

# **Status Report to the Court Number Forty-Three**

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**For the Period  
October 1, 2010 through December 31, 2010**



**February 1, 2011**

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**TABLE OF CONTENTS**

**INTRODUCTION..... 1**

**I. OFFICE OF THE SPECIAL TRUSTEE FOR AMERICAN INDIANS..... 2**

**A. OFFICE OF HISTORICAL TRUST ACCOUNTING..... 3**

**B. TRUST SERVICES – CURRENT ACCOUNTING ..... 6**

**C. OFFICE OF TRUST RECORDS ..... 9**

**D. TRUST ACCOUNTABILITY ..... 11**

**1. TRUST BUSINESS PROCESS MODELING ..... 11**

**2. TRUST DATA QUALITY AND INTEGRITY ..... 12**

**3. INDIAN FIDUCIARY TRUST TRAINING PROGRAM..... 14**

**4. RISK MANAGEMENT ..... 15**

**5. TRUST REGULATIONS, POLICIES AND PROCEDURES ..... 16**

**E. TRUST REVIEW AND AUDIT ..... 17**

**F. APPRAISALS AND VALUATIONS ..... 18**

**II. INDIAN AFFAIRS..... 21**

**A. TRUST REGULATIONS, POLICIES AND PROCEDURES..... 21**

**III. BUREAU OF INDIAN AFFAIRS ..... 23**

**A. FRACTIONATION ..... 23**

**B. PROBATE..... 24**

**C. MINERAL ROYALTY ACCOUNTING AND DISTRIBUTION..... 26**

**IV. OTHER TOPICS ..... 27**

**A. INFORMATION TECHNOLOGY ..... 27**

**B. CADASTRAL SURVEY..... 31**

**C. OFFICE OF NATURAL RESOURCES REVENUE ..... 34**

**ACRONYMS AND ABBREVIATIONS..... 35**

**INTRODUCTION**

This *Status Report to the Court Number Forty-Three* (Report) represents the period from October 1, 2010, through December 30, 2010. The Report is presented for the purpose of informing the Court of actions taken since the issuance of the preceding quarterly report. The Report includes delays in and obstacles to trust reform activities. A report on the progress of the historical accounting of individual Indian beneficiary funds managed by Interior is a primary part of the Report.<sup>1</sup>

This Report is prepared in a manner consistent with previous reports to the Court. Managers from the Office of Historical Trust Accounting, Office of the Special Trustee for American Indians, Office of the Chief Information Officer, Bureau of Indian Affairs, Bureau of Land Management, and Office of Natural Resources Revenue submit reports on the status of their respective Indian trust activities.

A glossary of acronyms and abbreviations is included in this Report. The glossary is located at the end of the Report.

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<sup>1</sup> This Report contains information on the broad trust reform efforts underway at Interior. Accordingly, it may include information on reform efforts that are not within the scope of the *Cobell* litigation.

**STATUS REPORT TO THE COURT NUMBER FORTY-THREE**

**February 1, 2011**

**Office of the Special Trustee for American Indians**

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**I. OFFICE OF THE SPECIAL TRUSTEE FOR AMERICAN INDIANS**

On January 5, 2011, the position of Principal Deputy Special Trustee for American Indians was converted to a political position with a duty station of Washington, D.C. On that date, I joined OST as the Principal Deputy Special Trustee. In accordance with the departmental manual, the Principal Deputy Special Trustee performs the duties of the Special Trustee while that position is vacant. Ms. Donna Erwin is now the Associate Principal Deputy Special Trustee.

The *Cobell* settlement legislation was enacted on December 8, 2010. On December 21, 2010, the Court granted preliminary approval to the settlement. Final approval of the settlement by the Court is expected sometime in CY2011.

Date: January 25, 2011

Name: *Signature on File*

Ray A. Joseph

Principal Deputy Special Trustee for American Indians

**A. OFFICE OF HISTORICAL TRUST ACCOUNTING**

**Introduction**

OHTA's historical accounting includes all transactions in IIM accounts open on or after October 25, 1994 (the enactment date of the American Indian Trust Fund Management Reform Act), through the earlier of the date of account closure or December 31, 2000. OST has regularly issued account statements to IIM account holders since the end of 2000.

IIM accounting work decreased following the settlement announcement in December 2009, and most work stopped during the previous reporting period. This report only addresses those activities on which progress occurred.

**Accomplishments and Current Status**

***Imaging, Coding and Digitizing Individual Indian Trust Documents***

During this reporting period, OHTA completed imaging 26 IIM document pages, coding 10 IIM documents and loading 10 IIM documents into ART. As of December 31, 2010, ART contained 14.8 million coded IIM images and 13.6 million coded tribal images. The coded images to date total 28.4 million, constituting 7.1 million IIM and tribal documents.

***OHTA SDA Distribution Project – Undistributed SDA Balances***

During this reporting period, OHTA's work resulted in the distribution of \$283,840 of SDA balances, including interest, which raised the total dollars resolved and funds distributed as of December 31, 2010, to \$59.1 million. As of December 31, 2010, a total of 10,367 OHTA SDA, involving \$12,068,433 (plus interest from December 31, 2002), remained to be resolved and distributed. Of those remaining SDA, 72.5% had balances as of December 31, 2002, of less than \$500 each, involving 5.0% of the remaining undistributed dollars.

As reflected in the table below, OHTA's distribution of residual SDA balances continues to show that only about one-third of undistributed SDA funds belonged to IIM account holders. OHTA's work has also confirmed that, historically, Interior credited monies into the IIM accounting systems that were never intended for individual Indians.

**STATUS REPORT TO THE COURT NUMBER FORTY-THREE**

**February 1, 2011**

**Office of Historical Trust Accounting**

**OHTA SDA DISTRIBUTION PROJECT (1)**

<b>SDA Resolved Dollars</b>	<b>Transferred to Tribal Accounts</b>	<b>Transferred to IIM Accounts</b>	<b>Paid to Non-Indian, Third Parties and Others (2)</b>	<b>Total</b>
Dollars distributed as of September 30, 2010	\$18,370,792	\$18,498,409	\$21,939,941	\$58,809,142
Dollars distributed from October 1, 2010 through December 31, 2010	\$30,172	\$240,443	\$13,225	\$283,840
Dollars distributed from January 1, 2003 through December 31, 2010	\$18,400,964	\$18,738,852	\$21,953,166	\$59,092,982
Percent of dollars distributed from October 1, 2010 through December 31, 2010	10.63 %	84.71 %	4.66 %	100 %
Percent of dollars distributed from January 1, 2003 through December 31, 2010	31.14 %	31.71 %	37.15 %	100 %

Note (1) Includes historic SDA principal and interest postings through the date of distribution (i.e., total distribution amounts).

Note (2) Includes transfers to non-Indian parties, Treasury, account reclassifications, and transfers to Interior's Federal Finance System.

**STATUS REPORT TO THE COURT NUMBER FORTY-THREE**

**February 1, 2011**

**Office of Historical Trust Accounting**

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**Delays and Obstacles**

OHTA responds to information needs of SOL and DOJ in the *Cobell v. Salazar* litigation and in 96 cases involving 114 tribes, pending in the federal district courts, the Court of Federal Claims, the federal courts of appeals, and the Supreme Court.

**Assurance Statement**

I concur with the contents of the information contained in the Office of Historical Trust Accounting section of the *Status Report to the Court Number Forty-Three*. The information provided in this section is accurate to the best of my knowledge.

Date: January 25, 2011

Name: *Signature on File*  
Jeffrey P. Zippin, Executive Director (Acting) & Deputy Director  
Office of Historical Trust Accounting

**B. TRUST SERVICES – CURRENT ACCOUNTING**

**Introduction**

Current accounting activities focus on: (a) special deposit accounts; (b) whereabouts unknown accounts; and (c) small balance accounts.

**a. Special Deposit Account Activity**

**Current Status**

BIA is responsible for distributing SDA funds received since January 1, 2003 (prospective receipts).

Current SDA are those which cannot be distributed due to pending appeals, SOL opinions and cadastral surveys. During this reporting period, 18 receipt transactions were posted to SDA that qualified in one of the exception categories.

Remaining in current SDA are: \$11,216.40 pending an appeal on a business lease and \$20,041.95 (\$8,045.13, \$1,407.17, and \$10,589.65) pending SOL opinions. The pending amounts reflect the amount of the original SDA deposits. Any interest earned is included in the amount paid at the time of distribution.

**b. Whereabouts Unknown Accounts**

**Current Status**

OST continues to secure current addresses for account holders of the rolling top 100 highest dollar balance WAU accounts. During this reporting period, 13 of the top 100 WAU accounts, with combined account balances of approximately \$1 million, were updated with current addresses.

During this reporting period, 4,228 accounts, with a combined balance of \$2.1 million, were added to the WAU list, while 5,792 accounts, with a combined balance of \$5.3 million, were updated with current addresses.

As of December 31, 2010, there were 102,745 WAU accounts with a combined balance of \$66.8 million, including 28 WAU accounts with balances equal to or greater than \$100,000. The combined balance of these 28 WAU accounts made up 7% of the \$66.8 million balance. IIM accounts coded as WAU earn interest in the same manner as all other IIM accounts. The following table illustrates the number of accounts stratified by account balance and WAU category.

**STATUS REPORT TO THE COURT NUMBER FORTY-THREE****February 1, 2011****Trust Services – Current Accounting**

Account Balance	Correspondence Check Returned	Account Setup No address	Awaiting Address Confirmation	Refused Unclaimed Mail	Fee Only	Total
Equal to or over \$100,000	21	6	1	0	0	28
Under \$100,000 and equal to or over \$50,000	33	20	1	0	0	54
Under \$50,000 and equal to or over \$5,000	2,032	659	3	3	75	2,772
Under \$5,000 and equal to or over \$1,000	4,794	1,548	7	7	190	6,546
Under \$1,000 and equal to or over \$100	9,256	3,678	47	9	353	13,343
Under \$100 and equal to or over \$1	15,663	7,648	169	23	585	24,088
Under \$1	11,974	34,813	112	24	8,991	55,914
Total	43,773	48,372	340	66	10,194	102,745

**c. Small Balance Accounts****Current Status**

As of December 31, 2010, there were 108,644 accounts with a balance of \$15.00 or less (totaling \$15,286.89), and no activity for the previous 18 months. Statements are sent to account holders for these accounts on an annual basis pursuant to direction from Congress.

**STATUS REPORT TO THE COURT NUMBER FORTY-THREE**

**February 1, 2011**

**Trust Services – Current Accounting**

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**Assurance Statements**

I concur with the content of the information contained in the Whereabouts Unknown Accounts subsection of the Current Accounting Activities section of the *Status Report to the Court Number Forty-Three*. The information provided in this subsection is accurate to the best of my knowledge.

Date: January 14, 2011

Name: *Signature on File*

Bryan Marozas  
Program Manager, Trust Beneficiary Call Center  
Office of the Special Trustee for American Indians

I express no opinion on the content of the Whereabouts Unknown Accounts subsection, above. I concur with the content of the information contained in the balance of the Current Accounting Activities section of the *Status Report to the Court Number Forty-Three*, and this information is accurate to the best of my knowledge.

Date: January 18, 2011

Name: *Signature on File*

Margaret Williams  
Deputy Special Trustee, Trust Accountability  
Office of the Special Trustee for American Indians

## **C. OFFICE OF TRUST RECORDS**

### **Introduction**

The Office of Trust Records was established in 1999 to develop and implement a program for the economical and efficient management of trust records, consistent with the 1994 Act, the Federal Records Act and other statutes and implementing regulations. The OTR records management program has been developed and implemented, and continues to evolve, to ensure that necessary Indian records are maintained, records retention schedules are consistent with retention needs, and records are safeguarded throughout their life-cycles.

The American Indian Records Repository, located in Lenexa, Kansas, was built by Interior in collaboration with NARA for the purpose of consolidating and preserving Indian records at one NARA regional records center.

### **Accomplishments**

#### **American Indian Records Repository**

Approximately 3,383 boxes of inactive records were moved from BIA and OST field locations to AIRR for indexing during this reporting period. Indexing of approximately 211,545 boxes has been completed as of the end of this reporting period. 207,114 indexed boxes have been sent to AIRR for permanent storage.

#### **Training**

OTR provided records management training for 100 BIA and OST records contacts and 55 tribal employees during this reporting period. OTR provides records management training to Tribes as they request training. OTR provided training specifically on electronic records management to 49 BIA and OST employees and seven tribal employees.

### **Current Status**

#### **Records Retention Schedules**

Several records retention schedules for BIA and OST electronic records systems remain at NARA for review. OTR continued to work with NARA to provide information and/or clarification as required by NARA.

#### **Delays and Obstacles**

Court orders in tribal litigation cases continued to restrict movement of records to AIRR, which limits Interior's ability to consolidate Indian records in a central location, thus hindering its ability to provide records to parties in litigation.

***STATUS REPORT TO THE COURT NUMBER FORTY-THREE***

**February 1, 2011**

**Office of Trust Records**

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**Assurance Statement**

I concur with the content of the information contained in the Office of Trust Records section of the *Status Report to the Court Number Forty-Three*. The information provided in this section is accurate to the best of my knowledge.

Date: January 14, 2011

Name: *Signature on File*

Ethel J. Abeita

Director, Office of Trust Records

Office of the Special Trustee for American Indians

**D. TRUST ACCOUNTABILITY**

**1. TRUST BUSINESS PROCESS MODELING**

**Introduction**

Interior is working to build a highly effective fiduciary trust services organization by implementing the business objectives contained in the Comprehensive Trust Management Plan. The CTM laid the groundwork for the development of the Fiduciary Trust Model. The FTM is being implemented to transform the current trust business processes into more efficient, consistent, integrated and fiscally responsible business processes that meet the needs and priorities of the beneficiaries. Implementation of the FTM is a collaborative effort of BIA, OST, BLM, ONRR and OHA, and is integrated with Interior's other trust reform initiatives.

**Accomplishments**

- Completed the final draft of the Introduction to Leasing Indian Trust Land course.
- Provided technical support for the MRAD conversion that was completed in October 2010

**Current Status**

Reengineering staff continued to:

- Provide oil and gas lease management support to the Fort Berthold Agency, Anadarko Agency, U&O Agency, and the Western Regional Office.
- Develop the content for the following trust training courses: Indian Oil and Gas Management; Introduction to Administrative Indian Land Law; and Rights-of-way on Indian Trust Land.

**Assurance Statement**

I concur with the content of the information contained in the Trust Business Process Modeling section of the *Status Report to the Court Number Forty-Three*. The information provided in this section is accurate to the best of my knowledge.

Date: January 18, 2011

Name: *Signature on File*  
Margaret Williams  
Deputy Special Trustee, Trust Accountability  
Office of the Special Trustee for American Indians

## **2. TRUST DATA QUALITY AND INTEGRITY**

### **Introduction**

The success of trust reform depends, in part, on the accuracy of data generated from the maintenance of trust assets, ownership of trust assets, distribution of trust income, and management of trust accounts. The DQ&I project focuses on three primary initiatives.

The first initiative is assisting BIA with document encoding into TAAMS leasing and title modules.

The second initiative involves the validation and correction of CDE to their respective source documents. CDE are those trust data elements that are needed to provide: (1) timely and accurate payments to beneficiaries; (2) timely and accurate periodic statements of performance to beneficiaries; and (3) effective management of the assets. CDE include, but are not limited to, beneficiary name, account number, tract identification number, and land ownership interests.

The third initiative is implementation of a Post-QA review process, which helps ensure ongoing accuracy of CDE by comparing TAAMS document encoding to the respective source input document.

### **Accomplishments**

During this reporting period, TPMC's contractors completed the following tasks:

- Assisted FIMO with distributing the remaining \$3,933.23 (cumulative total of \$164,545.22) to IIM account holders affected by FIMO's oil and gas lease re-numbering issue, as previously reported. Current royalty receipts are distributed on a monthly basis.
- Researched 88 residential leases for cancellation for Palm Springs Agency.

### **Current Status**

TPMC's contractors continued to assist BIA and Tribes with TAAMS Leasing post-conversion cleanup efforts and daily operations by:

- Conducting Post-QA review of 10,416 transactions encoded into TAAMS (cumulative total 392,454) at the BIA LTROs and the contractor's Albuquerque office.
- Researching 2,262 Multiple Owner Identification Numbers (cumulative total 61,808).
- Resolving 257 outstanding BIA Post-QA review variances (cumulative total 3,196) for the various LTROs prior to being placed on hold. Other projects have been placed on hold pending intra-agency funding.

**Assurance Statement**

I concur with the content of the information contained in the Trust Data Quality and Integrity section of the *Status Report to the Court Number Forty-Three*. The information provided in this section is accurate to the best of my knowledge.

Date: January 14, 2011

Name: *Signature on File*

Nolan J. Solomon

Trust Reform Specialist, Trust Accountability

Office of the Special Trustee for American Indians

**3. INDIAN FIDUCIARY TRUST TRAINING PROGRAM**

**Introduction**

Interior has a continuing responsibility to provide adequate staffing, supervision and training for trust fund management and accounting activities. Fiduciary trust training is essential to the success of Interior's trust reform efforts and forms an integral part of all training for Interior employees who are involved in the management of Indian trust assets.

**Current Status**

Cannon Financial Institute presented courses in Trust Accounting, Guardianships, Probate, Investment Fundamentals, Asset Management, Fiduciary Behavior, and Risk Management to 137 BIA, OST, SOL, and tribal personnel.

One BIA representative took the CIFTs Review course, passed the CIFTs Exam, and received her CIFTs certification.

OST training staff conducted two training sessions for seven employees from OST and BIA on the use of TFAS and related systems and reporting programs. OST and BIA staff presented three Trust Fundamentals courses to 126 personnel from Department of Agriculture, OST, BIA, OHA, ONRR and tribes.

**Assurance Statement**

I concur with the content of the information contained in the Indian Fiduciary Trust Training Program section of the *Status Report to the Court Number Forty-Three*. The information provided in this section is accurate to the best of my knowledge.

Date: January 14, 2011

Name: *Signature on File*  
Thomas Bird Bear  
Acting Director, Office of Trust Training  
Office of the Special Trustee for American Indians

**4. RISK MANAGEMENT**

**Introduction**

The Deputy Special Trustee-Trust Accountability is responsible for overseeing OST's risk management program, which is implemented by the Trust Program Management Center. TPMC risk management staff identify and document OST programs, policies, procedures and processes, both trust and administrative activities. TPMC staff also develop, operate and maintain risk-based management tools to support and monitor the risk levels and implementation of corrective actions. In addition, TPMC staff facilitate program reviews, which include testing of program operations, financial reports, and compliance with the law. These tests and reviews provide the basis for interim and annual statements of assurance.

**Current Status**

Risk management staff began to evaluate and update RM-PLUS content for OST programs to determine where modifications were needed based on revised regulations and guidance, and input from program managers.

As a result of the FY2010 self-assessments and testing efforts, 38 RM-PLUS CAPs remained open. None of these CAPs were considered material enough to result in a qualified statement of assurance for OST. During this reporting period, eight CAPs were closed.

As a result of the FY2009 self-assessments and testing efforts, one RM-PLUS CAP remained open.

**Assurance Statement**

I concur with the content of the information contained in the Risk Management section of the *Status Report to the Court Number Forty-Three*. The information provided in this section is accurate to the best of my knowledge.

Date: January 18, 2011

Name: *Signature on File*  
John Constable  
Program Analyst, Trust Accountability  
Office of the Special Trustee for American Indians

**5. TRUST REGULATIONS, POLICIES AND PROCEDURES**

**Introduction**

The Office of Trust Regulations, Policies and Procedures was established within OST on April 21, 2003, to assist Interior in establishing “consistent, written policies and procedures for trust fund management and accounting” as stated in the 1994 Act. OTP oversees and facilitates the development, promulgation and coordination of trust-related regulations, policies, procedures and other materials to guide the proper discharge of Interior’s fiduciary responsibilities. OTP is separate from the Office of Regulatory Affairs and Collaborative Action, AS-IA. RACA activities are reported in the Indian Affairs section of the report to the Court.

**Accomplishments**

OTP published 17 new or revised policies, procedures, handbooks and other documents.

**Current Status**

Subject matter experts from BIA, OST and SOL continued work on a project to update BIA and OST’s Interagency Procedures Handbook. During the reporting period, revisions were made to an additional six chapters. To date, 14 of 17 chapters have been revised.

As of the end of the reporting period, OTP’s work-in-progress consisted of 108 policies, procedures, handbooks, forms and notices in varying stages of completion.

At the request of the program manager, the TBCC procedures directive is being redrafted.

**Assurance Statement**

I concur with the information contained in the Office of Trust Regulations, Policies and Procedures section of the *Status Report to the Court Number Forty-Three*. The information provided in this section is accurate to the best of my knowledge.

Date: January 14, 2011

Name: *Signature on File*

John Marshall,  
Director, Office of Trust Regulations, Policies and Procedures  
Office of the Special Trustee for American Indians

**E. TRUST REVIEW AND AUDIT**

**Introduction**

OTRA reports directly to the Special Trustee for American Indians. OTRA was created by OST as a response to trust initiatives developed during the tribal consultation process of 2002. OTRA conducts performance audits, examinations and reviews of Interior entities as well as Tribes that perform fiduciary trust activities. Examinations are routinely conducted at locations that perform trust operations, resulting in a performance rating. Also, compliance reviews are undertaken in response to information and complaints received from beneficiaries, employees and the public.

**Current Status**

**Indian Trust Examinations**

OTRA conducted thirteen Indian trust examinations. OTRA issued five draft reports and seven final Indian trust examination reports. All offices were rated “satisfactory.”

**Records Assessments**

The records assessment is a focused evaluation of records maintenance and security. OTRA conducted five records assessments. OTRA issued six final records assessment reports.

**Assurance Statement**

I concur with the content of the information contained in the Trust Review and Audit section of the *Status Report to the Court Number Forty-Three*. The information provided in this section is accurate to the best of my knowledge.

Date: January 20, 2011

Name: *Signature on File*

Jim Schock

Acting Director, Office of Trust Review and Audit

Office of the Special Trustee for American Indians

**F. APPRAISALS AND VALUATIONS**

**Introduction**

OST's Office of Appraisal Services is responsible for Indian land valuations required by various regulations governing Indian trust and restricted fee lands. To meet these requirements, appraisals and other generally accepted valuation methodologies and techniques are used to determine fair market value of Indian lands.

The Office of Minerals Evaluation is a part of the Office of Valuation Services within the Office of the Secretary. OME is responsible for valuing the mineral estates for Indian lands required by various regulations governing Indian trust lands. To meet these requirements, various economic evaluation methodologies (including comparables analyses) are performed to determine the stand-alone value of minerals or their contributory value to the overall fair market value of Indian lands.

**Accomplishments**

OAS transmitted 1,631 real estate appraisals to clients; of these, 384 were completed using the U/FAS in the Great Plains Region.

In support of ILCP, OME completed minerals assessments on 3,607 parcels. Mineral Assessment/Market Analysis Studies (or some version thereof) were performed for four Indian Reservations: Port Madison (Suquamish) (WA), Salt River (AZ), Spokane (WA), and Swinomish (WA). Each report depicts which parcels were completed and which, if any, were set aside for further analysis/review for a variety of technical reasons. Out of the 3,607 parcels that were analyzed, 3,593 parcels were valued and forwarded to the Indian Land Consolidation Office and 14 were set aside for further analysis.

OME also updated 329 parcels on the Quapaw Reservation (OK). Update was accomplished through discussions and confirmations with individuals who have the latest information pertaining to any changes in the area regarding mineral resources or other factors that would impact the results of the last minerals analyses performed.

At the request of ILCO and OHA, OME evaluated 210 parcels related to 92 tracts for 17 Indian probate cases.

**Current Status**

An OST Office of External Affairs/OAS workgroup continued to review and revise the workload-based formula on tribal-shares funding allocations. During the next reporting period, OST executive management is expected to approve the formula for implementation.

**STATUS REPORT TO THE COURT NUMBER FORTY-THREE**

**February 1, 2011**

**Appraisals and Valuations**

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The OAS Director met with representatives from BIA, OME, OHA and OIG on the development of Purchase at Probate Policy and Procedures. The procedures will provide requirements to implement the authority and regulations governing the probate of Indian trust and/or restricted property in estate status.

OAS is working with OVS to proceed with ARRTS and its implementation.

Past due requests and pending work as reported by the regions are as follows:

<b>Region</b>	<b>Appraisals Completed This Quarter</b>	<b>Pending Work as of 12/31/10 (includes Past Due requests)</b>	<b>Past Due Requests As of 9/30/10</b>	<b>Past Due Requests As of 12/31/10</b>
Northwest	379	600	0	514
Rocky Mountain	247	397	226	171
Midwest	293	268	17	9
Western	45	82	35	39
Southwest	7	8	0	1
Eastern Oklahoma	50	174	23	113
Navajo	17	9	1	0
Pacific	21	10	0	10
Alaska	64	192	42	59
Eastern	24	20	0	0
Southern Plains	146	632	15	12
Great Plains	338	30	15	11
<b>TOTAL</b>	<b>1,631</b>	<b>2,422</b>	<b>374</b>	<b>939</b>

**Assurance Statement**

I concur with the content of the information concerning the OME minerals assessments in the accomplishments section of the Office of Appraisal Services section of the *Status Report to the Court Number Forty-Three*. The information provided in this section is accurate to the best of my knowledge.

Date: January 14, 2011

Name: *Signature on File*  
Robert L. Davidoff  
Chief,  
OVS – Office of Minerals Evaluation

I express no opinion on the content of the OME minerals assessments paragraph. I concur with the content of the information contained in the balance of the Office of Appraisal Services section of the *Status Report to the Court Number Forty-Three*, and this information is accurate to the best of my knowledge.

Date: January 24, 2011

Name: *Signature on File*  
Eldred F. Lesensee  
Director,  
Office of Appraisal Services

## II. INDIAN AFFAIRS

### A. TRUST REGULATIONS, POLICIES AND PROCEDURES

#### Introduction

The Office of Regulatory Affairs and Collaborative Action in the Office of the Assistant Secretary – Indian Affairs is responsible for review and revision of all regulations governing Interior’s management of the Indian trust. RACA is separate from OST's Office of Trust Regulations, Policies and Procedures, whose activities are reported in the OST section of the *Status Report to the Court*.

#### Current Status

**Regulatory Initiative** – As previously reported, revisions to the 2008 regulations related to probate processing, hearings and appeals are necessary because, a few weeks following their publication, Congress amended the authorizing statute, the American Indian Probate Reform Act. These updates were approved by SOL during this reporting period. The Assistant Secretary – Indian Affairs and the Assistant Secretary – Policy, Management, and Budget approved these regulations at the end of CY2010. The rule is now with OMB for informal review. RACA expects OMB to clear the rule for publication in the *Federal Register* during the next reporting period.

In accordance with direction from AS–IA leadership, RACA continued to draft a new subpart of the leasing regulations to address leases for the evaluation and development of wind and solar resources on Indian land. RACA and the U.S. Institute for Environmental Conflict Resolution continued to prepare for working sessions throughout Indian Country on the draft leasing, grazing, rights-of-way, and trespass regulations. As previously reported, this on-going consultation process is expected to continue during CY2011 and to culminate in the proposal of these regulations in the *Federal Register* for public notice and comment.

As previously reported, amendments to the CFR parts regarding conveyances of trust and restricted interests, and regarding land title and records are on hold.

**25 CFR 200 to 207 – Minerals Leasing, Development, Exploration and Reclamation** – As previously reported, the complete draft of these regulations is not expected until after the above-described priority regulations have been published.

**STATUS REPORT TO THE COURT NUMBER FORTY-THREE**

**February 1, 2011**

**Trust Regulations, Policies and Procedures**

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**Assurance Statement**

I concur with the content of the information contained in the Trust Regulations, Policies and Procedures – Indian Affairs section of the *Status Report to the Court Number Forty-Three*. The information provided in this section is accurate to the best of my knowledge.

Date: January 19, 2011

Name: *Signature on File*

Michele F. Singer

Director, Regulatory Affairs and Collaborative Action

Office of the Assistant Secretary – Indian Affairs

**III. BUREAU OF INDIAN AFFAIRS**

**A. FRACTIONATION**

**Introduction**

Fractionation of Indian trust and restricted land results from the federal Indian policy of the 19<sup>th</sup> century. Fractionation occurs as land passes from one generation to the next, and an increasing number of heirs or devisees acquire an undivided interest in the land. This is a complex and potentially emotion-charged issue, due primarily to cultural differences, historical legacy and family associations of the present owners with the original Indian owners of those lands. Efforts to address this complex issue have been coordinated primarily through the BIA Indian Land Consolidation Office, which has sought to help Tribes make use of the opportunities offered by the Indian Land Consolidation Act, as amended in 2004. ILCO operates several acquisition projects that purchase interests in fractionated tracts and transfer title to the Tribes. A total of 20 reservations located in seven BIA Regions have participated in ILCP.

**Current Status**

ILCO continued to review various provisions in AIPRA to develop potential alternatives to addressing fractionation. ILCO continued to work on a white paper regarding wills and their storage. ILCO now expects to present a draft White Paper to the AS-IA during the next reporting period.

Because of extensive testing of changes to TAAMS, ILCP acquired no fractional interests during this reporting period.

**Assurance Statement**

I concur with the content of the information contained in the Fractionation section of the *Status Report to the Court Number Forty-Three*. The information provided in this section is accurate to the best of my knowledge.

Date: January 14, 2011

Name: *Signature on File*  
Brenda Walhovd  
Acting Director, Indian Land Consolidation Office  
Bureau of Indian Affairs

**B. PROBATE**

**Introduction**

BIA, OHA and OST must coordinate their work to complete the probates of Indian estates. Information on the status of probates is contained within the ProTrac system. Each BIA regional office and corresponding agency is responsible for opening new cases, examining initial information provided and updating case data in the ProTrac system.

**Current Status**

**Case Preparation**

Case preparation is the initial stage of the probate process. During this stage information is researched and gathered regarding the identity and whereabouts of potential heirs, and an inventory of the trust assets of an estate is prepared. According to ProTrac, 7,788 probate cases are in the case preparation stage. BIA prepared 1,328 cases and submitted them to OHA for determination.

**Case Adjudication**

Depending on the complexity of the case or the tribal affiliation of decedents and location of trust lands, probates are adjudicated by ALJs, IPJs or ADMs, or by the State of Oklahoma district courts for estates of the Five Civilized Tribes of Oklahoma and Osage Indians. Cash-only cases with a date-of-death IIM balance of \$5,000 or less are assigned initially to one of the ADM's for summary disposition after review for completeness of the probate file.

Because OHA is adjudicating more cases affected by the 2004 enactment of AIPRA, OHA is receiving more requests for land purchases at probate, both from Tribes and co-owners. As a result, OHA anticipates a growing need for appraisals and mineral valuations to complete these purchases and close the estates in a timely manner.

According to ProTrac, 9,736 probate cases are pending in the case adjudication stage, which includes cases decided by OHA that are within the 45 day appeal period and cases pending in the Eastern Oklahoma state district court. As reported by OHA, 5,288 cases are awaiting a decision. ProTrac shows that ALJs, IPJs and ADMs received 1,662 cases and decided 1,215 cases.

**Case Closure – BIA**

Cases in the closing stage have been adjudicated. During this stage, if land is part of the estate, BIA updates land ownership information in TAAMS, which may entail preparing supporting documentation for distribution and closure of the estate account. According to ProTrac, 2,505 cases were pending in the case closure stage. BIA closed 1,505 cases.

**Financial Case Closure – OST**

Financial case closure is the posting and recording of ownership and distribution of assets after the case has been adjudicated and land ownership information has been updated by BIA if necessary. OST reported that it distributed funds and closed 1,824 accounts in TFAS during this reporting period representing 1,792 estates. As of December 31, 2010, TFAS contained 37,135 open estate accounts, which is an increase of 474 from the 36,661 open estate accounts at the end of the last reporting period.

**Delays and Obstacles**

The following obstacles have been identified as having an impact on the progress of the probate program:

- Continued fractionation of ownership of Indian lands;
- Cultural differences regarding the subject of death and funerals;
- Loss of case preparation personnel/contractors; and
- Initiatives compelled by the proposed Cobell litigation settlement.

**Assurance Statement**

I concur with the content of the information contained in the Probate section of the *Status Report to the Court Number Forty-Three*. The information provided in this section is accurate to the best of my knowledge.

Date: January 31, 2011

Name: *Signature on File*  
Adelita Guerue, Director Special Projects  
Chief, Probate Division  
Office of Trust Services  
Bureau of Indian Affairs

**C. MINERAL ROYALTY ACCOUNTING AND DISTRIBUTION**

**Introduction**

The Royalty Distribution and Reporting System maintains data about individual Indian oil and gas leasehold interests. RDRS also determines the allocation of oil and gas income – derived from trust property – to be paid to Indian beneficiaries. RDRS is a legacy system currently running on a mainframe computer at the Indian Affairs Data Center in Herndon, Virginia.

The Royalty Management Oil and Gas Project Team, which includes staff from BIA, OST, and ONRR (formerly part of MMS), developed the Mineral Royalty Accounting and Distribution module in TAAMS. When fully implemented, the module is expected to streamline, standardize and provide additional functionality to support the business process for disbursing oil and gas revenue.

**Current Status**

The first oil and gas royalty distribution, using the MRAD module in TAAMS, was completed successfully on October 27, 2010. Work continued on automating distribution of refunds to oil and gas companies for trust land that has been converted to fee.

**Assurance Statement**

I concur with the content of the information contained in the Mineral Royalty Accounting and Distribution section of the *Status Report to the Court Number Forty-Three*. The information provided in this section is accurate to the best of my knowledge.

Date: January 20, 2011

Name: *Signature on File*  
Charlene Toledo  
Associate Deputy Bureau Director  
Trust Services, Trust Administration  
Bureau of Indian Affairs

#### IV. OTHER TOPICS

##### A. INFORMATION TECHNOLOGY

###### Introduction

This section describes the status of Interior IT systems, particularly Trust systems. In addition, this section describes various efforts being made to improve IT security within Interior, pursuant to OMB Circular A-130 Appendix III.

###### Accomplishments

###### **Policies and Guidance:**

- On December 14, 2010, the Secretary of the Interior issued Secretarial Order #3309, *Information Technology Management Functions and Establishment of Funding Authorities*. The order supersedes Secretarial Order 3244, *Standardization of Information Technology Functions and Establishment of Funding Authorities*, dated November 12, 2002. The order initiates an IT transformation effort by placing responsibility for the oversight, management, ownership, and control of all agency IT infrastructure assets under a single Departmental CIO. The order also abolishes the equivalent CIO titles and functions previously performed independently by each bureau and office.
- On December 7, 2010, the CIO issued OCIO Directive 2011-001 “Incident Dispatch Centers, Short-Term Incident Personnel.” This directive provides policy for short-term personnel such as interns, volunteers, or seasonal employees who require logical access to Interior computer systems.

**Reports:** The following reports issued during this reporting period related to information security across Interior:

- On November 12, 2010, the DOI OIG issued “FY 2010 FISMA Evaluation Report” (Report No. ISD-EV-MOA-0001-2010). This report identifies aspects of non-compliance with agency policy, procedures, and practices across Interior’s bureaus and offices to varying degrees. It did not, however, find that the weaknesses significantly restrict the capability of Interior to carry out its mission or any instance in which information or information systems were compromised. The majority of the findings identified in the report were associated with information systems having either low or moderate security categorizations (e.g., potential risk impact ratings). In the Secretary’s November 17, 2010, memorandum transmitting Interior’s annual FISMA report to OMB, he acknowledged that, while Interior’s information security policies adequately comply with FISMA, OMB requirements, and standards issued by NIST, the full implementation of policies is lacking within Interior’s bureaus and offices. The Secretary further acknowledged the seriousness of these issues and outlined the steps Interior is taking to correct them as part of the current IT transformation effort.
- On October 18, 2010, the CIO issued “FY 2010 Annual Assurance Statement on Internal Controls,” which provides reasonable assurance that: Interior’s internal controls were

operating effectively in accordance with FMFIA; information systems generally comply with FISMA; and Interior substantially complies with the three components of FFMIA.

- On October 15, 2010, the CIO issued “Response to Evaluation of the Active Directory,” identifying planned corrective actions to address the four recommendations included in the OIG’s evaluation report (No. ISD-EV-MOA-0006-2010).
- On November 30, 2010, GAO issued “Federal Agencies Have Taken Steps to Secure Wireless Networks, but Further Actions Can Mitigate Risk” (GAO-11-43). The report included the following four recommendations specific to Interior:
  1. Finalize and implement the wireless Security Technical Implementation Guide.
  2. Develop, document, and implement a written policy for configuring mobile devices when taken on international travel or to other potentially risky locations and for applying preventative measures to devices when they are returned.
  3. Update the security awareness training course to include information on the importance of maintaining physical control over mobile devices and disabling wireless functionality when not in use.
  4. Finalize and implement the draft security policy for personal digital assistants.

### **Current Status**

#### **Prevention and Monitoring:**

ESN manages the first line of network intrusion defense for the Department. Perimeter security controls making up this first line of defense include the following: signature based intrusion prevention system, behavioral based honey pot intrusion prevention system, firewalls and web filtering services. These controls collectively blocked over one million network attacks during this reporting period. As previously reported, DOI-CIRC continued to adhere to US-CERT incident reporting requirements and procedures.

#### **Plan of Action and Milestones:**

CSAM is used by Interior to identify, prioritize, track and correct security weaknesses using the POA&M process. The POA&M process includes the proactive identification of weaknesses through self-assessments, independent financial audits, and findings based on OIG reports. In order to prioritize resources and remediation efforts, the risk level of each weakness is rated as high, medium, or low.

- During the reporting period, 130 weaknesses were eliminated and 494 new weaknesses were identified and added for Trust systems.
- At the end of this reporting period, there were 1,044<sup>2</sup> weaknesses associated with Trust systems. Of the 1,044 open weaknesses, 65 (6.2%) are rated high, 656 (62.8%) are rated moderate, and 323 (30.9%) are rated low.

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<sup>2</sup> These statistics are not the result of a straight-line equation from one reporting period to the next. Additionally, these values may be affected by the DOI CSAM system failure, in that data restoration and verification efforts are ongoing.

**A-130 Certification and Accreditation:**

All Trust systems currently tracked in CSAM have full ATO status. The OHTA Clifton Gunderson Indian Trust Information System was successfully decommissioned, and is not currently operational.

**Training and Awareness:**

Interior has started its FY2011 training cycle for annual Security Awareness Training and Role-Based Security Training.

**Incidents:**

- As reported in the last two reports, a fire occurred at the TMM Business Records Storage warehouse in Albuquerque, New Mexico, on June 23, 2010. As previously reported, OST stored three categories of electronically stored information in a fireproof vault at the TMM warehouse: OST network backup tapes; copies of BIA backup tapes; and decommissioned computer hard drives. As also previously reported, all OST tapes were accounted for. OST does not believe any data or electronically stored information has been irretrievably lost by the destruction of 120 boxes of OST copies of BIA backup tapes. OST plans to dispose of the decommissioned hard drives pursuant to the protocols and procedures set forth in Interior's security policy handbook, and does not believe that any data or electronically stored information has been lost from the decommissioned hard drives.
- BLM reported three laptops stolen from its Washington office. A police report was filed. The investigation was ongoing to determine what information may have been on the laptops. None of the laptops had yet been configured with full disk encryption software due to BLM's delayed roll-out of Interior's encryption solution; however, BLM does not believe that trust data was stored on any of these devices. There have not been any reports of data being compromised.
- OHTA reported that on October 7, 2010, nine encrypted CDs sent via FedEx from McLean, VA to Los Angeles, CA never arrived. The CDs contained 156 pages of scanned images of tribal ledgers which included 76 tribal account numbers. A trace was immediately set up through FedEx to investigate the incident. FedEx initially reported the package as having been lost. However, the package was later found and arrived at its destination on October 19, 2010. The recipient confirmed that all data was received.

**Delays and Obstacles**

As previously reported, NBC submitted a notification memorandum to NARA, informing it of the potential loss of records due to the CSAM system failure. During this reporting period, NBC issued a data call to bureaus and offices requesting a detailed account of the number and types of lost records, and the level of effort required to manually recover lost data.

Results of the data-call indicate that BIA is the only bureau that has yet to recover its lost data. The impact to BIA was reported to be moderate. Moderate impact, as defined within the reporting template, is information lost within CSAM that: will be required for future C&A

**STATUS REPORT TO THE COURT NUMBER FORTY-THREE**

**February 1, 2011**

**Information Technology**

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activities; will be recreated over time during normal Continuous Monitoring and Compliance activities; and has no impact on day to day operations or current C&A activities.

**Staffing:**

During this reporting period:

- The AS-IA Deputy CIO continued to serve as the acting BIA CIO.
- The BLM BCISO served as acting BLM Deputy CIO.
- A BLM IT Specialist served as acting BCISO.
- The SOL BCISO served as the acting SOL CIO.

**Assurance Statement**

I concur with the content of the information contained in the Information Technology section of the *Status Report to the Court Number Forty-Three*. The information provided in this section is accurate to the best of my knowledge.

Date: January 31, 2011

Name: *Signature on File*

Bernard J. Mazer

Department of the Interior Chief Information Officer

## **B. CADASTRAL SURVEY**

### **Introduction**

Cadastral surveys provide assurance that land boundaries for individual Indian and tribal trust and restricted lands are identified appropriately. By federal law, surveys of Indian lands are to be performed under BLM's direction and control and in conformity with the rules and regulations under which other public lands are surveyed. Official surveys, whether preexisting or new, identify the location of land boundaries of Indian trust assets and determine official acreage. The official surveys are integral to realty transactions, resource management activities, litigation support and the federal system of patent, allotment and land tenure records maintained by BLM, BIA and local governments. Ownership information, distribution of land-based trust assets, and management of land-based trust accounts may be related to or based upon the information recorded in official surveys.

### **Accomplishments**

#### **Survey Production**

BLM approved a total of 25 completed survey projects in Indian Country during this reporting period. These surveys produced 40 plats, 410 miles of survey line and an additional 981 survey monuments in Indian Country.

#### **Certified Federal Surveyor Program**

The BLM Cadastral Program deployed the CFedS program in FY2007. During this reporting period:

- There were 1,103 professional land surveyors enrolled in the CFedS training program, and 418 CFedS certified. There were 48 states (plus DC and the Virgin Islands) represented with licensed surveyors either enrolled or certified in the program.
- The CFedS web site had approximately 25,650 visits and the "Finding a CFedS" page received approximately 15,150 inquiries.
- 56 new professional land surveyors started the program.

### **Current Status**

#### **Implementation of the FTM**

The CGIS, as described in the TAAMS Spatial Pilot Project, is a key element of the FTM for enhanced management of Indian lands. The CGIS has not been implemented throughout Indian Country because of a lack of resources; however, OST, BIA, and Interior's CIO continued to explore options to fund the CGIS initiative.

The Records Update and Verification Project, funded by the American Recovery and Reinvestment Act, continued. The project is improving positional information and verifying BLM land status records, which will help facilitate development of CGIS by private and governmental entities, including Tribes.

### **Findings from OIG**

OIG issued its final audit report "Department of the Interior's Management of Land Boundaries" (Audit No. C-IN-MOA-OOO1-2009). As in the initial report, discussed in *Status Report to the Court Number Forty*, OIG "found that BLM's Cadastral Survey program has been missing the opportunity to identify and perform surveys on high risk lands where significant potential revenues could be collected by the Department and/or Indian tribes." BLM continues to develop a corrective action plan to address the report.

### **Delays and Obstacles**

#### **BIA/BLM Reimbursable Support Agreements**

BLM may be forced to stop or reduce work on current surveys because BIA has informed BLM that BIA may be unable to extend existing reimbursable support agreements, unlike in previous years. This action may cause BIA to deobligate approximately \$2,625,000 from these agreements. It would also impact future surveys.

#### **Funding of the FTM**

Proper planning, scheduling and implementation of future FTM work are dependent on funding. The reduced level of funding in Interior's FY2010 appropriation – \$300,000 less than FY2009 – continued to negatively impact the implementation of the FTM initiatives. Planning survey projects involves long-term commitment of professional services. Uncertainty of funding impacts the planning for resources, which increases overall costs. For example, if enacted, the proposed FY2011 decrease of \$13,000,000 in the budget for the Alaska Conveyance Program – which transfers federal land to Alaska Natives, Alaska Native corporations and the state of Alaska – will dramatically increase the time-line for those conveyances.

**Assurance Statement**

I concur with the content of the information contained in the Cadastral Survey section of the *Status Report to the Court Number Forty-Three*. The information provided in this section is accurate to the best of my knowledge.

Date: January 21, 2011

Name: *Signature on File*  
Donald A. Buhler  
Chief Cadastral Surveyor  
Bureau of Land Management

**C. OFFICE OF NATURAL RESOURCES REVENUE**

**Introduction**

Effective October 1, 2010, the Minerals Revenue Management Program moved from the Bureau of Ocean Energy Management, Regulation and Enforcement (formerly MMS) to the Office of the Assistant Secretary for PMB and became the Office of Natural Resources Revenue. ONRR collects, accounts for, and distributes mineral revenues from both federal and Indian mineral leases. ONRR also evaluates industry compliance with laws, regulations, and lease terms. ONRR maintains reported information and distributes revenues at the lease level. BIA maintains individual Indian ownership records that are used to provide information to OST for disbursement of the lease revenues to individual Indian beneficiaries.

**Current Status**

**Indian Oil Valuation Rule**

ONRR plans to address issues regarding the “major portion” calculation for oil produced from Indian leases in a Negotiated Rulemaking Committee. A charter to establish the committee has been drafted. Once the charter is signed by Secretary Salazar and filed with Congress, ONRR will prepare a *Federal Register* notice to solicit committee-member nominations.

**Assurance Statement**

I concur with the content of the information contained in the Office of Natural Resources Revenue section of the *Status Report to the Court Number Forty-Three*. The information provided in this section is accurate to the best of my knowledge.

Date: January 14, 2011

Name: *Signature on File*

Shirley M. Conway

Special Assistant, Office of Natural Resources Revenue

Office of the Assistant Secretary - Policy, Management and Budget, DOI

**ACRONYMS AND ABBREVIATIONS**

1994 Act (or Act) 2007 Plan	American Indian Trust Fund Management Reform Act of 1994 Plan for Completing the Historical Accounting of Individual Indian Money Accounts
A-123	Office of Management and Budget Circular A-123, Management's Responsibility for Internal Control
A-130	Office of Management and Budget Circular A-130 Appendix III
ACSM	American Congress on Surveying and Mapping
ADM	Attorney Decision Makers
AFMSS	Automated Fluid Mineral Support System
AIMS	ActivCard Identity Management System
AIPRA	American Indian Probate Reform Act
AIRR	American Indian Records Repository
ALIS	Alaska Land Information System
ALJ	Administrative Law Judges
ARO	Alaska Region office
ARRTS	Appraisal Request and Review Tracking System
ART	Accounting Reconciliation Tool
AS-IA	Assistant Secretary-Indian Affairs
ASD	Appraisal Services Directorate
ASM	Accounting Standards Manual
ATLAS	AgWare Trust Land Appraisal System
ATO	Authority to Operate
BCISO	Bureau Chief Information Security Officer (formerly BITSM)
BIA	Bureau of Indian Affairs
BIAM	Bureau of Indian Affairs Manual
BILS	BLM Indian Lands Surveyors
BISS	Box Index Search System
BITSM	Bureau Information Technology Security Manager
BLM	Bureau of Land Management
BOEMRE	Bureau of Ocean Energy Management, Regulation, and Enforcement
BOR	Bureau of Reclamation
BPA	Blanket Purchase Agreement
BRM	Business Reference Model
C&A	Certification and Accreditation
CAP	Corrective Action Plan
CARS	Cadastral Automated Request System
CBS	San Carlos Irrigation Continental Billing System
CDE	Critical Data Elements
CFedS	Certified Federal Surveyor
CFI	Continuous Forest Inventory
CGI	Software vendor successor to TAAMS vendor
CGIS	Cadastral Geographic Information Systems
CI Manual	Coding and Imaging Manual

## **STATUS REPORT TO THE COURT NUMBER FORTY-THREE**

**February 1, 2011**

### **Acronyms and Abbreviations**

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CIFTA	Certified Indian Fiduciary Trust Analyst
CIFTS	Certified Indian Fiduciary Trust Specialist
CIO	Chief Information Officer
CIRC	Computer Incidents Response Center
CISO	Chief Information Security Officer
CISSP	Certified Information System Security Professional
CITE	Certified Indian Trust Examiners
CMS	Credential Management System
COTS	Commercial off-the-shelf
CP&R	Check Payment and Reconciliation
CPIC	Capital Planning and Investment Control
CREUMS	Colorado River Electrical Utility Management System
CSAM	Cyber Security Assessment and Management
CSD	Cyber Security Division
CSIRC	Computer Security Incident Response Capability
CSIRT	Computer Security Incident Response Team
CSS	Customer StrataStation
CTM	Comprehensive Trust Management Plan
DAA	Designated Approving Authority
DCV	Data Completeness Validation
DEAR	DOI Enterprise Architecture Repository
DDoS	Distributed Denial of Service
DLRM	DOI Land and Resource Management
DM	Departmental Manual
DMZ	De-Militarized Zone
DNS	Domain Name Server
DOI	Department of the Interior
DOJ	Department of Justice
DOP	Desk Operating Procedure
DoS	Denial of Service
DQ&I	Data Quality and Integrity
DRM	Data Reference Model
EA	Enterprise Architecture
ENA	Eastern Navajo Agency
EORO	Eastern Oklahoma Region office
ERA	Electronic Records Era
ERO	Eastern Region office
ESN	Enterprise Services Network
ETP	Enterprise Transition Plan
FAMS	Facilities Asset Management System
FAR	Federal Acquisition Regulation
FBMS	Financial Business Management System
FDCC	Federal Desktop Core Configuration
FFMIA	Federal Financial Management Improvement Act
FIMO	Farmington Indian Minerals Office

## **STATUS REPORT TO THE COURT NUMBER FORTY-THREE**

**February 1, 2011**

### **Acronyms and Abbreviations**

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FIPS	Federal Information Processing Standards
FISMA	Federal Information Security Management Act
FMFIA	Federal Managers' Financial Integrity Act
FOIA	Freedom of Information Act
FRC	Federal Records Center
FRD	Functional Requirements Document
FTM	Fiduciary Trust Model
FTO	Fiduciary Trust Officer
FWS	U.S. Fish and Wildlife Service
GAO	Government Accountability Office
GCDB	Geographic Coordinate Data Base
GIS	Geographic Information System
GLO	General Land Office
GLADS	Great Lakes Agency Database System
GPRO	Great Plains Region office
GPS	Global Positioning System
GSA	General Services Administration
GSS	General Support Systems
HSA	Historical Statement of Account
HSPD-12	Homeland Security Presidential Directive 12
IAM	Indian Affairs Manual
IATO	Interim Approval to Operate
ICR	Internal Control Review
ICRs	Information Collection Requests
IEA	Interior Enterprise Architecture
IEMSC	Indian Energy & Mineral Steering Committee
IFTR	Indian Fiduciary Trust Records
IG	Inspector General
IIM	Individual Indian Money
IITD	Individual Indian Trust Data
ILCA	Indian Land Consolidation Act
ILCO	Indian Land Consolidation Office
ILCP	Indian Land Consolidation Project
IM	Instruction Memorandum
IMDA	Indian Mineral Development Act
InfoDat	Indian Forestry Database
Interior	Department of the Interior
IP	Internet Protocol
IPJ	Indian Probate Judges
IPS	Intrusion Protection System
IPv6	Internet Protocol Version 6
IQCS	Incidence Qualification and Certification System
IRM	Information Resources Management
IRMS	Integrated Records Management System
IRN	Isolated Realty Network

## **STATUS REPORT TO THE COURT NUMBER FORTY-THREE**

**February 1, 2011**

### **Acronyms and Abbreviations**

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IRS	Internal Revenue Service
ISSDA	Indian Service Special Disbursing Agents
ISA	Information Security Assessment
ISIT	Internal Security Improvements Team
IT	Information Technology
ITARS	Indian Trust Appraisal Request Tracking System
ITIMS	Integrated Transportation Information Management System
ITRS	Indian Trust Rating System
IV&V	independent verification and validation
LAN	Local area network
LCTS	Land Consolidation Tracking System
LMS	Learning Management System
LR2000	Legacy Rehost 2000 System
LRIS	Land Records Information System
LTIC	Land Tenure in Indian Country
LTRO	Land Titles and Records Office
MA	Major Application
MAD/LCP	Management Accounting Distribution/Land Consolidation Program
MADS	Management Accounting Distribution System
MMD	Missing Mandatory Documents for Unrestricted Accounts
MMS	Minerals Management Service
MOU	Memorandum or Memoranda of Understanding
MRAD	Mineral Royalty Accounting and Distribution
MRM	Minerals Revenue Management
MRMSS	Minerals Revenue Management Support System
MWRO	Midwest Region office
NARA	National Archives and Records Administration
NBC	National Business Center
NFR	Notice of Findings and Recommendations
NILS	National Integrated Lands System
NIOGEMS	National Indian Oil and Gas Evaluation and Management System
NIPTC	National Indian Programs Training Center
NIRMC	National Information Resource Management Center
NIST	National Institute of Standards and Technology
NORC	National Opinion Research Center
NPS	National Park Service
NRO	Navajo Region office
NWRO	Northwest Region office
O&G	Oil and Gas
OAS	Office of Appraisal Services
OCIO	Office of the Chief Information Officer
OEA	Office of External Affairs
OHA	Office of Hearings and Appeals
OHTA	Office of Historical Trust Accounting
OIG	Office of the Inspector General

## **STATUS REPORT TO THE COURT NUMBER FORTY-THREE**

**February 1, 2011**

### **Acronyms and Abbreviations**

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OIP	Office of Information Policy
OISP	Office of IT Security and Privacy
OME	Office of Minerals Evaluation within NBC
OMB	Office of Management and Budget
ONRR	Office of Natural Resources Revenue
ORM	Office of Regulatory Management
OSM	Office of Surface Mining
OST	Office of the Special Trustee for American Indians
OTFM	Office of Trust Funds Management
OTP	Office of Trust Regulations, Policies and Procedures
OTR	Office of Trust Records
OTRA	Office of Trust Review and Audit
OVS	Office of Valuation Services
PACER	Payments, Accounting, Claims and Enhanced Reconciliation System
PAR	Performance and Accountability Report
PII	Personally Identifiable Information
PIV	Personal Identity Verification
PLSS	Public Land Survey System
PMB	Policy, Management and Budget
PMSO	Project Management Support Office
POA&M	Plans of Actions and Milestones
Post-QA	Post Quality Assurance
PPA	Office of Planning and Policy Analysis
PRIS	Production and Response Information System
PRO	Pacific Region office
ProTrac	Probate Case Management and Tracking System
QA	Quality Assurance
QC	Quality Control
RAF	Recommended Action Forms
RAS	Rangeland Administration System
RACA	Regulatory Affairs and Collaborative Action
RDRS	Royalty Distribution and Reporting System
REM	Real Estate Module
RFP	Request for Proposal
RM-PLUS	Risk Management Assessment/Evaluation tool
RMRO	Rocky Mountain Region office
ROCIS	Regulatory Information Service Center/Office of Information Regulatory Affairs Consolidated Information
ROW	Rights-of-Way
SANS	SysAdmin, Audit, Network, Security
SCADA	Supervisory Control and Data Acquisition
SDA	Special Deposit Accounts
SDLC	System Development Life Cycle
SMEs	Subject Matter Experts
SMS	System Management Servers

## ***STATUS REPORT TO THE COURT NUMBER FORTY-THREE***

**February 1, 2011**

**Acronyms and Abbreviations**

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SOL	Office of the Solicitor
SOW	Statement-of-Work
SPRO	Southern Plains Region office
SSA	Social Security Administration
SSAS	Social Services Automated System
SSM	System Security Manager
SSP	System Security Plan
ST&E	Security Test and Evaluation
Statements	Historical Statements of Account
STIGs	Security Technical Implementation Guides
SUS	System Update Servers
SWRO	Southwest Region office
TAAMS	Trust Asset and Accounting Management System
TAP	Technical Architecture Profile
TBCC	Trust Beneficiary Call Center
TCIS	Treasury Check Information System
TESC	Trust Executive Steering Committee
TFAS	Trust Fund Accounting System
TFR	Trust Fund Receivable
TIR	Trust Image Repository
TPMC	Trust Program Management Center
TRAC	Trust Tracking and Coordination
Treasury	Department of the Treasury
TRM	Technical Reference Model
TRO	Temporary Restraining Order
TSPP	TAAMS Spatial Pilot Project
U&O	Uintah & Ouray
U/FAS	Undivided/Fractionated Appraisal System
UAT	User Acceptance Testing
US-CERT	United States Computer Emergency Readiness Team
USGS	United States Geological Survey
USPAP	Uniform Standards of Professional Appraisal Practice
VBNS	Very High Performance Backbone Network Service
VPN	Virtual Private Network
WAN	Wide area network
WAU	Whereabouts Unknown
WRO	Western Region office