary of the Interior direct the Director of the U.S. Fish and Wildlife Service to develop a simple and cost-effective method for systematically tracking all required monitoring reports, such as adding an additional field to the existing TAILS database.	v	9/30/2012	9/30/2012		M
GAO	GAO-09-550	ENDANGERED SPECIES: FWS has incomplete information about Effects on listed Species from Section 7 Consultations.	2	FWS	continue to develop existing databases, in as strategic and expeditious a manner as possible, to enable systematic tracking of cumulative take for all species affected by formal consultations.	v	9/30/2012	9/30/2012		M

Type of Audit	REPORT NUMBER	Report Title	RECOMMENDATION NUMBER	BUREAU	SUBJECT	FY '12 GoalBase	Original Target Date	Current Target Date	Completion Date	Qualitative Materiality (High, Medium, or Low)
GAO	GAO-11-9	LIVE ANIMAL IMPORTS: Agencies Need Better Collaboration to Reduce the Risk of Animal-Related Diseases	1	FWS	Develop and implement, in coordination with the relevant federal agencies, a strategy for their collaboration in preventing the importation of animals that may be carrying zoonotic and animal diseases into the United States. This strategy should help the agencies identify and resolve differing program priorities so that the agencies can work collaboratively to ensure that live animal imports posing a risk of zoonotic and animal diseases do not enter the United States. Such efforts could include collaborative methods for prevention, such as a comprehensive risk assessment system for live animal imports. Lay out individual agency roles and responsibilities for all live animal imports, including how a collaborative effort will be led. Identify resources dedicated to live animal imports and leverage these resources to the extent possible to support the agencies' efforts. Examine ways to systematically share data on shipments of live animal imports that are regulated by more than one agency until Automated Commercial Environment (ACE) is able to offer data-sharing capabilities to each agency. Explore the need for any additional legislative or executive authority to develop and implement this strategy such as the authority to establish a coordinating entity (e.g., an interagency	v	12/31/11	12/31/2011		M
GAO	GAO-11-9	LIVE ANIMAL IMPORTS: Agencies Need Better Collaboration to Reduce the Risk of Animal-Related Diseases	2	FWS	Jointly determine, in collaboration with Customs and Border Protection (CBP), the data elements that Animal and Plant Health Inspection Service (APHIS), Centers for Disease Control (CDC), and FWS will need ACE to contain, so that the agencies can effectively oversee all live animal imports.	v	03/31/12	3/31/2012		M
GAO	GAO-11-144	Federal Lands: Adopting a Formal, Risk-Based Approach Could Help Land Management	1.b	FWS	To help the agencies identify the law enforcement resources they need and how to distribute these resources effectively, the Secretaries of Agriculture and the Interior should direct the Chief of the Forest Service and the Directors of the Bureau of Land Management, Fish and Wildlife Service, and National Park Service, respectively, to each take the following action: Adopt a risk management approach to systematically assess and address threats and vulnerabilities presented by illegal activities on federal lands. The approach can vary among the agencies but should be consistent within each agency and should include (1) conducting periodic risk assessments to identify and rank threats and assess agency vulnerabilities and (2) establishing a structured process for using the results of these assessments to set priorities for and distribute law enforcement resources to best protect natural and cultural resources, as well as public and agency employee safety. In developing a risk management approach, the agencies should consider conducting the risk assessments at regional or state levels and using those assessments to inform decisions about law enforcement resource needs and how to distribute those resources across the country.		12/31/2012	12/31/2012		M

TOTAL FWS

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GAO	GAO-09-386	NPS: Donations and Related Partnership Benefit Parks, but Management Refinements Could Better Target Risks and Enhance A	5	NPS	In collaboration with representatives of friends groups, cooperating associations, and the National Park Foundation, develop a strategic plan that defines the agency's vision for donations and related partnerships; sets short- and long-term management goals; delineates desired roles and responsibilities for agency offices and employees involved in managing donations and partnerships, so as to maximize efficient allocation of resources; and identifies steps to take in the short and long terms to achieve agency goals.	v	10/31/2010	10/31/2010		M
GAO	GAO-11-144	Federal Lands: Adopting a Formal, Risk-Based Approach Could Help Land Management	1.c	NPS	To help the agencies identify the law enforcement resources they need and how to distribute these resources effectively, the Secretaries of Agriculture and the Interior should direct the Chief of the Forest Service and the Directors of the Bureau of Land Management, Fish and Wildlife Service, and National Park Service, respectively, to each take the following action: Adopt a risk management approach to systematically assess and address threats and vulnerabilities presented by illegal activities on federal lands. The approach can vary among the agencies but should be consistent within each agency and should include (1) conducting periodic risk assessments to identify and rank threats and assess agency vulnerabilities and (2) establishing a structured process for using the results of these assessments to set priorities for and distribute law enforcement resources to best protect natural and cultural resources, as well as public and agency employee safety. In developing a risk management approach, the agencies should consider conducting the risk assessments at regional or state levels and using those assessments to inform decisions about law enforcement resource needs and how to distribute those resources across the country.		12/31/2012	12/31/2012		M
										TOTAL NPS
GAO	GAO-11-784	INTERAGENCY CONTRACTING: Improvements Needed in Setting Fee Rates for Selected Programs	1	NBC	To revisit the assignment of costs of its Assisted Acquisition Services program to ensure that the overhead costs of the program are properly assigned between its two offices to ensure that each carries its fair share of the overhead costs consistent with cost recovery principles.	v	6/30/2012	6/30/2012		M
										TOTAL NBC
GAO	GAO-07-163	Review Use and Effectiveness of Financial Program Under Amended Compact	02	OIAF	PIA: evaluate the impact of the current FSM distribution between states and sectors on the ability of the nation to meet national goals or deliver services;	v	9/30/2011	9/30/2011		M

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GAO	GAO-10-347	U.S. Insular Areas: Opportunities Exist to Improve Interior's Grant Oversight and Reduce the Potential for Mismanagement	1	OIAF	To improve OIA's ability to require insular areas to efficiently complete projects and expend funds, the Secretary of the Interior should direct Interior's Office of the Solicitor to prepare a detailed written evaluation of OIA's existing authorities that could be used to ensure the more efficient use of funds by insular areas, and work with OIA officials to use such authorities as appropriate and to identify the need, if any, for additional authority. If the evaluation identifies the need for additional authorities, the Secretary should submit the evaluation to the Congress.	v	6/30/2010	6/30/2010		M
GAO	GAO-10-202	Information Security: Agencies Need to Implement Federal Desktop Core Configuration Requirements	3.	OCIO	(OCIO) Ensure all components implement the department's existing policy to acquire and deploy a NIST-validated SCAP tool and monitor compliance with FDCC.		12/31/2010	12/31/2014		M
GAO	GAO-11-43	Information Security: Federal Agencies Have Taken Steps to Secure Wireless Networks	2	OCIO	Develop, document, and implement a written policy for configuring mobile devices when taken on international travel or to other potentially risky locations and for applying preventative measures to devices when they are returned.	v	6/30/2011	12/31/2011		M
GAO	GAO-10-11	BUDGET ISSUES: Electronic Processing of Non-IRS Collections Has Increased but Better Understanding of Cost Structure is	1	OS/PFM	The Secretaries of the Interior and Commerce include FMS's costs of collection, as available, in analyzing Minerals Management Service (MMS), National Park Service (NPS), U.S. Geological Survey (USGS), U.S. Patent and Trademark Office, and National Oceanic and Atmospheric Administration programs and, as appropriate, the design and level of user fees.		TBD	TBD		M
GAO	GAO-11-177	Border Security: Additional Actions Needed to Better Ensure a Coordinated Federal Response	1	OLES	To more easily balance public safety and access to federal borderlands and to help ensure that DHS, DOI, and USDA coordinate efforts to provide an effective interagency law enforcement response on these lands, and to help ensure that federal land managers have guidance to more easily balance public safety and access to federal borderlands, the Secretaries of the Interior and Agriculture should review and determine whether their field units on the southwest border have adequate guidance to assist federal land managers in determining when public access to federal borderlands should be restricted in response to risks associated with cross-border illegal activity. After completion of this review, and if additional guidance is needed, DOI and USDA should consult with DHS to ensure that any additional guidance developed is consistent with DHS contingency plans for southwest border violence.	v	9/30/2011	6/30/2012		M

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GAO	GAO-11-177	Border Security: Additional Actions Needed to Better Ensure a Coordinated Federal Response	2	OLES	To more easily balance public safety and access to federal borderlands and to help ensure that DHS, DOI, and USDA coordinate efforts to provide an effective interagency law enforcement response on these lands, and to help ensure that DHS, DOI, and USDA partners operating on federal lands have a common understanding of existing cross-border threats and coordinate to provide an effective interagency law enforcement response, the Secretaries of Homeland Security, the Interior, and Agriculture should take necessary action to ensure that personnel at all levels of each agency conduct early and continued consultations to implement provisions of the 2006 MOU, specifically coordination of threat information for federal lands that is timely and actionable.	v	09/30/11	6/30/2012		M
GAO	GAO-11-177	Border Security: Additional Actions Needed to Better Ensure a Coordinated Federal Response	3	OLES	To more easily balance public safety and access to federal borderlands and to help ensure that DHS, DOI, and USDA coordinate efforts to provide an effective interagency law enforcement response on these lands, and to help ensure that DHS, DOI, and USDA partners operating on federal lands have a common understanding of existing cross-border threats and coordinate to provide an effective interagency law enforcement response, the Secretaries of Homeland Security, the Interior, and Agriculture should take necessary action to ensure that personnel at all levels of each agency conduct early and continued consultations to implement provisions of the 2006 MOU, specifically coordination of future plans for upgrades of compatible radio communications used for daily law enforcement operations on federal lands.	v	TBD	6/30/2012		M
GAO	GAO-11-177	Border Security: Additional Actions Needed to Better Ensure a Coordinated Federal Response	4	OLES	To more easily balance public safety and access to federal borderlands and to help ensure that DHS, DOI, and USDA coordinate efforts to provide an effective interagency law enforcement response on these lands, and to help ensure that DHS, DOI, and USDA partners operating on federal lands have a common understanding of existing cross-border threats and coordinate to provide an effective interagency law enforcement response, the Secretaries of Homeland Security, the Interior, and Agriculture should take necessary action to ensure that personnel at all levels of each agency conduct early and continued consultations to implement provisions of the 2006 MOU, specifically coordination of efforts to determine agencies' information needs for intelligence, including coordination of Border Patrol annual assessments of the threat environment and vulnerabilities affecting border security on federal lands.	v	9/30/2011	6/30/2012		M

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GAO	GAO-11-177	Border Security: Additional Actions Needed to Better Ensure a Coordinated Federal Response	5	OLES	To more easily balance public safety and access to federal borderlands and to help ensure that DHS, DOI, and USDA coordinate efforts to provide an effective interagency law enforcement response on these lands, and to help ensure that DHS, DOI, and USDA partners operating on federal lands have a common understanding of existing cross-border threats and coordinate to provide an effective interagency law enforcement response, the Secretaries of Homeland Security, the Interior, and Agriculture should take necessary action to ensure that personnel at all levels of each agency conduct early and continued consultations to implement provisions of the 2006 MOU, specifically coordination of Border Patrol budget requests for personnel, infrastructure, and technology that affect federal lands.	v	9/30/2011	6/30/2012		M
GAO	GAO-11-177	Border Security: Additional Actions Needed to Better Ensure a Coordinated Federal Response	6	OLES	To more easily balance public safety and access to federal borderlands and to help ensure that DHS, DOI, and USDA coordinate efforts to provide an effective interagency law enforcement response on these lands, and to help ensure that DHS, DOI, and USDA partners operating on federal lands have a common understanding of existing cross-border threats and coordinate to provide an effective interagency law enforcement response, the Secretaries of Homeland Security, the Interior, and Agriculture should take necessary action to ensure that personnel at all levels of each agency conduct early and continued consultations to implement provisions of the 2006 MOU, specifically coordination of deployment plans for personnel, infrastructure, and technology on federal lands before such deployment is initiated.	v	9/30/2011	6/30/2012		M
GAO	GAO-11-177	Border Security: Additional Actions Needed to Better Ensure a Coordinated Federal Response	7	OLES	To more easily balance public safety and access to federal borderlands and to help ensure that DHS, DOI, and USDA coordinate efforts to provide an effective interagency law enforcement response on these lands, and to help ensure that DHS, DOI, and USDA partners operating on federal lands have a common understanding of existing cross-border threats and coordinate to provide an effective interagency law enforcement response, the Secretaries of Homeland Security, the Interior, and Agriculture should take necessary action to ensure that personnel at all levels of each agency conduct early and continued consultations to implement provisions of the 2006 MOU, specifically coordination of access to information resulting from deployment of technology on federal lands.	v	9/30/2011	6/30/2012		M

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GAO	GAO-11-38	Southwest Border: More Timely Border Patrol Access and Training Could Improve Security Operations and Natural Resource Protection on Federal Lands	1	OLES	To improve the effectiveness of Border Patrol operations while also protecting cultural and natural resources on federal lands along the southwestern border, we recommend the Secretary of the Interior take the following actions: To help expedite Border Patrol's access to federal lands, the agencies should, when and where appropriate, (a) enter into agreements that provide for Customs and Border Protection to use its own resources to pay for or to conduct the required environmental and historic property assessments and (b) prepare programmatic National Environmental Policy Act documents for Border Patrol activities in areas where additional access may be needed.	v	3/31/2011	8/31/2012		M
GAO	GAO-11-38	Southwest Border: More Timely Border Patrol Access and Training Could Improve Security Operations and Natural Resource Protection on Federal Lands	2	OLES	As Interior continues developing a national training module on environmental and cultural resource stewardship, the agencies should incorporate the input of Border Patrol agents and land managers into the design and development of training content, which may include training that is recurring, area-specific, and provided by land managers.	v	6/30/2011	8/31/2012		M
GAO	GAO-10-413	WORKFORCE PLANNING: Interior, EPA, and the Forest Service Should Strengthen Linkages to Their Strategic Plans and Improve Evaluation	1	PHR	The Secretary of the Interior incorporate into the agency's workforce plans clear and explicit links between the workforce plans and the strategic plan, and describe how the workforce plans will help the agency achieve its strategic goals.	v	9/30/2011	9/30/2011		M
GAO	GAO-10-413	WORKFORCE PLANNING: Interior, EPA, and the Forest Service Should Strengthen Linkages to Their Strategic Plans and Improve Evaluation	2	PHR	The Secretary of the Interior establish mechanisms that the agency can use to monitor and evaluate the effectiveness of its workforce planning efforts, particularly in achieving the agency's strategic goals.	v	9/30/2011	9/30/2011		M
GAO	GAO-07-1168	Wildland Fire Management: Better Information and a Systematic Process Could Improve Agencies Approach to Allocating Fuel Reduction	3	OWFR	OWFC: Devote resources to developing a measure of, and subsequently collecting data on, fuel reduction effectiveness, so that the agencies can usefully estimate the extent and duration of risk reduction from potential fuel treatments. Because developing the measure and collecting data are likely to be difficult and time-consuming endeavors, the agencies might find it useful to proceed with convening panel of experts to devise a rudimentary framework for estimating treatment effectiveness.		9/30/2008	12/31/2012		M
GAO	GAO-07-1168	Wildland Fire Management: Better Information and a Systematic Process Could Improve Agencies Approach to Allocating Fuel Reduction	4	OWFR	OWFC: Use information on risk and fuel treatment effectiveness, once available, in concert with information on the cost of treatments, to assess the cost-effectiveness of various potential fuel reduction treatments.		6/1/2008	12/31/2012		M

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GAO	GAO-09-68	Wildland Fire: Interagency Budget Tool Needs Further Development to Fully Meet Key Objectives	3	OWFR	PWF: to increase agency transparency in using FPA to develop their budget requests and allocate funds, the Secretaries of Agriculture and the Interior should report annually to Congress on FPA's role in the budget development and allocation process. This report should include, at a minimum, information on (1) how the agencies weighted the measures FPA uses to evaluate different mixes and locations of firefighting assets and the rationale for those weights, (2) how FPA results were used in conjunction with other information in developing the agencies' budget requests, and (3) the extent to which the agencies' funding allocations to their field units reflected the FPA results for a given year.	v	2/28/2010	6/30/2012		M
GAO	GAO-09-68	Wildland Fire: Interagency Budget Tool Needs Further Development to Fully Meet Key Objectives	4	OWFR	PWF: to increase Congress's and the agencies' understanding of the strengths and limitations of FPA—including the extent to which it achieves the key objectives envisioned by the 2001 report—and to fulfill one of the original objectives established for FPA, the Secretaries of Agriculture and the Interior should direct the agencies to submit the FPA model to external peer review. This review should be initiated as soon as FPA is complete enough to allow for a thorough review, but no later than November 2009, so that its results can inform decisions about how FPA may be improved and the extent to which additional funding should be provided to the project.	v	11/30/2009	6/30/2012		M
GAO	GAO-10-770	HYDROPOWER RELICENSING: Stakeholders' Views on the Energy Policy Act Varied, but More Consistent Information Needed	2	OS/OHA	(OHA) Issue final rules governing the use of the Section 241 provisions after providing an additional period for notice and an opportunity for public comment and after considering their own lessons learned from their experience with the interim rules.		3/31/2012	12/31/2012		
TOTAL OS										
GAO	GAO-09-549	MINERAL REVENUES: MMS could do more to Improve the accuracy of Key data used to collect and verify O&G royalties	2	OS/ONRR	In order to improve the accuracy of royalty data and to help provide a greater assurance that federal oil and gas royalties are being accurately reported, to improve the efficiency of audit and compliance activities, to increase the likelihood of collecting additional royalties in a timely manner, and to improve the quality of data that has been accepted by MMS's database, MMS should design and implement additional edit checks to evaluate the net impact of all adjustments on original entries for critical royalty variables, including sales values, royalty values, sales volumes, transportation allowances, and processing allowances, by summing each month all entries for the variable submitted by each payor for each lease and each commodity and highlight potentially erroneous submissions to payors and appropriate MMS staff.	v	9/30/2010	12/31/2011		M

Type of Audit	REPORT NUMBER	Report Title	RECOMMENDATION NUMBER	BUREAU	SUBJECT	FY '12 GoalBase	Original Target Date	Current Target Date	Completion Date	Qualitative Materiality (High, Medium, or Low)
GAO	GAO-09-549	MINERAL REVENUES: MMS could do more to improve the accuracy of Key data used to collect and verify O&G royalties	3	OS/ONRR	In order to improve the accuracy of royalty data and to help provide a greater assurance that federal oil and gas royalties are being accurately reported, to improve the efficiency of audit and compliance activities, to increase the likelihood of collecting additional royalties in a timely manner, and to improve the quality of data that has been accepted by MMS's database, MMS should use the monthly sums of original and adjusting entries for royalty values, sales values, and sales volumes to ensure that calculated royalty rates and unit prices for each payor on each lease for each commodity fall within expected ranges and highlight potentially erroneous submissions to payors and appropriate MMS staff.	v	9/30/2010	12/31/2011		M
GAO	GAO-09-744	Royalty-in-Kind Program: MMS does not provide reasonable assurance it receives its share of Gas, resulting in millions	3	OS/ONRR	To improve the Minerals Management Service's oversight of the RIK gas program and help ensure that the nation receives its fair share of RIK gas, the Secretary of the Interior should audit the operators and imbalance data of a sample of leases taken in kind and, on the basis of the audit findings, establish a risk-based auditing program for the RIK properties.	v	12/31/2009	3/31/2011		M
GAO	GAO-11-34	FEDERAL OIL AND GAS LEASES: Opportunities Exist to Capture Vented and Flared Natural Gas, Which Would Increase Royalty Payments and Reduce Greenhouse Gases	1.c	OS/ONRR	(ONRR)To ensure that Interior has a complete picture of venting and flaring on federal leases and takes steps to reduce this lost gas where economic to do so, and to ensure that Interior's data are complete and accurate, the Secretary of the Interior should direct BLM and BOEMRE to take additional steps to ensure that each agency has a complete and accurate picture of vented and flared gas, for both onshore and offshore leases, by (1) BLM developing more complete data on lost gas by taking into consideration additional large onshore sources and ways to estimate them not currently addressed in regulations--sources that EPA's newly proposed greenhouse gas reporting rule addresses--and (2) BOEMRE reconciling differences in reported offshore venting and flaring volumes in Oil and Gas Operations Report (OGOR) and Gulfwide Offshore Activities Data System (GOADS) data systems and making adjustments to ensure the accuracy of these systems.		12/31/2013	12/31/2013		M
<b>TOTAL ONRR</b>										
GAO	GAO-10-23	Electricity and Water: Improvements to Federal water Use Data Would increase understanding of Trends in power plant water	1	USGS	To improve the usefulness of the data collected by USGS and better inform the Nation's understanding of power plant water use and how it affects water availability, the Secretary of the Interior should consider expanding efforts to disseminate available data on the use of alternative water sources, such as treated effluent and groundwater that is not suitable for drinking or irrigation, by thermoelectric power plants, to the extent that this information becomes available from EIA.		9/30/2010	12/31/2013		M

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GAO	GAO-10-23	Electricity and Water: Improvements to Federal water Use Data Would increase understanding of Trends in power plant water	2	USGS	To improve the usefulness of the data collected by USGS and better inform the nation's understanding of power plant water use and how it affects water availability, the Secretary of the Interior should consider reinstating collection and distribution of water consumption data at thermoelectric power plants.		9/30/2010	12/31/2012		
GAO	GAO-11-35	ENERGY-WATER NEXUS: A Better and Coordinated Understanding of Water Resources Could Help Mitigate the Impacts of Potential Oil Shale Development	1.b	USGS	Establish comprehensive baseline conditions for groundwater and surface water quality, including their chemistry, and quantity in the Piceance and Uinta Basins to aid in the future monitoring of impacts from oil shale development in the Green River Formation.	v	9/30/2012	9/30/2012		M
GAO	GAO-11-35	ENERGY-WATER NEXUS: A Better and Coordinated Understanding of Water Resources Could Help Mitigate the Impacts of Potential Oil Shale Development	2.b	USGS	Model regional groundwater movement and the interaction between groundwater and surface water, in light of aquifer properties and the age of groundwater, so as to help in understanding the transport of possible contaminants derived from the development of oil shale.		9/30/2013	9/30/2013		M
GAO	GAO-11-35	ENERGY-WATER NEXUS: A Better and Coordinated Understanding of Water Resources Could Help Mitigate the Impacts of Potential Oil Shale Development	3.b	USGS	Coordinate with the DOE and State agencies with regulatory authority over water resources in implementing these recommendations, and to provide a mechanism for water-related research collaboration and sharing of results.	v	6/30/2012	6/30/2012		M
TOTAL USGS										