DEPARTMENT OF THE INTERIOR

Freedom of Information Act 2009 Annual Report (October 1, 2008 - September 30, 2009)

- I. Basic Information Regarding Report
 - 1. Questions about the report should be directed to:

Alexandra Mallus
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Office of the Chief Information Officer (OCIO)
Department of the Interior (DOI)
1849 C Street, NW
MS-7456-MIB
Washington, D.C. 20240

Telephone No.: (202) 208-5342

- 2. The electronic address for this report on DOI's World Wide Web site is: http://www.doi.gov/foia/09anrep.pdf.
- 3. A copy of the report in paper form may be obtained by contacting the Departmental FOIA Officer (see A, above).
- II. Making a FOIA Request (see DOI's **Guide for Obtaining Information** which is located at the following Internet address: http://www.doi.gov/foia/foitabl.htm).
 - 1. FOIA requests should be submitted to the FOIA contact at the bureau/office where the records are maintained. A list of the Department's bureaus/offices is provided below. If it is unclear where to send the request, contact the Departmental FOIA Officer. A list of DOI's FOIA contacts may be found at the following Internet address:

http://www.doi.gov/foia/contacts.html.

Bureaus/Offices

Office of the Secretary (OS)

Bureau of Indian Affairs (BIA)

Fish & Wildlife Service (FWS)

National Park Service (NPS)

Bureau of Reclamation (BOR)

Minerals Management Service (MMS)

Bureau of Land Management (BLM)

Office of Inspector General (OIG)

Office of the Solicitor (SOL)

Office of Surface Mining (OSM)

U.S. Geological Survey (USGS)

2. In accordance with its FOIA regulations, DOI makes records available to the public unless the information is protected from disclosure by one or more of the nine specific FOIA exemptions (see 43 CFR § 2.21(b)(2)) and Appendix E to DOI's FOIA regulations. Some requests are not granted due to one of the reasons cited in V.B(1)., below (see DOI's FOIA regulations, 43 CFR § 2.21(e)).

III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

- 1. Department of the Interior acronyms used in this Report:
 - BIA Bureau of Indian Affairs
 - BLM Bureau of Land Management
 - BOR Bureau of Reclamation
 - DOI Department of the Interior
 - EFTS Electronic FOIA Tracking System
 - FWS Fish and Wildlife Service
 - MMS Minerals Management Service
 - N/A Not Applicable
 - NPS National Park Service
 - OCIO Office of the Chief Information Officer
 - OIG Office of Inspector General
 - OS Office of the Secretary
 - OSM Office of Surface Mining
 - USGS United States Geological Survey

2. Terms used in this Report:

- a. **Administrative Appeal** a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
- b. **Average Number** the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
- c. **Backlog** the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
- d. **Component** for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- e. **Consultation** the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.

- f. **Exemption 3 Statute** a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
- g. **FOIA Request** a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- h. **Full Grant** an agency decision to disclose all records in full in response to a FOIA request.
- i. **Full Denial** an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j. **Median Number** the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k. **Multi-Track Processing** a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
 - i. **Expedited Processing** an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
 - ii. **Simple Request** a FOIA request within DOI's multi-track processing system that a DOI bureau/office can process within 1-

- 5 workdays based on the low volume and/or simplicity of the records requested.
- iii. **Normal Request** a FOIA request within DOI's multi-track processing system that a DOI bureau/office can process within 20 workdays.
- iv. **Complex Request** a FOIA request within DOI's multi-track processing system that a DOI bureau/office requires more than 20 workdays to process based on the high volume and/or complexity of the records requested.
- 1. **Partial Grant/Partial Denial** in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- m. **Pending Request or Pending Administrative Appeal** a request or administrative appeal for which an agency has not taken final action in all respects.
- n. **Perfected Request** a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- o. **Processed Request or Processed Administrative Appeal** a request or administrative appeal for which an agency has taken final action in all respects.
- p. **Range in Number of Days** the lowest and highest number of days to process requests or administrative appeals.
- q. **Time Limits** the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).
- 3. The nine FOIA exemptions authorize Federal agencies to withhold information:
 - a. **Exemption 1**: classified national defense and foreign relations information
 - b. **Exemption 2**: internal agency rules and practices
 - c. **Exemption 3**: information that is prohibited from disclosure by another federal law

- d. **Exemption 4**: trade secrets and other confidential business information
- e. **Exemption 5**: inter-agency or intra-agency communications that are protected by legal privileges
- f. **Exemption 6**: information involving matters of personal privacy
- g. **Exemption 7**: records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
- h. **Exemption 8**: information relating to the supervision of financial institutions
- i. **Exemption 9**: geological information on wells

IV. EXEMPTION 3 STATUTES

A. Exemption 3 Statutes Relied upon to Withhold Information

Statute	Type of Information Withheld	Case Citation	Number of	Total
			Times Relied upon per	Number of Times
			Bureau/Office	
				by Agency
Federal Property	Certain information in contractor	Hornbostel v. U.S.	BLM: 6	12
	proposals or the proposals of	Department of the	BOR: 2	
Services Act (41	unsuccessful bidders.	Interior, 305 F.	NPS: 1	
U.S.C. § 253b(m))		Supp. 2d 21 (D.D.C. 2003)	OIG: 3	
National Parks	Information about the nature & location	Southwest Center	BLM: 1	5
Omnibus	of a resource that is endangered,	for Biological	BOR: 1	
Management Act	threatened, rare or commercially	Diversity v. USDA,	NPS: 3	
(16 U.S.C. § 5937)	valuable; mineral or paleontological	314 F.3d 1060,		
	objects or objects of cultural patrimony in the National Park System.	1062 (9th Cir. 2002)		
Archaeological	Information describing historical and	Starkey v. U.S.	BLM: 18	24
Resources	cultural resources and/or their specific		BOR: 1	
Protection Act (16	locations.		MMS: 1	
U.S.C. § 470hh(a))		Supp. 2d 1188 (S.D.	NPS: 2	
		Cal 2002)	OSM: 1	
		,	SOL: 1	
National Historic	Information concerning the location,	None	BIA: 1	11
Preservation Act	character or ownership of an historic		BLM: 9	
Amendments (16	resource.		BOR: 1	
U.S.C. § 470w-3)				
Federal Cave	Specific location of significant caves.	None	FWS: 1	1
Resources				
Protection Act (16				
U.S.C. § 4301)				
Rule 6(e),Federal	Information that would reveal identities	~	FWS: 4	7
Rules of Criminal	of witnesses or jurors; testimony,		OIG: 3	
Procedure(Grand	strategy or direction of investigations;	522, 528-30 (S.D.		
Jury) (18 U.S.C. §	or juror deliberations/questions.	Tex. May 6, 1998)		
385)				_
Procurement	Procurement information prior to the	Legal & Safety	BLM: 1	3
Integrity Act (41	award of a contract.	Employer Research,	NPS: 2	
U.S.C. § 423)		Inc. v. U.S. Dep't of		
		the Army, No. Civ.		
		S001748, 2001 WL		
		34098652, at 3-4		
		(E.D. Cal. May 4,		
		2001) (dictum).		

V. FOIA REQUESTS

A. Received, Processed and Pending FOIA Requests

Bureau/Office	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
BIA	176	975	1004	147
BLM	114	922	922	114
BOR	32	202	198	36
FWS	134	1180	1160	154
MMS	32	222	218	36
NPS	115	857	859	113
OIG	263	69	79	253
os	85	503	391	197
OSM	5	37	35	7
SOL	44	95	75	64
USGS	17	146	147	16
AGENCY OVERALL	1017*	5208	5088	1137

^{*}Although DOI indicated in its FY 2008 Annual Report that there were 905 requests pending at the end of the fiscal year, the figure denoted in this chart is correct. The difference is attributed to the following: 1) in some instances the bureau/office did not show requests that were in fact completed in FY 2008 as completed in the EFTS until after the FY 2008 report was finalized; 2) there were some discrepancies in accounting; 3) requests opened in the EFTS solely for the purpose of electronically assigning action to a Regional or Field Office were not counted in prior reports, but are counted here and will be included in future reports; and 4) some bureaus/offices were not using an electronic tracking system and their numbers reported were inaccurate.

B. (1) Disposition of FOIA Requests – All Processed Requests

Bureau/ Office	Number of Full Grants	Number of Partial Grants/ Partial Denials	Number of Full Denials Based on Exemptions		Number of Full Denials Based on Reasons Other than Exemptions									
				No Records	All Records Referred to Another Bureau/ Office or Agency	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other	Total	
BIA	495	249	18	91	3	31	24	29	9	5	20	30	1004	
BLM	408	264	15	116	27	34	14	5	21	6	7	5	922	
BOR	124	21	8	10	8	8	3	1	4	0	4	7	198	
FWS	804	122	17	85	18	16	1	0	2	0	1	94	1160	
MMS	134	33	3	21	2	8	0	2	4	4	4	3	218	
NPS	559	163	26	37	6	13	10	13	1	2	7	22	859	
OIG	3	33	8	6	0	28	0	0	0	1	0	0	79	
os	130	47	5	59	86	10	16	23	7	6	2	0	391	
OSM	26	2	0	4	0	1	2	0	0	0	0	0	35	
SOL	23	10	2	22	1	4	0	7	0	0	6	0	75	
USGS	60	30	7	21	0	6	8	3	0	4	8	0	147	
AGENCY OVERALL	2766	974	109	472	151	159	78	83	48	28	59	161	5088	

B. (2) Disposition of FOIA Requests – "Other" Reasons for "Full Denials Based on Reasons Other than Exemptions" from Section V, B (1) Chart

Bureau/Office	Description of "Other" Reasons for Denials from Chart B (1) & Number of Times Those Reasons Were Relied upon						
BIA	Assigned to other bureau/office for response: 12 Consent form not provided: 8 Litigation: 4 Requester failed to provide requested clarification: 5 Unable to locate requester/mail returned: 1	30					
BLM	Request administratively closed: 2 Assigned to other bureau/office for response: 1 Requester failed to provide requested clarification: 2	5					
BOR	Request administratively closed: 3 Assigned to other bureau/office for response: 3 Requester failed to provide requested clarification: 1						
FWS	Request administratively closed: 1 Assigned to other bureau/office for response: 74 Requester failed to provide requested clarification: 19						
MMS	Requester failed to provide requested clarification: 3	3					
NPS	Request administratively closed: 11 Assigned to other bureau/office for response: 6 Requester failed to provide requested clarification: 5	22					
OIG	Not Applicable	0					
os	Not Applicable	0					
OSM	Not Applicable	0					
SOL	Not Applicable	0					
USGS	Not Applicable	0					
AGENCY OVERALL		161					

B. (3) Disposition of FOIA Requests – Number of Times Exemptions Applied

Bureau/ Office	Ex.1	Ex.2	Ex.3	Ex.4	Ex.5	Ex.6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex.8	Ex.9
BIA	0	2	1	12	17	190	10	1	98	0	0	0	0	0
BLM	0	43	26	33	71	207	14	0	53	0	5	6	0	6
BOR	0	4	3	12	8	15	0	0	1	0	0	2	0	0
FWS	1	9	5	21	83	80	15	0	22	9	12	1	0	1
MMS	0	11	1	18	12	18	0	0	0	0	0	0	0	0
NPS	2	10	8	31	24	167	17	1	39	0	3	1	0	1
OS	0	10	0	8	23	33	1	0	0	0	1	0	0	0
OIG	0	25	3	1	13	34	6	0	32	0	1	0	0	0
OSM	0	0	1	0	1	1	0	0	0	0	0	0	0	0
SOL	0	2	1	0	11	0	0	0	0	0	0	0	0	0
USGS	0	0	0	19	10	7	2	0	0	0	0	0	0	2
AGENCY OVERALL	3	116	49	155	273	752	65	2	245	9	22	10	0	10

VI. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS

A. Received, Processed and Pending Administrative Appeals

Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year		
553	149	259	443		

B. Disposition of Administrative Appeals – All Processed Appeals

Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
16	5	30	208	259

C. (1) Reasons for Denial on Appeal – Number of Times Exemptions Applied

Ex.1	Ex.2	Ex. 3	Ex.	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex.8	Ex.9
0	2	0	1	7	9	0	0	2	0	0	0	0	0

C. (2) Reasons for Denial on Appeal – Reasons Other than Exemptions

No Records	18
Records Referred at Initial Request Level	0
Request Withdrawn	0
Fee-Related Reason	10
Records Not Reasonably Described	1
Improper Request for Other Reasons	1
Not Agency Record	2
Duplicate Request or Appeal	0
Request in Litigation	20
Appeal Based Solely on Denial of Request for Expedited Processing	0
Other * Explain in Chart Below	156

C. (3) Reasons for Denial on Appeal – "Other" Reasons from Section VI, C (2) Chart

Description of "Other" Reasons for Denial on Appeal from Chart C (2) & Number of Times Those Reasons Were Relied upon	TOTAL
Adequate search conducted	12
Administratively closed	94
Appeal withdrawn	8
Bureau did not review withheld records	1
Bureau did not waive exemption	4
Bureau failed to respond timely	6
Bureau issued a complete response	2
Premature appeal	1
Not a proper appeal	2
Procedural deficiency - No exemption cited	15
Exemption 7A issue moot	1
Search certificate not required at admin level	1
Unable to obtain info needed to finalize decision	1
Vaughn index not required at admin level	8
AGENCY OVERALL	156

C. (4) Response Time for Administrative Appeals

Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days		
869	939	1	2956		

C. (5) Ten Oldest Pending Administrative Appeals

Date of Receipt of Ten Oldest Appeals	10 th Oldest Appeal	9 th	8 th	7 th	6 th	5 th	4 th	3 rd	2 nd	Oldest Appeal
	12/22/03	12/22/03	12/17/03	12/17/03	12/17/03	12/15/03	12/15/03	12/15/03	12/09/03	12/05/03
Number of Days Pending	1561	1561	1564	1564	1564	1566	1566	1566	1570	1572

VII. FOIA REQUESTS: RESPONSE TIME FOR PROCESSED AND PENDING REQUESTS

A. Processed Requests – Response Time for All Processed Perfected Requests

NOTE:

- (1) All charts that report median and average processing times for the "Agency Overall" do not include the median and average processing times reported for OIG, one NPS region, or most BIA requests received prior to October 1, 2008. OIG is using its own electronic request tracking system and a number of its oldest requests continue to be tracked manually. The one NPS region only began using the Department's electronic FOIA tracking system (EFTS) at the end of FY 2009. Most BIA requests received prior to October 1, 2008 had not been entered in the EFTS yet. When appropriate, the lowest and highest number of days for processing requests reported by these entities have been included in the times for the "Agency Overall."
- (2) DOI uses four tracks to process requests: Simple, Normal, Complex, and Expedited. The Normal track is used for those requests that can be processed within 20 workdays.

Bureau/Office		Simp	ole			Norm	ıal			Comp	lex		E	xpedited P	rocessing	
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BIA	2	3	<1	13	18	32	<1	689	30	51	2	257	N/A	N/A	N/A	N/A
BLM	3	3	<1	9	17	24	<1	415	82	100	<1	406	4	5	4	7
BOR	7	9	1	20	18	26	<1	232	39	92	5	413	4	7	1	29
FWS	6	9	<1	36	12	16	<1	270	27	62	<1	624	3	4	1	9
MMS	2	2	1	3	15	16	<1	60	59	81	<1	284	N/A	N/A	N/A	N/A
NPS	2	6	<1	18	5	13	<1	596	35	76	7	242	6	10	2	27
OIG	51	63	3	189	1631	1347	17	2850	53	56	1	219	N/A	N/A	N/A	N/A
OS	<1	1	<1	3	5	8	<1	61	41	66	<1	357	13	13	<1	25
OSM	N/A	N/A	N/A	N/A	14	18	<1	154	65	65	19	111	N/A	N/A	N/A	N/A
SOL	N/A	N/A	N/A	N/A	48	107	<1	501	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
USGS	<1	1	<1	2	8	13	<1	92	23	31	4	166	N/A	N/A	N/A	N/A
AGENCY OVERALL	2	3	<1	189	14	23	<1	2850	36	70	<1	624	4	6	<1	29

B. Processed Requests - Response Time for Perfected Requests in Which Information Was Granted

Bureau/		Sin	ıple			Nor	mal			Con	ıplex			Expedited	Processing	
Office	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BIA	2	3	<1	13	18	32	<1	689	30	51	2	251	N/A	N/A	N/A	N/A
BLM	3	3	1	7	18	26	<1	415	82	99	<1	406	4	5	4	7
BOR	11	11	1	20	19	27	<1	232	36	81	5	413	2	2	1	3
FWS	6	9	<1	36	13	17	<1	209	31	70	<1	624	3	8	1	29
MMS	1	1	1	1	17	18	<1	60	90	107	<1	284	N/A	N/A	N/A	N/A
NPS	3	6	<1	20	4	14	<1	596	41	78	7	242	6	10	2	27
OIG	61	83	22	189	472	1075	17	2970	54	84	8	219	N/A	N/A	N/A	N/A
OS	1	1	<1	3	9	13	<1	61	47	72	2	357	13	13	<1	25
OSM	N/A	N/A	N/A	N/A	14	19	<1	154	65	65	19	111	N/A	N/A	N/A	N/A
SOL	N/A	N/A	N/A	N/A	43	72	2	376	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
USGS	<1	<1	<1	<1	8	14	<1	92	25	36	4	166	N/A	N/A	N/A	N/A
AGENCY OVERALL	2	4	<1	189	15	21	<1	2970	43	76	<1	624	4	7	<1	29

C. Processed Requests – Response Time in Day Increments

Simple Requests

Bureau/Office	1-20	21-40	41-60	61-80								301-400		TOTAL
	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	
BIA	141	0	0	0	0	0	0	0	0	0	0	0	0	141
BLM	46	0	0	0	0	0	0	0	0	0	0	0	0	46
BOR	3	0	0	0	0	0	0	0	0	0	0	0	0	3
FWS	22	4	0	0	0	0	0	0	0	0	0	0	0	26
MMS	2	0	0	0	0	0	0	0	0	0	0	0	0	2
NPS	109	0	0	0	0	0	0	0	0	0	0	0	0	109
OIG	1	1	3	1	0	0	0	0	0	1	0	0	0	7
OS	17	0	0	0	0	0	0	0	0	0	0	0	0	17
OSM	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SOL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
USGS	7	0	0	0	0	0	0	0	0	0	0	0	0	7
AGENCY OVERALL	348	5	3	1	0	0	0	0	0	1	0	0	0	358

Normal Requests

Bureau/Office	1-20	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	
BIA	375	120	42	17	15	4	5	4	1	1	9	2	3	598
BLM	413	165	37	17	8	4	1	3	0	1	6	0	1	656
BOR	93	28	7	4	1	2	0	1	1	0	2	0	0	139
FWS	396	74	17	6	4	4	1	0	0	0	2	0	0	504
MMS	123	44	6	0	0	0	0	0	0	0	0	0	0	173
NPS	472	78	16	6	2	0	0	0	1	0	2	0	1	578
OIG	1	1	3	1	1	0	2	0	1	1	1	0	41	53
OS	141	8	2	1	0	0	0	0	0	0	0	0	0	152
OSM	24	4	1	0	0	0	0	1	0	0	0	0	0	30
SOL	18	11	10	2	6	0	3	0	4	1	4	5	3	67
USGS	92	6	0	5	2	0	0	0	0	0	0	0	0	105
AGENCY OVERALL	2148	539	141	59	39	14	12	9	8	4	26	7	49	3055

Complex Requests

Bureau/Office	1-20	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	
BIA	4	5	1	0	2	0	0	0	0	0	1	0	0	13
BLM	9	14	11	14	14	9	6	4	4	2	8	2	1	98
BOR	4	6	2	0	0	1	1	1	1	1	1	0	1	19
FWS	117	66	25	19	17	8	6	2	2	2	6	2	10	282
MMS	11	3	3	4	0	1	1	4	3	1	2	0	0	33
NPS	5	7	5	2	3	2	0	0	0	0	4	0	0	28
OIG	7	2	4	2	2	0	0	0	0	0	2	0	0	19
OS	35	38	23	11	12	6	4	6	6	5	5	1	0	152
OSM	1	0	0	0	0	1	0	0	0	0	0	0	0	2
SOL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
USGS	7	5	1	0	0	0	0	0	1	0	0	0	0	14
AGENCY OVERALL	200	146	75	52	50	28	18	17	17	11	29	5	12	660

Requests Granted Expedited Processing

Bureau/Office	1-20	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	
BIA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
BLM	3	0	0	0	0	0	0	0	0	0	0	0	0	3
BOR	2	0	0	0	0	0	0	0	0	0	0	0	0	2
FWS	9	1	0	0	0	0	0	0	0	0	0	0	0	10
MMS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NPS	7	2	0	0	0	0	0	0	0	0	0	0	0	9
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OS	2	1	0	0	0	0	0	0	0	0	0	0	0	3
OSM	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SOL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
USGS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	23	4	0	0	0	0	0	0	0	0	0	0	0	27

D. Pending Requests – All Pending Perfected Requests

Bureau/		Simple			Normal			Complex		Exp	edited Proc	essing
Office	Number Pending	Median Number of Days	Average Number of Days									
BIA	0	N/A	N/A	139	25	80	2	14	14	0	N/A	N/A
BLM	1	<1	<1	64	16	31	23	138	141	0	N/A	N/A
BOR	1	39	39	13	26	27	7	106	127	0	N/A	N/A
FWS	1	1	1	31	16	42	82	92	156	0	N/A	N/A
MMS	0	N/A	N/A	13	15	25	9	43	44	0	N/A	N/A
NPS	0	N/A	N/A	64	45	185	5	105	159	1	70	70
OIG	3	63	71	211	876	945	39	140	141	0	N/A	N/A
OS	0	N/A	N/A	19	29	106	170	74	174	0	N/A	N/A
OSM	0	N/A	N/A	3	9	8	3	217	177	0	N/A	N/A
SOL	0	N/A	N/A	60	219	279	0	N/A	N/A	0	N/A	N/A
USGS	0	N/A	N/A	6	5	5	0	N/A	N/A	0	N/A	N/A
AGENCY OVERALL	6	1	13	623	29	99	340	79	162	1	116	145

E. Pending Requests – Ten Oldest Pending Perfected Requests

Bureau/ Office	10 th Oldest Request and Number of Days Pending	9 th	8 th	7 th	6 th	5 th	4 th	3 rd	2 nd	Oldest Request and Number of Days Pending
BIA	4/22/2008	3/06/2008	2/12/2008	9/26/2007	9/17/2007	6/28/2007	5/22/2007	5/22/2007	5/22/2007	4/30/2007
	362	395	411	503	510	566	593	593	593	609
BLM	02/03/2009	02/02/2009	01/27/2009	10/08/2008	09/26/2008	09/25/2008	09/22/2008	09/22/2008	08/19/2008	05/29/2008
	167	168	172	244	252	253	256	256	279	336
BOR	08/07/2009	08/06/2009	08/05/2009	07/06/2009	05/15/2009	05/11/2009	04/30/2009	04/08/2009	02/06/2009	04/07/2008
	37	38	39	61	95	99	106	122	164	373
FWS	02/11/2008	02/04/2008	01/09/2008	01/07/2008	11/15/2007	11/06/2007	09/07/2007	08/21/2007	09/12/2006	03/31/2006
	412	417	434	436	469	475	516	528	773	890
MMS	08/21/2009	08/17/2009	08/11/2009	07/30/2009	07/22/2009	07/15/2009	07/15/2009	06/12/2009	05/27/2009	05/07/2009
	27	31	35	43	49	54	54	76	88	101
NPS	05/04/2007	04/23/2007	04/10/2007	03/28/2007	02/28/2007	02/26/2007	01/17/2007	01/16/2007	01/10/2007	11/22/2006
	605	614	623	632	652	654	682	683	687	722
OIG	08/26/02	08/05/02	05/15/02	05/07/02	04/23/02	03/25/02	02/04/02	01/02/02	09/27/01	08/14/00
	1780	1795	1851	1857	1867	1888	1932	1944	2008	2289
OS	04/30/2007	02/28/2007	10/03/2005	09/28/2005	01/16/2004	01/15/2004	01/15/2004	01/15/2004	01/15/2004	01/13/2004
	609	652	1019	1022	1465	1466	1466	1466	1466	1468
OSM	N/A	N/A	N/A	N/A	09/28/2009 2	09/17/2009 9	09/10/2009 14	09/09/2009 15	11/18/2008 217	07/21/2008 300
SOL	10/25/2007	09/26/2007	09/21/2007	08/02/2007	07/10/2007	03/28/2007	03/16/2007	02/13/2007	10/12/2006	08/05/2005
	483	503	506	541	558	632	640	663	751	1060
USGS	N/A	N/A	N/A	N/A	N/A	09/30/2009 0	09/29/2009	09/28/2009 2	09/18/2009 8	09/17/2009
Agency Overall	10 th Oldest Request and Number of Days Pending	9 th	8 th	7 th	6 th	5 th	4 th	3 rd	2 nd	Oldest Request and Number of Days Pending
N. 4 C	08/26/02 1780	08/05/02 1795	05/15/02 1851	05/07/02 1857	04/23/02 1867	03/25/02 1888	02/04/02 1932	01/02/02 1944	09/27/01 2008	08/14/00 2289

Note: Some of the Ten Oldest Pending Perfected Requests reported by bureaus/offices did not appear in the FY08 report due to errors made in compiling some of this information manually.

VIII. REQUESTS FOR EXPEDITED PROCESSING AND REQUESTS FOR FEE WAIVER

A. Requests for Expedited Processing

Bureau/Office	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
BIA	0	1	6	6	1
BLM	3	10	9	10	9
BOR	2	0	9	9	1
FWS	10	15	3	6	21
MMS	0	9	4	5	8
NPS	9	0	8	9	6
OIG	0	0	N/A	N/A	0
OS	3	6	10	26	4
OSM	0	0	N/A	N/A	0
SOL	0	0	N/A	N/A	0
USGS	0	0	N/A	N/A	0
AGENCY OVERALL	27	41	49	71	50

B. Requests for Fee Waiver

Bureau/Office	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
BIA	6	2	16	18
BLM	135	3	1	12
BOR	9	4	1	8
FWS	266	1	1	9
MMS	11	3	6	7
NPS	58	0	2	5
OIG	11	0	1	1
OS	19	7	3	13
OSM	6	0	1	3
SOL	0	0	N/A	N/A
USGS	1	0	8	8
AGENCY OVERALL	522	20	1	10

IX. FOIA PERSONNEL AND COSTS

Bureau/Office]	PERSONNEL			Costs	
	Number of "Full- Time FOIA Employees"	Number of "Equivalent Full- Time FOIA Employees"	Total Number of ''Full-Time FOIA Staff''		Litigation-Related Costs	Total Costs
BIA	3	17.14	20.14	\$1,292,025.99	\$17,763.10	\$1,309,789.09
BLM	10	6.3	44.35	\$2,180,863.06	\$36,622.00	\$2,217,485.06
BOR	2	10.00	12.00	\$540,637.06	\$0.00	\$540,637.06
FWS	1	35.00	36.00	\$1,230,905.05	\$0.00	\$1,230,905.05
MMS	4	4.10	8.10	\$734,290.07	\$0.00	\$734,290.07
NPS	2	6.96	8.96	\$742,130.84	\$160,577.00	\$902,707.84
OCIO	2	0.58	2.58	\$839,650.00	\$0.00	\$839,650.00
OIG	4**	0.25	4.25	\$262,000.00	\$10,734.00	\$272,734.00
OS	5	1.24	6.24	\$720,063.72	\$0.00	\$720,063.72
OSM	0	1.25	1.25	\$105,273.31	\$0.00	\$105,273.31
SOL	5	0.00	5.00	\$370,344.36	\$157,208.95	\$527,553.31
USGS	0	1.45	1.45	\$132,521.08	\$0.00	\$132,521.08
APPEALS	3	0.00	3.00	\$500,000.00	\$2,000.00	\$502,000.00
AGENCY OVERALL	41.00	84.27	153.32	\$9,650,704.54	\$384,905.05	\$10,035,609.59

^{**}Two of the four "Full-Time FOIA Employees" reported by OIG came on board in July 2009.

X. FEES COLLECTED FOR PROCESSING REQUESTS

Bureau/Office	Total Amount of Fees Collected	Percentage of Total Costs
BIA	\$19,084.84	1.46
BLM	\$35,803.22	1.61
BOR	\$9,101.36	1.68
FWS	\$14,375.13	1.17
MMS	\$28,003.26	3.81
NPS	\$1,798.91	0.20
OIG	\$0	0
OS	\$7,995.34	1.11
OSM	\$1,221.54	1.16
SOL	\$50.31	0.01
USGS	\$7,238.81	5.50
AGENCY OVERALL	\$124,672.72	1.31

XI. FOIA REGULATIONS

A copy of DOI's FOIA regulations, including the fee schedule (43 CFR Part 2, Subparts A thru E) may be found at the following Internet address: <a href="http://ecfr.gpoaccess.gov/cgi/t/text/text-idx?c=ecfr&sid=680d313447224cb3fe72a5a2572dc5a1&rgn=div5&view=text&node=43:1.1.1.1.2&idno=43. A hard copy of the regulations may be obtained by contacting the Departmental FOIA Officer (see Section I., above).

XII. BACKLOGS, CONSULTATIONS, AND COMPARISONS

A. Backlogs of FOIA Requests and Administrative Appeals

Bureau/Office	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
BIA	100	
BLM	47	
BOR	15	
FWS	71	
MMS	9	
NPS	45	
OIG	253	
OS	154	
OSM	2	
SOL	61	
USGS	0	
AGENCY OVERALL	757	437

B. Consultations on FOIA Requests – Received, Processed, and Pending Consultations

Bureau/ Office	Number of Consultations Received from Other Agencies that Were Pending at Your Agency as of Start of the Fiscal Year	Number of Consultations Received from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that Were Processed by Your Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that Were <u>Pending</u> at Your Agency as of <u>End</u> of the Fiscal Year
BIA	0	0	0	0
BLM	0	0	0	0
BOR	0	0	0	0
FWS	0	0	0	0
MMS	0	0	0	0
NPS	0	0	0	0
OIG	0	0	0	0
OS	0	8	7	1
OSM	0	0	0	0
SOL	0	0	0	0
USGS	0	1	1	0
AGENCY OVERALL	0	9	8	1

C. Consultations on FOIA Requests – Ten Oldest Consultations Received from Other Agencies and Pending at Your Agency

Bureau/ Office	10 th Oldest Consultation and Number of Days Pending	9 th	8 th	7 th	6 th	5 th	4 th	3 rd	2 nd	Oldest Consultation and Number of Days Pending
BIA	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
BLM	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
BOR	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
FWS	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
MMS	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NPS	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OS	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	08/28/09 22 days
OIG	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OSM	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SOL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
USGS	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Agency Overall	10 ^{th<} Oldest Consultation and Number of Days Pending	9 th	8 th	7 th	6 th	5 th	4 th	3 rd	2 nd	Oldest Consultation and Number of Days Pending
	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	08/28/09 22 days

D. Comparison of Numbers of Requests from Previous and Current Annual Report – Requests Received, Processed, and Backlogged

Bureau/Office	NUMBER OF REQU	UESTS RECEIVED	NUMBER OF REQU	JESTS PROCESSED
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
BIA	934	975	923	1004
BLM	990	922	996	922
BOR	200	202	187	198
FWS	802	1180	790	1160
MMS	180	222	183	218
NPS	869	857	850	859
OIG	81	69	73	79
OS	477	503	476	391
OSM	54	37	56	35
SOL	80	95	66	75
USGS	143	146	150	147
AGENCY OVERALL	4810	5208	4750	5088

Bureau/Office	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report*	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
BIA	121	100
BLM	46	47
BOR	9	15
FWS	84	71
MMS	9	9
NPS	30	45
OIG	252	253
OS	46	154
OSM	3	2
SOL	70	61
USGS	0	0
AGENCY OVERALL	666	757

^{*}These figures were copied from last year's annual report.

E. Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report – Appeals Received, Processed, and Backlogged

	NUMBER OF API	PEALS <u>RECEIVED</u>	NUMBER OF APPEALS <u>PROCESSED</u>		
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report	
AGENCY OVERALL	214	149	300	249	

3. Starting with the Annual Report for Fiscal Year 2009, provide the number of backlogged administrative appeals as of the end of the fiscal year from the previous Annual Report and the number of backlogged administrative appeals as of the end of the fiscal year from the current Annual Report.

	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
AGENCY OVERALL	537	437

F. Discussion of Other FOIA Activities (Optional)