

The Natural Path to Grants Management Excellence

An OMB Update

Marguerite Pridgen
Executive Office of the President
Office of Management and Budget



June 2009

Understanding the...

- Skyline
- Environment
- Landscape

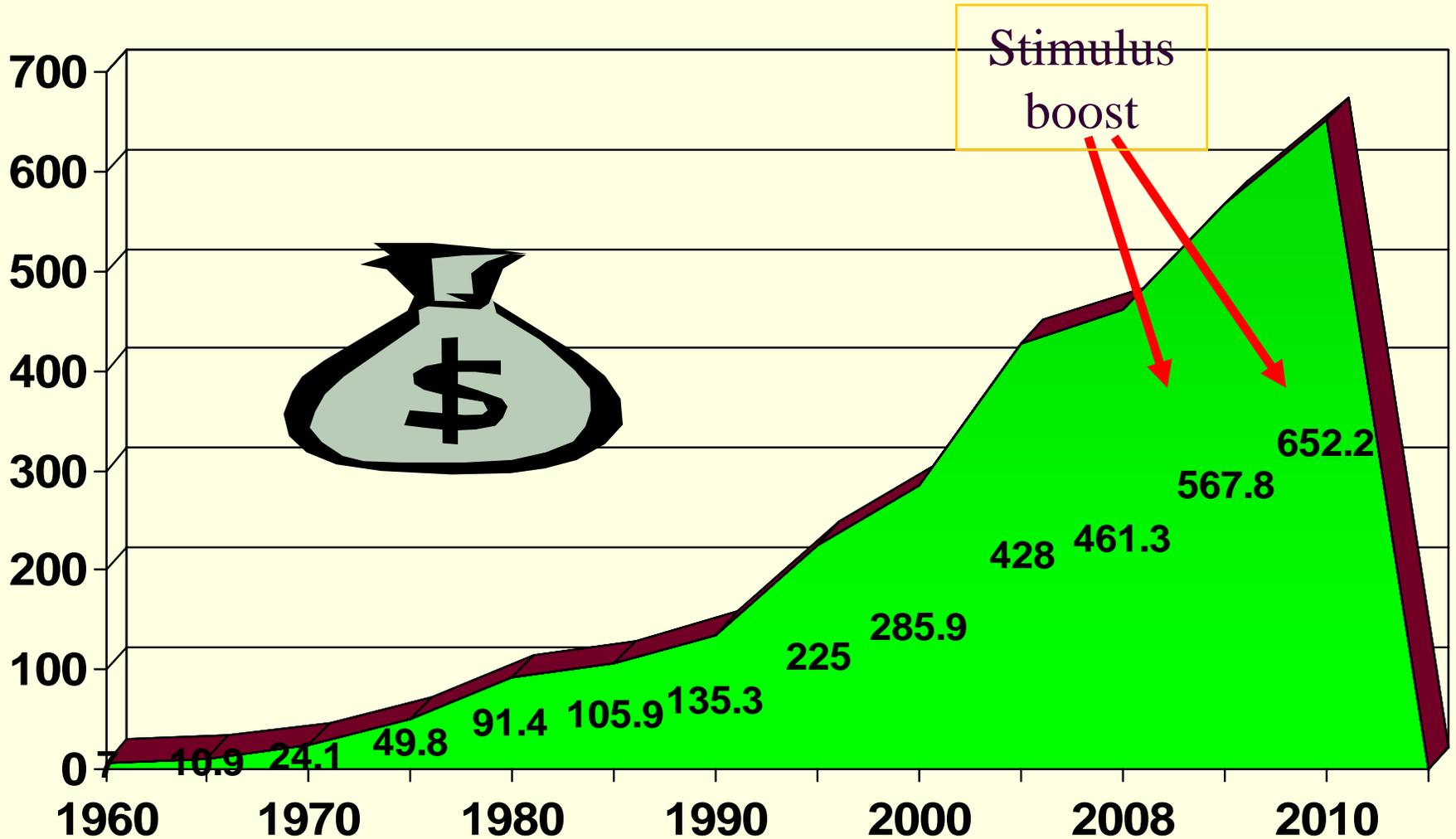


The Skyline



Steep Rise in Federal Grants

In Billion of \$



CFDA lists more than 1700 Federal assistance programs

Federal Grant Outlays to State & Local Governments (in billions of dollars)

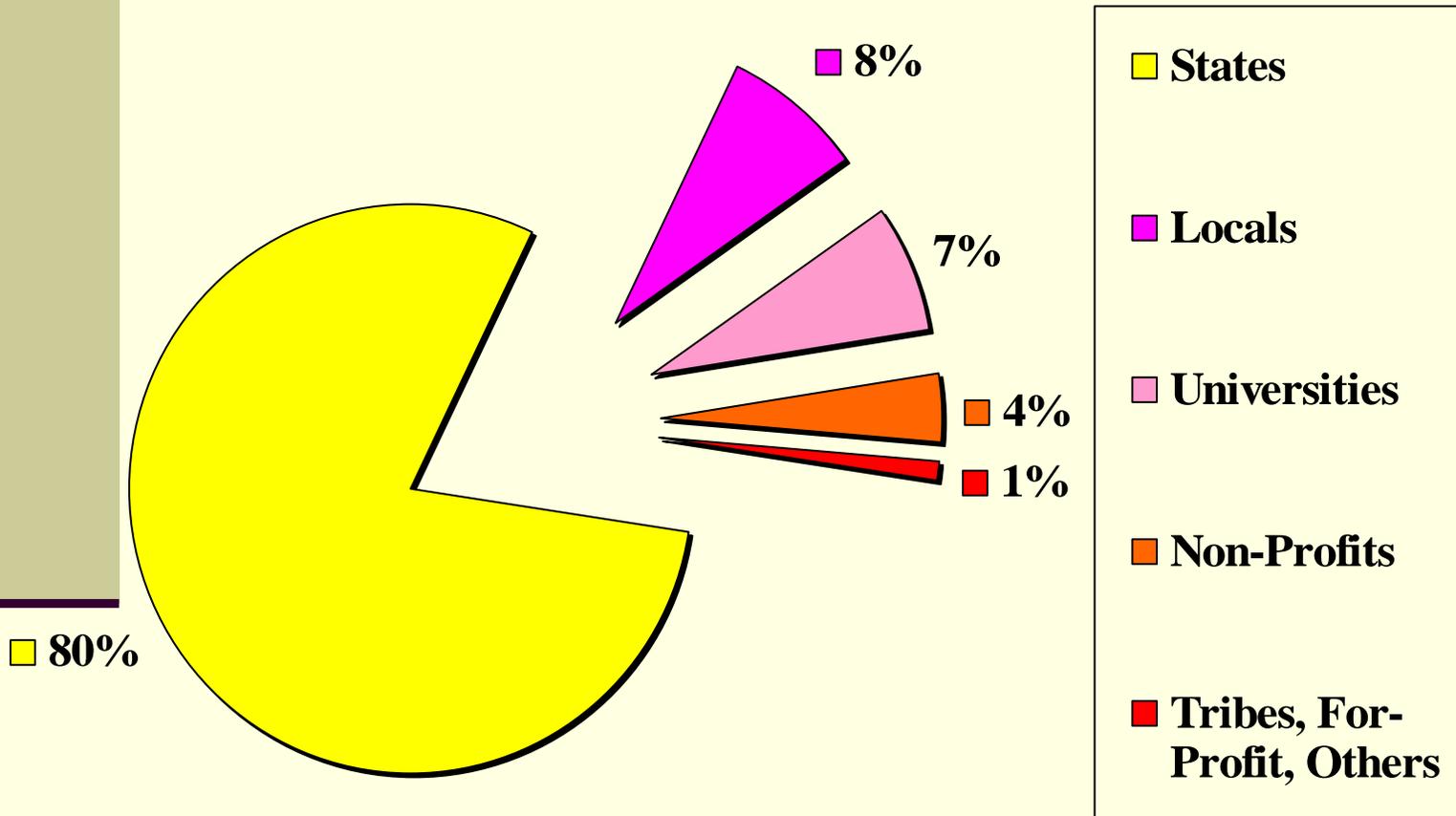
	FY08	FY09
Department of Agriculture	28.4	32.0
Department of Commerce	0.4	1.3
Department of Education	40.8	47.8
Department of Energy	*	0.8
Department of Health and Human Services	267.2	337.9
Department of Homeland Security.....	8.9	11.0
Department of Housing and Urban Development	38.4	39.6
Department of the Interior	4.9	5.0
Department of Justice	3.8	5.9
Department of Labor	7.2	10.2
Department of Transportation	51.2	62.7
Department of the Treasury	1.9	3.9
Department of Veterans Affairs	0.7	0.8
Environmental Protection Agency	3.9	3.9
Other agencies	3.8	5.0
Total	\$461.3B	\$567.8B



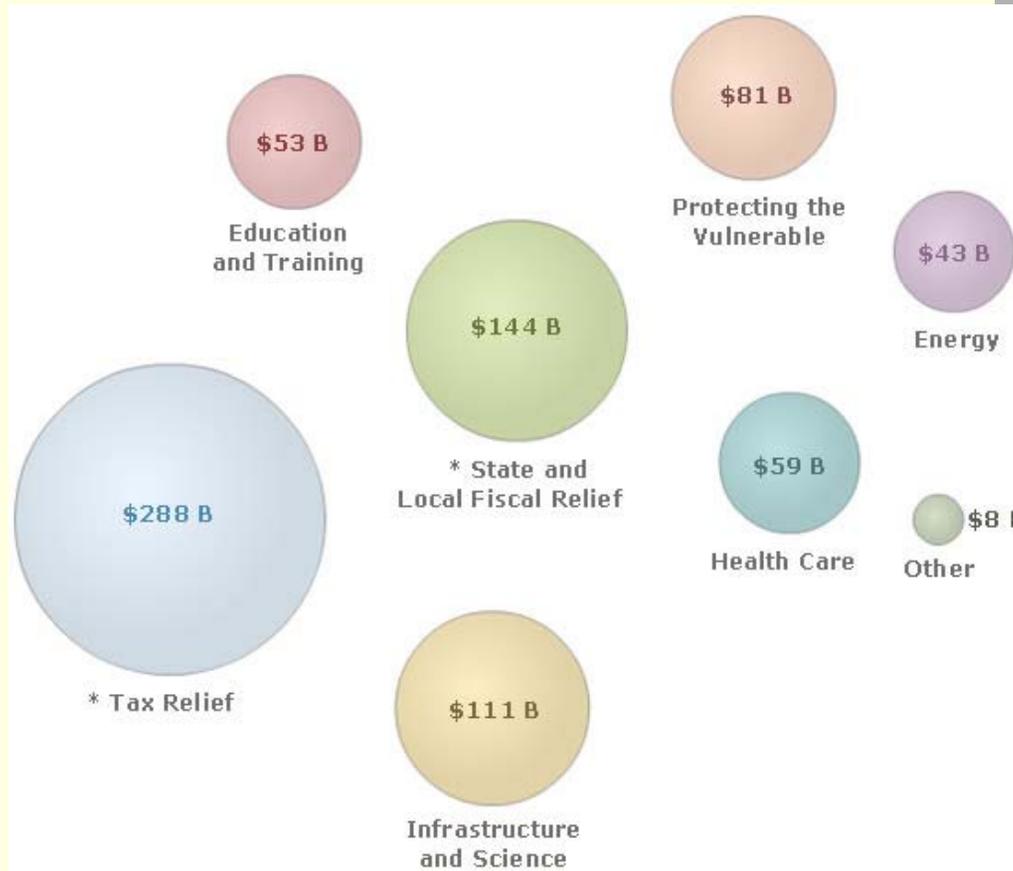
**less than \$50 million*

2009 US BUDGET (OUTLAYS): \$4 TRILLION

Distribution of Federal Grants



Distribution of Federal Recovery Funds – By category



2009 Recovery Act - \$787 BILLION

The Environment



The President's Memo

THE WHITE HOUSE

January 21, 2009

MEMORANDUM FOR THE HEADS OF EXECUTIVE DEPARTMENTS AND AGENCIES
SUBJECT: Transparency and Open Government

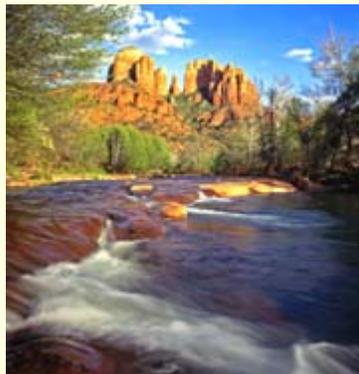
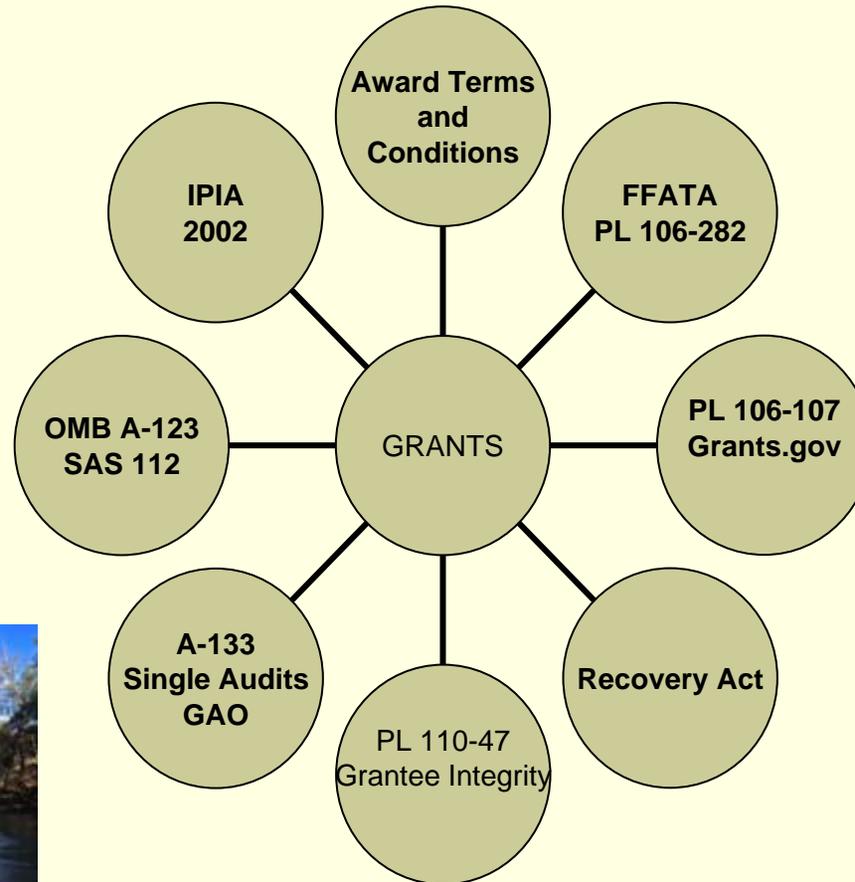
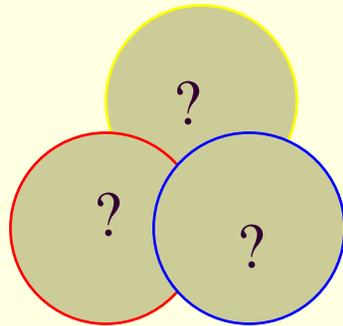
My Administration is committed to creating an unprecedented level of openness in Government...

Government should be transparent...

Government should be participatory...

Government should be collaborative...

Laws, Policy, and Guidance



Recovery Act --- Summary

- Lots of financial assistance, contracts and tax relief
- Speed in initiating activities and spending
- US Jobs creation/retention
- Accountability & Transparency
 - Recovery.gov (announcements, agreements, program managers, governors, mayors)
 - Recovery Board, GAO, Inspectors Generals, whistleblowers
 - Reporting on use of funds

Recovery Act --- Summary

Targeted efforts in:

- Clean, Efficient, American Energy
- Transforming our Economy with Science and Technology
- Modernizing Roads, Bridges, Transit and Waterways
- Education for the 21st Century
- Tax Cuts to Make Work Pay and Create Jobs
- Lowering Healthcare Costs
- Helping Workers Hurt by the Economy
- Saving Public Sector Jobs and Protect Vital Services



Recovery Act --- Summary

OMB, White House and agency guidance covering various general provisions such as...

- Buy American
- Wage Rate Requirements
- Accounting for and Reporting on use of funds
- Administrative Costs
- Use of funds restrictions
- Competition
- NEPA
- More to come...





Recovery Act– Interior*



\$1B

water
infrastructure

meeting
future water
supply
needs

\$320M

remediate
abandoned
mines

increased
access to
public
lands

\$500M

replace,
upgrade
Indian
schools

better
learning
environm
ent

\$280M

improve
energy
efficiency,
renewable
use at
refuges

“greener”
facilities

\$750M

preserve,
protect
national
icons,
historic
landscapes

preserve
natural and
cultural
resources

\$140M

improve
USGS
labs and
facilities

energy
efficiency

* *partial list of activities and goals under Recovery Act*

Federal Funding Accountability and Transparency Act of 2006

- Public Law 109-282
- Single searchable website
- Accessible to public
- USAspending.gov
- Key deadlines
 - January 1, 2008 – federal award data
 - January 1, 2009 – subcontractor & subgrant data
(Implementation pending)



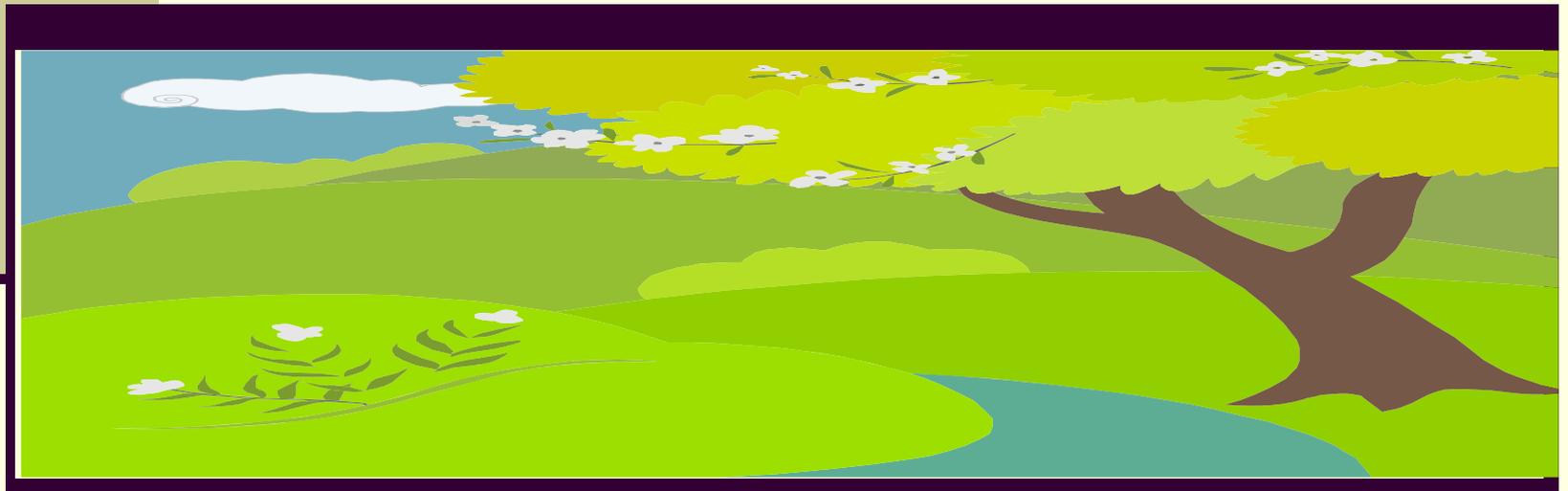
Section 872 of the National Defense Authorization Act for Fiscal Year 2009

(Pub. L. 110-417)

- establish Governmentwide data system to contain specified information related to the integrity and performance of certain entities awarded Federal grants and contracts; and
- use of the information by Federal officials making awards
- prior to making a decision to award a grant or cooperative agreement to an entity, the Federal agency official authorized to make the award would be required to determine whether the entity is qualified to receive an award, taking into consideration any information about the entity that is in the data system



The Landscape



Federal Grants Streamlining Initiative/PL 106-107

- Accomplishments
- Projects Underway
- Renewal of PL 106-107? (S.303 – FFAMIA of 2009)
- The Future Landscape



Accomplishments

Federal Chief Financial Officers Council - Microsoft Internet Explorer

Address: http://www.cfoc.gov/index.cfm?function=grant_governance

Search Companion

What would you like to do?

- Find technical information about www
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- Highlight words on the results page

Change current search:

Start a new search:

Chief Financial Officers Council

Today: 10.03.2008

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ABOUT THE GPC ACCOMPLISHMENTS WORK GROUPS WEBCASTS AND OUTREACH

COMMITTEE MEMBERS COLLABORATION FAQs DOCUMENTS AND REPORTS

GRANTS POLICY COMMITTEE

About the Grants Policy Committee

Latest News

View [October 28th GPC Stakeholder Webcast Information](#)

View the [Draft Implementation Plan](#)

Please help us improve how we communicate with you. Click here to provide the [GPC with feedback](#).

The Grants Policy Committee (GPC), established by the CFO Council, consists of grants policy experts from across the Federal government. GPC's vision is to improve the effectiveness of Federal financial assistance by:

- Simplifying the application process
- Streamlining financial assistance award and administration
- Improving quality and timeliness of information related to assistance
- Improving stewardship of Federal dollars

The GPC is committed to serving the best interests of the grants community by continually identifying opportunities to improve Federal financial assistance. The GPC's improvement efforts gained significant momentum when the committee assumed responsibility for implementing [P.L. 106-107](#), the Federal Financial Management Assistance Act of 1999. While P.L. 106-107 expired in November 2007, the GPC continues to build on this momentum, advancing existing efforts and leveraging new opportunities to enhance Federal financial assistance.

Transformation Team

- Central Reporting
- Financial Systems - FSIO Oversight
- Grant Governance/ Grants Policy Committee
- Improper Payments
- Performance Measurement

Features

- CFO Jobs
- Federal Interagency Databases Online
- Financial Systems Integration Office (FSIO)
- Financial Report of the United States Government

Internet

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Accomplishments

Home - Grants Community - MAX Federal Community - Windows Internet Explorer

https://max.omb.gov/community/display/Grants/Home

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My Favorites My Homepage Directory Page Link: https://max.omb.gov/community/x/HgDp

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OPEN (0) (24)

Home

last edited by sisi_s_vanaq@omb.eop.gov on Feb 18, 2009 02:03 PM ([view change](#))

Welcome to the Grants Community!

[Grants Workgroups](#)
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[Data Calls and Exercises](#)
[Grants Systems](#)
[Promising Practices and Benchmarking](#)
[Grants Management Line of Business](#)
[Grants Documents and Guidance](#)
[Shared Information](#)

Grants Community - Highlights

(Click here to [Watch](#) the Grants Community Highlights page to get notified of significant activities.)

Ongoing:

- [Grants Manager and Project Officer Registry](#)
- [Legislative Activity](#)
- [Media on Grants](#)
- [Grants Management LoB News](#)

Recent Work Products:

Recently Updated

Recovery Act News and Guidance Summaries	by KELLY BARLOW (NSF,CTR) (12 hours ago)
Post-Award Dashboard--2009-06-01.xls	by Eric Gauff (HUD) (12 hours ago)
Grants Managers USDA.xls	by Peter Laub (AG) (03 Jun)
Recovery Act Digest.doc	by Alexander Blythe (NSF,CTR) (02 Jun)
Grants World Bulletin	by KELLY BARLOW (NSF,CTR) (02 Jun)
GMLoB Background Materials	by KELLY BARLOW (NSF,CTR) (02 Jun)
Grants Management Line of Business	by KELLY BARLOW (NSF,CTR) (02 Jun)
GMLoB News Feed Archive.doc	by KELLY BARLOW (NSF,CTR) (02 Jun)
Grants Management Discussion Forum	by KELLY BARLOW (NSF,CTR) (02 Jun)
OMB MAX staff list.doc	by KATHLEEN WILLIAMS (NARA) (01 Jun)
Post-Award Work Group of CFO Council's Grants Policy...	by CHARISSE CARNEY-NUNES (NSF) (01 Jun)
OPHS Consortium Success Story.doc	by KELLY BARLOW (NSF,CTR) (01 Jun)
Denali Commission Consortium Success Story.doc	by KELLY BARLOW (NSF,CTR) (01 Jun)
CSREES Consortium Success Stories.doc	by KELLY BARLOW (NSF,CTR) (01 Jun)
GMLoB Landscape.pdf	by KELLY BARLOW (NSF,CTR) (25 May)
January-February 2009 Grants World Bulletin.pdf	by KELLY BARLOW (NSF,CTR) (25 May)
October-November 2008 Grants World Bulletin.pdf	by KELLY BARLOW (NSF,CTR) (25 May)
August-September 2008 Grants World Bulletin.pdf	by KELLY BARLOW (NSF,CTR) (25 May)

Trusted sites 100%

start Microsoft Office O... DOI OMB Update 06 ... Home - Grants Comm... Desktop Links 11:28 PM Thursday 6/14/2009



■ max.omb.gov (<https://max.omb.gov/community/x/HgDp>)

Projects Underway (abridged)

- Federal Grants Management Competency Model and Certification Training
- Relocation of common rule and A-110 requirements to 2 CFR
- Information management initiatives
- Single audit improvements...
 - National Single Audit Sampling Project
 - Final Report Issued June 2007

<http://www.ignet.gov/pande/audit/NatSamProjRptFINAL2.pdf>



Notice posted
on Grants.gov
In April 2009



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RECOVERY ACT AND SYSTEM ALLEVIATION NOTICE

Due to the expected increase in system activity resulting from the American Recovery and Reinvestment Act of 2009, the Office of Management and Budget (OMB) has authorized steps to alleviate system strain and quickly increase system capacity. As part of this strategy, select programs may choose to use alternate systems to process grant applications during this heightened period of demand. As a result, once you have identified your grant opportunity, be sure to carefully read the instructions to ensure you are following proper submission procedures for that program, even if you have applied before. Note that Grants.gov will still be the one place to find all available Federal grant opportunities. All opportunities posted on Grants.gov will include specific application instructions, including as appropriate, instructions for submitting applications outside of Grants.gov.

The following agencies will be accepting some or all applications outside of Grants.gov ? Corporation for National and Community Service (CNCS), Department of Defense (DOD), Department of Education (DOED) Department of Energy (DOE), Department of Housing and Urban Development (HUD), Department of Justice (DOJ), Department of Transportation (DOT), Environmental Protection Agency (EPA), National Air and Space Administration (NASA), and the National Science Foundation (NSF.) Please place close attention to the grant announcements and application instructions for these agencies. Applicants for grant announcements offered by agencies using alternate systems should note that the Grants.gov Contact Center can not address questions or issues relating to an alternate processing system. For assistance, applicants for these announcements should contact the designated official specified in the grant announcement and application instructions for the agency issuing the opportunity.

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FOR GRANTORS

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Renewal of PL 106-107?

S.303 if passed would:

- ❖ repeal 2007 termination date of the Federal Financial Assistance Management Improvement Act, PL 106-107 (thus extending it indefinitely);
- ❖ require OMB to submit a strategic plan to Congress;
 - ❖ require the head of each Federal agency, unless exempted by the Act, to develop an implementation plan;
 - ❖ require the OMB Director to **establish and maintain a public website that provides information on grant opportunities and allows organizations to apply for, track, and manage their grants online;**
 - ❖ require OMB to report biennially to Congress on the implementation of FFAMIA; and
 - ❖ require the head of the Federal agency to submit to the OMB Director a biennial progress report.



The Path Forward

