#### DEPARTMENT OF THE INTERIOR

# Freedom of Information Act 2010 Annual Report (October 1, 2009 - September 30, 2010)

- I. Basic Information Regarding Report
  - 1. Questions about the report should be directed to:

Alexandra Mallus
Departmental FOIA Officer
Office of the Chief Information Officer (OCIO)
Department of the Interior (DOI)
1849 C Street, NW
MS-7456-MIB
Washington, D.C. 20240

Telephone No.: (202) 208-5342

- 2. The electronic address for this report on DOI's World Wide Web site is: <a href="http://www.doi.gov/foia/10anrep.pdf">http://www.doi.gov/foia/10anrep.pdf</a>.
- 3. A copy of the report in paper form may be obtained by contacting the Departmental FOIA Officer (see 1. above).
- **II.** Making a FOIA Request (see DOI's **Guide for Obtaining Information** which is located at the following Internet address: <a href="http://www.doi.gov/foia/foia-guide">http://www.doi.gov/foia/foia-guide</a>).
  - 1. FOIA requests should be submitted to the FOIA contact at the bureau/office where the records are maintained. A list of the Department's bureaus/offices is provided below. If it is unclear where to send the request, contact the Departmental FOIA Officer. A list of DOI's FOIA contacts may be found at the following Internet address: <a href="http://www.doi.gov/foia/contacts.html">http://www.doi.gov/foia/contacts.html</a>.

#### **Bureaus/Offices**

Bureau of Indian Affairs (BIA) Bureau of Land Management (BLM)

Bureau of Ocean Energy Management, Regulation and Enforcement (BOEMRE)

Bureau of Reclamation (BOR)

National Park Service (NPS)

Office of Inspector General (OIG)

Office of Surface Mining (OSM)

Office of the Secretary (OS)

Office of the Solicitor (SOL)

U.S. Fish and Wildlife Service (FWS)

U.S. Geological Survey (USGS)

2. In accordance with its FOIA regulations, DOI makes records available to the public unless DOI reasonably foresees that the release of the information in those records would harm an interest protected by one or more of the **nine specific FOIA exemptions** (see 43 CFR § 2.21(b)(2)) and Appendix E to DOI's FOIA regulations. Some requests are not granted due to one of the reasons cited in V. B (1) below (see DOI's FOIA regulations, 43 CFR § 2.21(e)).

#### III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

1. Department of the Interior acronyms used in this report:

BIA Bureau of Indian Affairs
BLM Bureau of Land Management
BOEMRE Bureau of Ocean Energy Management, Regulation and Enforcement

(formerly known as the Minerals Management Service, MMS)

Bureau of Reclamation
Department of the Interior

EFTS Electronic FOIA Tracking System
FWS United States Fish and Wildlife Service

N/A Not Applicable

**BOR** 

DOI

NPS National Park Service

OCIO Office of the Chief Information Officer

OIG Office of Inspector General
OS Office of the Secretary
OSM Office of Surface Mining
SOL Office of the Solicitor

USGS United States Geological Survey

- 2. Include the following definitions of terms used in this Report:
  - a. **Administrative Appeal** a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
  - b. **Average Number** the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
  - c. **Backlog** the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
  - d. **Component** for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.

- e. **Consultation** the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f. **Exemption 3 Statute** a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b) (3) of the FOIA.
- g. **FOIA Request** a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- h. **Full Grant** an agency decision to disclose all records in full in response to a FOIA request.
- i. **Full Denial** an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j. **Median Number** the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k. **Multi-Track Processing** a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
  - i. **Expedited Processing** an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements

- for expedited processing as set forth in the statute and in agency regulations.
- ii. **Simple Request** a FOIA request within DOI's multi-track processing system that a DOI bureau/office can process within 1-5 workdays based on the low volume and/or simplicity of the records requested.
- iii. **Normal Request** a FOIA request within DOI's multi-track processing system that a DOI bureau/office can process within 20 workdays.
- iv. **Complex Request** a FOIA request within DOI's multi-track processing system that a DOI bureau/office requires more than 20 workdays to process based on the high volume and/or complexity of the records requested.
- 1. **Partial Grant/Partial Denial** in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- m. **Pending Request or Pending Administrative Appeal** a request or administrative appeal for which an agency has not taken final action in all respects.
- n. **Perfected Request** a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- o. **Processed Request or Processed Administrative Appeal** a request or administrative appeal for which an agency has taken final action in all respects.
- p. **Range in Number of Days** the lowest and highest number of days to process requests or administrative appeals.
- q. **Time Limits** the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).
- 3. The nine FOIA exemptions authorize Federal agencies to withhold information:
  - a. **Exemption 1**: classified national defense and foreign relations information
  - b. **Exemption 2**: internal agency rules and practices
  - c. **Exemption 3**: information that is prohibited from disclosure by another federal law

- d. **Exemption 4**: trade secrets and other confidential business information
- e. **Exemption 5**: inter-agency or intra-agency communications that are protected by legal privileges
- f. **Exemption 6**: information involving matters of personal privacy
- g. **Exemption 7**: records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
- h. **Exemption 8**: information relating to the supervision of financial institutions
- i. **Exemption 9**: geological information on wells

#### IV. EXEMPTION 3 STATUTES

#### A. Exemption 3 Statutes Relied upon to Withhold Information

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Bureau/Office	Total Number of Times Relied upon by Agency
Federal Property and Administrative Services Act (41 U.S.C. § 253b(m))	Certain information in contractor proposals.  Proposals of unsuccessful bidders.  Contractor bid schedule.	Interior, 305 F. Supp. 2d 21 (D.D.C. 2003)	BIA: 0 BLM: 4 BOEMRE: 0 BOR: 3 FWS: 8 NPS: 5 OIG: 0 OS: 0 OSM: 0 SOL: 0 USGS: 0	20
National Parks Omnibus Management Act (16 U.S.C. § 5937)	Information about the nature and location of a resource that is endangered, threatened, rare or commercially valuable; mineral or paleontological objects or objects of cultural patrimony in the National Park System.  Mineral or paleontological objects or objects of cultural patrimony in the National Park System.	for Biological Diversity v. USDA, 314 F.3d 1060, 1062 (9th Cir. 2002)	BIA: 0 BLM: 1 BOEMRE: 0 BOR: 0 FWS: 0 NPS: 4 OIG: 0 OS: 0 OSM: 0 SOL: 0 USGS: 0	5
Archaeological Resources Protection Act (16 U.S.C. § 470hh(a))	Descriptions and/or locations of archaeological resources.  Information describing historical and cultural resources and/or their specific locations.  Narrative descriptions of objects and their locations.	Department of the Interior, 238 F. Supp. 2d 1188 (S.D. Cal. 2002)	BIA: 3 BLM: 24 BOEMRE: 1 BOR: 0 FWS: 2 NPS: 3 OIG: 0 OS: 0 OSM: 0 SOL: 0 USGS: 0	33
National Historic Preservation Act Amendments (16 U.S.C. § 470w-3)	Information concerning the location, character or ownership of an historic resource.		BIA: 0 BLM: 8 BOEMRE: 0 BOR: 0 FWS: 0	9

			NPS: 1	
			OIG: 0	
			OS: 0	
			OSM: 0	
			SOL: 0	
			USGS: 0	
0 ( 0 ( )		N.T.		
Outer Continental	Conservation information documents.	None	BIA: 0	
Shelf Lands Act (43			BLM: 0	1
U.S.C. §§ 1350 -			BOEMRE: 1	
1352)			BOR: 0	
			FWS: 0	
			NPS: 0	
			OIG: 0	
			OS: 0	
			OSM: 0	
			SOL: 0	
			USGS: 0	
	Information related to individual	None	BIA: 1	
Privacy Act of 1978	applicants for loan guarantees.		BLM: 0	1
(12 U.S.C.			BOEMRE: 0	
§ 3413(h)(4))			BOR: 0	
0 ( )( ))			FWS: 0	
			NPS: 0	
			OIG: 0	
			OS: 0	
			OSM: 0	
			SOL: 0	
			USGS: 0	
Rule 6(e), Federal	Information that would reveal	McQueen v. United	BIA: 0	
Rules of Criminal	identities of witnesses or jurors;	States, 179 F.R.D.	BLM: 0	6
Procedure (Grand	testimony, strategy or direction of	522, 528-30 (S.D.	BOEMRE: 0	
Jury) (18 U.S.C.	investigations; or juror	Tex. May 6, 1998)	BOR: 0	
§ 385)	deliberations/questions.		FWS: 6	
3 202)	democrations, questions.		NPS: 0	
			OIG: 0	
			OS: 0	
			OSM: 0	
			SOL: 0	
			USGS: 0	
Procurement	Procurement information prior to the	Legal & Safety	BIA: 0	
Integrity Act (41	award of a contract.	Employer Research,	BLM: 2	7
U.S.C. § 423)		- ·	BOEMRE: 0	
	Proposals of unsuccessful bidders	the Army, No. Civ.	BOR: 0	
	2 Toposais of anouscessial oldders	S001748, 2001 WL	FWS: 1	
		34098652, at 3-4	NPS: 3	
		· ·		
		(E.D. Cal. May 4,	OIG: 0	
		2001) (dictum)	OS: 0	
			OSM: 0	

	SOL: 0 USGS: 1	

#### V. FOIA REQUESTS

#### A. Received, Processed and Pending FOIA Requests

Bureau/Office	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
BIA	156	1378	1410	124
BLM	111	1094	1023	182
BOEMRE	35	421	306	150
BOR	34	274	271	37
FWS	144	1241	1142	243
NPS	80	900	895	85
OIG	253	98	175	176
os	129	445	449	125
OSM	7	42	41	8
SOL	54	64	75	43
USGS	15	170	158	27
AGENCY OVERALL	1018*	6127	5945	1200

<sup>\*</sup>Although DOI indicated in its FY 2009 Annual Report that there were 1137 requests pending at the end of the fiscal year, the figure denoted in this chart is correct. The difference is attributed to the following: 1) in some instances, the bureau/office did not show requests that were in fact completed in FY 2009 as completed in the electronic FOIA tracking system (EFTS) until after the FY 2009 report was finalized; 2) there were some discrepancies in accounting; and 3) requests opened in the EFTS solely for the purpose of electronically assigning action to a Regional or Field Office were not counted in prior reports, but are counted here and will be included in future reports.

### B. (1) Disposition of FOIA Requests – All Processed Requests

Bureau/ Office	Number of Full Grants	Number of Partial Grants/ Partial Denials	Number of Full Denials Based on Exemptions		Number of	Full Denials	Based on	Reasons Otho	er than Exe	mptions			
				No Records	All Records Referred to Another Bureau/ Office or Agency	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other	Total
BIA	707	321	32	135	1	41	25	14	14	22	30	68	1410
BLM	408	306	11	98	54	41	31	13	31	3	23	4	1023
BOEMRE	182	32	1	24	16	19	5	7	3	7	6	4	306
BOR	171	23	4	22	2	12	27	0	3	1	1	5	271
FWS	731	128	11	86	9	30	5	1	3	4	1	133	1142
NPS	542	170	45	49	5	12	21	3	4	8	15	21	895
OIG	8	54	12	12	0	88	0	0	0	1	0	0	175
os	166	47	4	68	51	34	7	13	9	5	6	39	449
OSM	32	4	0	4	0	0	1	0	0	0	0	0	41
SOL	30	5	5	20	3	5	2	0	0	0	3	2	75
USGS	90	18	1	11	0	5	5	4	1	12	3	8	158
AGENCY OVERALL	3067	1108	126	529	141	287	129	55	68	63	88	284	5945

# B. (2) Disposition of FOIA Requests – "Other" Reasons for "Full Denials Based on Reasons Other than Exemptions" from Section V., B (1) Chart

Bureau/Office	Description of "Other" Reasons for Denials from Chart B (1) & Number of Times Those Reasons Were Relied Upon	TOTAL
BIA	Request administratively closed: 1 Assigned to other bureau/office for response: 11 Requester failed to provide requested clarification: 39 Requester failed to provide written consent of subject individual: 17	68
BLM	Request administratively closed: 1 Assigned to other bureau/office for response: 1 Requester failed to provide requested clarification: 2	4
BOEMRE	Request administratively closed: 1 Requester failed to provide requested clarification: 3	4
BOR	Request administratively closed: 2 Assigned to other bureau/office for response: 2 Requester failed to provide requested clarification: 1	5
FWS	Request administratively closed: 4 Assigned to other bureau/office for response: 113 Requester failed to provide requested clarification: 16	133
NPS	Request administratively closed: 7 Requester failed to provide requested clarification: 14	21
OIG	Not Applicable	0
OS	Request administratively closed: 37 Assigned to other bureau/office for response: 1 Requester failed to provide requested clarification: 1	39
OSM	Not Applicable	0
SOL	Request administratively closed: 1 Assigned to other bureau/office for response: 1	2
USGS	Assigned to other bureau/office for response: 2 Requester failed to provide requested clarification: 6	8
AGENCY OVERALL		284

B. (3) Disposition of FOIA Requests – Number of Times Exemptions Applied

Bureau/ Office	Ex.1	Ex.2	Ex.3	Ex.4	Ex.5	Ex.6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. <b>7(D)</b>	Ex. 7(E)	Ex. 7(F)	Ex.8	Ex.9
BIA	1	2	4	21	31	263	21	4	177	1	2	0	0	0
BLM	0	45	29	48	76	240	11	0	40	0	2	5	0	5
BOEMRE	0	5	2	19	4	11	0	0	0	0	1	0	0	1
BOR	1	6	3	8	12	15	0	0	2	0	1	2	0	0
FWS	2	17	17	14	54	87	16	0	35	13	18	3	0	0
NPS	0	10	14	16	29	164	19	1	65	0	4	0	0	0
OS	0	5	0	20	16	21	0	0	0	0	0	0	0	0
OIG	0	21	0	4	24	54	12	0	48	0	0	0	0	0
OSM	0	0	0	0	3	3	0	0	0	0	0	0	0	0
SOL	0	1	0	0	10	1	0	0	0	0	0	0	0	0
USGS	3	0	1	8	5	3	0	0	0	0	0	0	0	0
AGENCY OVERALL	7	112	70	158	264	862	79	5	367	14	28	10	0	6

# VI. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS

A. Received, Processed and Pending Administrative Appeals

Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year	
443	151	304	290	

### B. Disposition of Administrative Appeals – All Processed Appeals

Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
20	3	72	209	304

#### C. (1) Reasons for Denial on Appeal – Number of Times Exemptions Applied

Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
0	1	0	4	6	6	1	0	5	0	0	0	0	0

#### C. (2) Reasons for Denial on Appeal – Reasons Other than Exemptions

No Records	23
Records Referred at Initial Request Level	1
Request Withdrawn	0
Fee-Related Reason	9
Records Not Reasonably Described	0
Improper Request for Other Reasons	3
Not Agency Record	6
<b>Duplicate Request or Appeal</b>	0
Request in Litigation	18
Appeal Based Solely on Denial of Request for Expedited Processing	1
Other * Explain in Chart Below	148

# C. (3) Reasons for Denial on Appeal – "Other" Reasons from Section VI., C. (2) Chart

Description of "Other" Reasons for Denial on Appeal from Chart C (2) & Number of Times Those Reasons Were Relied upon	TOTAL
Adequate search conducted	18
Administratively closed	91
Appeal withdrawn	6
Appellant is deceased	2
Bureau failed to respond timely	2
Bureau issued a complete response	9
Premature appeal	1
Not a proper appeal	1
Procedural deficiencies	4
Records covered by a Protective Order	1
Search certificate not required at admin level	3
Records referred to another bureau	1
Vaughn index not required at admin level	9
AGENCY OVERALL	148

# C. (4) Response Time for Administrative Appeals

Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
642	845	1	1820

### C. (5) Ten Oldest Pending Administrative Appeals

Bureau/Office	Sub-Row Heading	10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
Annoola	Date of Appeal	2004- 12-29	2004- 12-27	2004- 12-17	2004- 12-17	2004- 12-14	2004- 11-30	2004- 11-29	2004- 11-10	2004- 11-02	2004- 10-19
Appeals	Number of Days Pending	1545	1547	1553	1553	1556	1566	1567	1580	1586	1596
AGENCY	Date of Appeal	2004- 12-29	2004- 12-27	2004- 12-17	2004- 12-17	2004- 12-14	2004- 11-30	2004- 11-29	2004- 11-10	2004- 11-02	2004- 10-19
OVERALL	Number of Days Pending	1545	1547	1553	1553	1556	1566	1567	1580	1586	1596

#### VII. FOIA REQUESTS: RESPONSE TIME FOR PROCESSED AND PENDING REQUESTS

A. Processed Requests – Response Time for All Processed Perfected Requests

#### **NOTE:**

- (1) All charts that report median and average processing times for the "Agency Overall" do not include the median and average processing times reported for OIG. OIG is using its own electronic request tracking system and continues to manually track a number of its oldest requests.
- (2) DOI uses four tracks to process requests: Simple, Normal, Complex, and Expedited. The Normal track is used for those requests that can be processed within 20 workdays. For purposes of Section VII. (A), (B), (C) and (D) of this report, the Normal and Complex tracks were combined to produce statistics for the median/average/lowest/highest number of days.

Bureau/Office		Sim	ple			Comp	olex		Exp	pedited P	rocessing	g
	Median Number of Days	Average Number of Days		Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BIA	2	3	<1	48	20	33	<1	785	N/A	N/A	N/A	N/A
BLM	3	5	<1	45	19	32	<1	476	N/A	N/A	N/A	N/A
BOEMRE	<1	1	<1	2	12	21	<1	199	N/A	N/A	N/A	N/A
BOR	9	18	4	75	17	24	<1	280	11	11	11	11
FWS	3	6	1	19	15	27	<1	667	12	12	7	17
NPS	<1	5	<1	43	6	16	<1	424	19	37	2	124
OIG	2	6	1	20	713	748	1	2521	N/A	N/A	N/A	N/A
OS	N/A	N/A	N/A	N/A	19	56	<1	1185	N/A	N/A	N/A	N/A
OSM	N/A	N/A	N/A	N/A	13	42	<1	545	N/A	N/A	N/A	N/A
SOL	N/A	N/A	N/A	N/A	45	170	<1	1051	N/A	N/A	N/A	N/A
USGS	N/A	N/A	N/A	N/A	15	18	<1	179	N/A	N/A	N/A	N/A
AGENCY OVERALL	2	4	<1	75	16	32	<1	1185	16	28	2	124

# B. Processed Requests - Response Time for Perfected Requests in Which Information Was Granted

Bureau/ Office		Sim	ıple			Com	plex		E	xpedited	Processin	ıg
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BIA	2	3	<1	48	20	31	<1	569	N/A	N/A	N/A	N/A
BLM	3	5	<1	45	20	36	<1	476	N/A	N/A	N/A	N/A
BOEMRE	<1	1	<1	2	16	23	<1	199	N/A	N/A	N/A	N/A
BOR	12	22	5	75	19	25	<1	280	11	11	11	11
FWS	3	7	2	19	17	31	<1	667	12	12	7	17
NPS	<1	4	<1	43	6	16	<1	313	19	37	2	124
OIG	2	3	1	6	70	101	1	272	N/A	N/A	N/A	N/A
OS	N/A	N/A	N/A	N/A	20	59	<1	816	N/A	N/A	N/A	N/A
OSM	N/A	N/A	N/A	N/A	13	44	<1	545	N/A	N/A	N/A	N/A
SOL	N/A	N/A	N/A	N/A	47	179	<1	1051	N/A	N/A	N/A	N/A
USGS	N/A	N/A	N/A	N/A	15	19	<1	179	N/A	N/A	N/A	N/A
AGENCY OVERALL	2	4	<1	75	17	31	<1	1051	16	28	2	124

# C. Processed Requests – Response Time in Day Increments

# **Simple Requests**

Bureau/Office	1-20	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	
BIA	205	1	1	0	0	0	0	0	0	0	0	0	0	207
BLM	52	1	1	0	0	0	0	0	0	0	0	0	0	54
BOEMRE	15	0	0	0	0	0	0	0	0	0	0	0	0	15
BOR	8	0	0	1	0	0	0	0	0	0	0	0	0	9
FWS	21	0	0	0	0	0	0	0	0	0	0	0	0	21
NPS	11	0	1	0	0	0	0	0	0	0	0	0	0	12
OIG	5	0	0	0	0	0	0	0	0	0	0	0	0	5
OS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OSM	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SOL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
USGS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	317	2	3	1	0	0	0	0	0	0	0	0	0	323

# **Complex Requests**

Bureau/Office	1-20	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	
BIA	558	265	93	45	18	8	11	3	1	4	9	5	5	1025
BLM	456	199	55	36	20	6	7	8	8	4	8	3	2	812
BOEMRE	187	52	16	5	4	2	1	2	1	1	0	0	0	271
BOR	115	31	6	3	2	0	2	1	0	1	2	0	0	163
FWS	562	138	48	19	20	11	2	5	2	7	5	3	3	825
NPS	604	89	29	8	7	2	0	0	2	5	4	1	1	752
OIG	13	12	4	5	6	2	2	1	2	4	8	7	104	170
OS	276	88	6	18	5	9	4	4	4	2	10	7	15	448
OSM	26	7	2	2	0	0	0	0	0	0	0	0	2	39
SOL	21	11	2	3	2	2	1	3	0	1	5	4	10	65
USGS	108	18	3	0	0	0	0	0	3	0	0	0	0	132
AGENCY OVERALL	2926	910	264	144	84	42	30	27	23	29	51	30	142	4702

# **Requests Granted Expedited Processing**

Bureau/Office	1-20	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	
BIA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
BLM	0	0	0	0	0	0	0	0	0	0	0	0	0	0
BOEMRE	0	0	0	0	0	0	0	0	0	0	0	0	0	0
BOR	1	0	0	0	0	0	0	0	0	0	0	0	0	1
FWS	2	0	0	0	0	0	0	0	0	0	0	0	0	2
NPS	3	1	0	0	0	0	1	0	0	0	0	0	0	5
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	1
OS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OSM	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SOL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
USGS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	6	1	0	0	0	0	1	0	0	0	0	0	0	8

#### D. Pending Requests – All Pending Perfected Requests

Bureau/ Office		Simple			Complex		Exp	edited Proc	essing
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
BIA	0	N/A	N/A	111	18	58	0	N/A	N/A
BLM	1	0	0	117	34	70	0	N/A	N/A
BOEMRE	0	N/A	N/A	110	78	77	0	N/A	N/A
BOR	1	2	2	19	70	95	1	4	4
FWS	0	N/A	N/A	165	34	87	1	4	4
NPS	0	N/A	N/A	58	27	74	0	N/A	N/A
OIG	1	18	18	175	762	789	0	N/A	N/A
OS	0	N/A	N/A	114	64	193	0	N/A	N/A
OSM	0	N/A	N/A	6	22	52	0	N/A	N/A
SOL	0	N/A	N/A	41	332	363	0	N/A	N/A
USGS	0	N/A	N/A	14	4	12	0	N/A	N/A
AGENCY OVERALL	2	1	1	755	41	107	2	4	4

<sup>\*</sup>The median and average number of days for pending perfected requests in the Simple processing track were <1.

# E. Pending Requests – Ten Oldest Pending Perfected Requests

Bureau/Office	Sub-Row Heading	10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
	Date of Receipt	2009- 12-23	2009- 12-15	2009- 12-02	2009- 11-12	2009- 11-10	2009- 10-20	2009- 10-13	2009- 07-02	2008- 05-12	2008- 04-30
BIA	Number of Days Pending	194	199	208	221	222	237	242	312	598	606
BLM	Date of Receipt	2010- 01-25	2009- 12-08	2009- 11-25	2009- 11-18	2009- 10-16	2009- 10-16	2009- 08-20	2009- 03-17	2008- 09-22	2008- 05-29
BLM	Number of Days Pending	174	204	212	217	239	239	278	388	506	586
BOEMRE	Date of Receipt	2010- 03-18	2010- 03-16	2010- 03-05	2010- 02-16	2010- 01-29	2009- 12-16	2009- 12-16	2009- 11-12	2009- 10-20	2009- 05-07
BOEWIKE	Number of Days Pending	137	139	146	159	170	198	198	221	237	351
BOR	Date of Receipt	2010- 06-22	2010- 06-17	2010- 06-14	2010- 04-23	2010- 04-15	2010- 04-15	2010- 03-30	2009- 08-24	2009- 07-29	2009- 02-06
BOK	Number of Days Pending	70	73	76	111	117	117	129	276	294	414
FWS	Date of Receipt	2009- 04-13	2009- 04-13	2009- 03-02	2008- 12-29	2008- 12-29	2008- 12-22	2007- 11-15	2007- 08-21	2006- 09-12	2006- 03-31
rws	Number of Days Pending	369	369	399	440	440	444	719	779	1023	1140
NIDG	Date of Receipt	2010- 02-26	2010- 02-16	2010- 02-02	2010- 01-04	2009- 12-11	2009- 12-11	2009- 10-31	2009- 05-01	2009- 04-23	2007- 09-24
NPS	Number of Days Pending	151	159	168	188	201	201	228	355	361	755
OIC	Date of Receipt	2003- 08-18	2003- 06-20	2003- 05-20	2003- 03-18	2003- 02-10	2003- 01-22	2003- 01-20	2003- 01-07	2002- 11-13	2002- 10-09
OIG	Number of Days Pending	1822	1862	1884	1929	1954	1967	1968	1977	2013	2036
os	Date of Receipt	2008- 10-23	2008- 09-22	2008- 08-26	2008- 07-15	2004- 01-16	2004- 01-15	2004- 01-15	2004- 01-15	2004- 01-15	2004- 01-13

	Number of Days Pending	484	506	524	554	1715	1716	1716	1716	1716	1718
OSM	Date of Receipt	N/A	N/A	N/A	N/A	2010- 09-29	2010- 09-14	2010- 09-14	2010- 08-16	2010- 06-07	2010- 01-28
OSM	Number of Days Pending	0	0	0	0	1	12	12	32	81	171
SOI	Date of Receipt	2008- 09-30	2008- 06-24	2008- 05-01	2008- 03-13	2008- 01-16	2007- 12-21	2007- 11-23	2007- 08-02	2007- 07-10	2006- 10-12
SOL	Number of Days Pending	500	568	605	640	679	694	714	791	808	1001
USGS	Date of Receipt	2010- 09-27	2010- 09-27	2010- 09-24	2010- 09-24	2010- 09-24	2010- 09-20	2010- 09-14	2010- 09-10	2010- 07-20	2010- 07-07
USGS	Number of Days Pending	3	3	4	4	4	8	12	14	51	60

AGENCY	Date of Receipt	2003- 08-18	2003- 06-20	2003- 05-20	2003- 03-18	2003- 02-10	2003- 01-22	2003- 01-20	2003- 01-07	2002- 11-13	2002- 10-09
OVERALL	Number of Days Pending	1822	1862	1884	1929	1954	1967	1968	1977	2013	2036

### VIII. REQUESTS FOR EXPEDITED PROCESSING AND REQUESTS FOR FEE WAIVER

#### A. Requests for Expedited Processing

Bureau/Office	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
BIA	0	0	N/A	N/A	N/A
BLM	0	8	8	10	7
BOEMRE	6	5	3	13	11
BOR	1	0	16	16	0
FWS	2	11	9	34	10
NPS	5	6	9	16	9
OIG	0	0	N/A	N/A	N/A
os	0	3	23	22	1
OSM	0	0	N/A	N/A	N/A
SOL	0	0	N/A	N/A	N/A
USGS	0	1	14	14	0
AGENCY OVERALL	8	34	9	19	38

### B. Requests for Fee Waiver

Bureau/Office	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
BIA	2	3	20	18
BLM	143	8	<1	23
BOEMRE	18	1	8	26
BOR	7	2	1	6
FWS	235	12	1	9
NPS	19	5	8	12
OIG	16	0	0	0
os	10	5	4	17
OSM	5	0	<1	<1
SOL	2	0	<1	<1
USGS	5	3	2	8
AGENCY OVERALL	462	39	1	14

#### IX. FOIA PERSONNEL AND COSTS

		PERSONNEL		COSTS			
Bureau/Office	Number of ''Full-Time FOIA Employees''	Number of "Equivalent Full-Time FOIA Employees"	Total Number of ''Full-Time FOIA Staff''	<b>Processing Costs</b>	Litigation- Related Costs	Total Costs	
BIA	2	21.55	23.55	\$1,532,192.29	\$51,492.40	\$1,583,684.69	
BLM	9	24.29	33.29	\$2,974,783.76	\$109,806.00	\$3,084,589.76	
BOEMRE	6	5.6	11.6	\$947,103.40	\$0.00	\$947,103.40	
BOR	1	10	11	\$631,981.09	\$1,500.00	\$633,481.09	
FWS	1	35	36	\$1,206,069.68	\$0.00	\$1,206,069.68	
NPS	2	7.77	9.77	\$731,941.72	\$14,000.00	\$745,941.72	
OCIO	2	0.7	2.7	\$677,054.30	\$0.00	\$677,054.30	
OIG	3	2	5	\$235,595.86	\$24,956.62	\$260,552.48	
OS	5	2.14	7.14	\$807,550.21	\$0.00	\$807,550.21	
OSM	0	1.3	1.3	\$120,846.07	\$0.00	\$120,846.07	
SOL	1	5	6	\$487,227.20	\$156,341.70	\$643,568.90	
USGS	1	1.45	2.45	\$168,892.76	\$0.00	\$168,892.76	
Appeals	4	0	4	\$630,000.00	\$2,500.00	\$632,500.00	
AGENCY OVERALL	37	116.8	153.8	\$11,151,238.34	\$360,596.72	\$11,511,835.06	

#### X. FEES COLLECTED FOR PROCESSING REQUESTS

Bureau/Office	Total Amount of Fees Collected	Percentage of Total Costs
BIA	\$15,100.91	0.99%
BLM	\$9,351.35	0.31%
BOEMRE	\$17,978.12	1.90%
BOR	\$2,922.77	0.46%
FWS	\$7,792.48	0.65%
NPS	\$5,270.94	0.72%
OIG	\$0.00	0.00%
OS	\$2,254.61	0.28%
OSM	\$0.00	0.00%
SOL	\$0.00	0.00%
USGS	\$2,797.24	1.66%
AGENCY OVERALL	\$63,468.42	0.57%

#### XI. FOIA REGULATIONS

A copy of DOI's FOIA regulations, including the fee schedule (43 CFR Part 2, Subparts A thru E) may be found at the following Internet address: <a href="http://ecfr.gpoaccess.gov/cgi/t/text/text-idx?c=ecfr&sid=680d313447224cb3fe72a5a2572dc5a1&rgn=div5&view=text&node=43:1.1.1.1.2&idno=43</a>. A hard copy of the regulations may be obtained by contacting the Departmental FOIA Officer (see Section I., above).

#### XII. BACKLOGS, CONSULTATIONS, AND COMPARISONS

A. Backlogs of FOIA Requests and Administrative Appeals

Bureau/Office	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
BIA	55	
BLM	80	
BOEMRE	99	
BOR	13	
FWS	106	
NPS	30	
OIG	163	
os	90	
OSM	3	
SOL	38	
USGS	2	
AGENCY OVERALL	679	274

#### B. Consultations on FOIA Requests – Received, Processed, and Pending Consultations

Bureau/Office	Number of Consultations Received from Other Agencies that Were Pending at DOI as of Start of the Fiscal Year	Number of Consultations Received from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that Were Processed by DOI During the Fiscal Year	Number of Consultations Received from Other Agencies that Were Pending at DOI as of End of the Fiscal Year
BIA	0	0	0	0
BLM	0	0	0	0
BOEMRE	0	0	0	0
BOR	0	0	0	0
FWS	0	0	0	0
NPS	0	0	0	0
OIG	0	0	0	0
OS	1	2	1	2
OSM	0	0	0	0
SOL	0	0	0	0
USGS	0	0	0	0
AGENCY OVERALL	1	2	1	2

# C. Consultations on FOIA Requests – Ten Oldest Consultations Received from Other Agencies and Pending at DOI

Bureau/Office	Sub- Row Heading	10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
BIA	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
BLM	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
BOEMRE	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
BOR	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
FWS	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NPS	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OIG	Number of Days	0	0	0	0	0	0	0	0	0	0
OG	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2010- 07-13	2010-02-01
OS	Number of Days	0	0	0	0	0	0	0	0	56	169
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OSM	Number of Days	0	0	0	0	0	0	0	0	0	0

	Date	N/A									
SOL	Number of Days	0	0	0	0	0		0	0	0	0
	of Days	U	U	U	U	U	U	U	0	U	U
	Date	N/A									
USGS	Number										
	of Days	0	0	0	0	0	0	0	0	0	0

AGENCY	Date	N/A	2010- 07-13	2010-02-01							
OVERALL	Number of Days	0	0	0	0	0	0	0	0	56	169

#### D. (1) Comparison of Numbers of Requests from Previous and Current Annual Report – Requests Received, Processed, and Backlogged

	NUMBER OF REQ	UESTS RECEIVED		F REQUESTS ESSED
Bureau/Office	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
BIA	975	1378	1004	1410
BLM	922	1094	922	1023
BOEMRE	222	421	218	306
BOR	202	274	198	271
FWS	1180	1241	1160	1142
NPS	857	900	859	895
OIG	69	98	79	175
OS	503	445	391	449
OSM	37	42	35	41
SOL	95	64	75	75
USGS	146	170	147	158
AGENCY OVERALL	5208	6127	5088	5945

Bureau/Office	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
BIA	100	55
BLM	47	80
BOEMRE	9	99
BOR	15	13
FWS	71	106
NPS	45	30
OIG	253	163
OS	154	90
OSM	2	3
SOL	61	38
USGS	0	2
AGENCY OVERALL	757	679

E. Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report – Appeals Received, Processed, and Backlogged

	NUMBER OF API	PEALS <u>RECEIVED</u>	NUMBER OF APPEALS <u>PROCESSED</u>		
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report	
AGENCY OVERALL	149	151	249	304	

	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
AGENCY OVERALL	437	274