#### DEPARTMENT OF THE INTERIOR

# Freedom of Information Act 2008 Annual Report (October 1, 2007 - September 30, 2008)

- I. Basic Information Regarding Report
  - 1. Questions about the report should be directed to:

Alexandra Mallus
Departmental FOIA Officer
Office of the Chief Information Officer (OCIO)
Department of the Interior (DOI)
1849 C Street, NW
MS-7438-MIB
Washington, D.C. 20240
Telephone No.: (202) 208-5342

2. The electronic address for this report on DOI's World Wide Web site is:

#### http://www.doi.gov/foia/08anrep.pdf.

- 3. A copy of the report in paper form may be obtained by contacting the Departmental FOIA Officer (see A, above).
- II. Making a FOIA Request (see DOI's **Guide for Obtaining Information** which is located at the following Internet address: <a href="http://www.doi.gov/foia/foitabl.htm">http://www.doi.gov/foia/foitabl.htm</a>).
  - 1. FOIA requests should be submitted to the FOIA contact at the bureau/office where the records are maintained. A list of the Department's bureaus/offices is provided below. If it is unclear where to send the request, contact the Departmental FOIA Officer. A list of DOI's FOIA contacts may be found at the following Internet address:

http://www.doi.gov/foia/contacts.html.

#### **Bureaus/Offices**

Office of the Secretary (OS)

Bureau of Indian Affairs (BIA)

Fish & Wildlife Service (NPS)

National Park Service (NPS)

Bureau of Reclamation (BOR)

Minerals Management Service (MMS)

Bureau of Land Management (BLM)

Office of Inspector General (OIG)

Office of the Solicitor (SOL)

Office of Surface Mining (OSM)

U.S. Geological Survey (USGS)

2. The Department of the Interior (DOI) is the nation's principal conservation agency with a broad mission and diverse set of programs, including protecting America's natural treasures, managing and providing access to the nation's natural and cultural heritage,

maintaining recreational sites for public use, honoring the Government's trust responsibilities to American Indians and Alaska Natives and its responsibilities to island communities, conducting scientific research, providing stewardship of energy and mineral resources, fostering the sound use of land and water resources, and managing, conserving and protecting fish and wildlife. Because of its diverse mission, it is difficult to identify specific types of records that are generally exempted under the FOIA. Generally, DOI FOIA offices most frequently withhold information under Exemptions 5 and 6 to safeguard inter-agency or intra-agency communications that are protected by legal privileges, and information involving matters of personal privacy.

#### III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

- 1. Provide any agency-specific acronyms or terms used in this Report. (see II.(1)., above).
- 2. Include the following definitions of terms used in this Report:
  - a. **Administrative Appeal** a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
  - b. **Average Number** the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
  - c. **Backlog** the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
  - d. **Component** for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
  - e. **Consultation** the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
  - f. **Exemption 3 Statute** a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.

g. **FOIA Request** – a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- h. **Full Grant** an agency decision to disclose all records in full in response to a FOIA request.
- i. **Full Denial** an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j. **Median Number** the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k. **Multi-Track Processing** a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
  - i. **Expedited Processing** an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
  - ii. **Simple Request** a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.

- iii. **Complex Request** a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
- 1. **Partial Grant/Partial Denial** in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- m. **Pending Request or Pending Administrative Appeal** a request or administrative appeal for which an agency has not taken final action in all respects.
- n. **Perfected Request** a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- o. **Processed Request or Processed Administrative Appeal** a request or administrative appeal for which an agency has taken final action in all respects.
- p. **Range in Number of Days** the lowest and highest number of days to process requests or administrative appeals.
- q. **Time Limits** the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).
- 3. The nine FOIA exemptions authorize Federal agencies to withhold information:
  - a. **Exemption 1**: classified national defense and foreign relations information
  - b. **Exemption 2**: internal agency rules and practices
  - c. **Exemption 3**: information that is prohibited from disclosure by another federal law
  - d. **Exemption 4**: trade secrets and other confidential business information
  - e. **Exemption 5**: inter-agency or intra-agency communications that are protected by legal privileges
  - f. **Exemption 6**: information involving matters of personal privacy

- g. **Exemption 7**: records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
- h. **Exemption 8**: information relating to the supervision of financial institutions
- i. **Exemption 9**: geological information on wells

## IV. EXEMPTION 3 STATUTES

# A. Exemption 3 Statutes Relied upon to Withhold Information

Bureau/Office	Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Compone nt	Total Number of Times Relied upon by Agency
BIA	National Historic Preservation Act (16 U.S.C. §470w-3)	PAL Cultural Resources Study	None	2	10
	Administrative Procedure Act (5 U.S.C. §574)	Klamath River Basin Restoration Agreement	None		
	Indian Mineral Development Act (25 U.S.C. §2103(c))	Indian Mineral Development Agreement with Black Rock, JT Energy and Dakota 3	None	1	2
	Archaeological Resources Protection Act (16 U.S.C. §470hh(a))	Cultural Resources Inventory Information	Starkey v. U.S. Department of the Interior, 238 F. Supp. 2d 1188 (S.D. Cal 2002)	1	20
BLM	Archaeological Resources Protection Act (16 U.S.C. §470hh(a))	Cultural Resources Inventory Information	Starkey v. U.S. Department of the Interior, 238 F. Supp. 2d 1188 (S.D. Cal 2002)	17	20

	National Defense	Unsuccessful	Center for		
	Authorization Act (41 U.S.C.	bidders,	Public		
	§253b)	individual &	Integrity v.		
		company	U.S.		
		names/Unit	Department		
		price total	of Energy,		
		amounts	191 F. Supp.		
			2d 187		
			(D.D.C.		
			2002)	4	14
	Section 304, National Historic	Exact location	None		
	Preservation Act (16 U.S.C.	and nature of			
	§470w-3)	cultural and			
		archaeological			
		sites/cultural			
		resource inventories			
		and surveys		6	10
	American Indian Religious	Location of	None	U	10
	Freedom Act, Amendments of	plants used for	None		
	1994 (42 U.S.C. §1996)	ceremonial			
	1331 (12 0.8.0. 31330)	purposes		1	1
BOR	National Defense	Unsuccessful	Center for		_
	Authorization Act (41 U.S.C.	contract	Public		
	§253b)	proposals	Integrity v.		
			U.S.		
			Department		
			of Energy,		
			191 F. Supp.		
			2d 187		
			(D.D.C.		
			2002)	4	14
	Archaeological Resources	Records with	Starkey v.		
	Protection Act (16 U.S.C.	archaeological	U.S.		
	§470)	site location	Department		
		information	of the		
			Interior, 238		
			F. Supp. 2d 1188 (S.D.		
			Cal 2002)	1	20
FWS	Rule 6(e), Federal Rules of	Disclosure of	None	1	20
1 110	Criminal Procedure (18	information			
	U.S.C. §385)	would reveal			
		the identities			
		of witnesses or			
		jurors, the		10	10

		substance of the testimony, the strategy or direction of the investigation, the deliberations or questions of the jurors.			
MMS	National Defense Authorization Act (41 U.S.C. §253b)	Unsuccessful bids and contract proposals	Center for Public Integrity v. U.S. Department of Energy, 191 F. Supp. 2d 187 (D.D.C. 2002)	1	14
	National Historic Preservation Act (16 U.S.C. §470w-3)	Shipwreck locations	None	2	10
	Indian Mineral Development Act (25 U.S.C. §2103(c))	Leases signed under the Act	None	1	2
NPS	National Parks Omnibus Act (16 U.S.C. §5937, Sect. 207)	Plant locations & names of rare endangered species	None	1	1
	National Defense Authorization Act (41 U.S.C. §253b)	Contracts - Bid Information	Center for Public Integrity v. U.S. Department of Energy, 191 F. Supp. 2d 187 (D.D.C. 2002)	3	14
OIG	None	None	None	0	0
OS	National Defense Authorization Act (41 U.S.C. §253b)	Certain information in contractor proposals	Center for Public Integrity v. U.S. Department	2	14

			of Energy, 191 F. Supp. 2d 187 (D.D.C. 2002)		
OSM	Archaeological Resources Protection Act (16 U.S.C. §470hh(a))	Records with archaeological site location information	Starkey v. U.S. Department of the Interior, 238 F. Supp. 2d 1188 (S.D. Cal 2002)	1	20
SOL	None	None	None	0	0
USGS	None	None	None	0	0

	National Historic Preserva- tion Act	Indian Mineral Develop- ment Act	Archaeologi- cal Resources Protection Act	National Defense Authoriza- tion Act	American Indian Religious Freedom Act, Amendments of 1994	Rule 6(e), Federal Rules of Criminal Procedure	National Parks Omnibus Act	Administra- tive Procedure Act
STATUTE	16 U.S.C. §470w-3	25 U.S.C. §2103(c)	16 U.S.C. §470hh(a)	41 U.S.C. §253b	42 U.S.C. §1996	18 U.S.C. §385	16 U.S.C. §5937, Sect. 207	
AGENCY OVERALL	10	2	20	14	1	10	1	1

#### V. FOIA REQUESTS

• For charts in Section V, include all "purported" FOIA requests, both perfected and non-perfected. Non-perfected requests are further reflected in various columns in Chart V, B (1) below.

#### A. Received, Processed and Pending FOIA Requests

Bureau/Office	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
BIA	179	934	923	190
BLM	110	990	996	104
BOR	9	200	187	22
FWS	97	802	790	109
MMS	27	180	183	24
NPS	38	869	850	57
OIG	255	81	73	263
OS	68	477	476	69
OSM	5	54	56	3
SOL	49	80	66	63
USGS	8	143	150	1
AGENCY OVERALL	845*	4810	4750	905

<sup>\*</sup>Although DOI indicated in its FY 2007 Annual Report that there were 876 requests pending at the end of the fiscal year, the figure denoted in V.A. is correct. The difference is attributed to the fact that 1) although many requests were completed in FY 2007, the bureaus did not show them as being completed until after the FY 2007 report was finalized; 2) there were a few discrepancies in accounting; and a few offices were not using the electronic FOIA tracking system.and numbers reported were inaccurate.

#### B. (1) Disposition of FOIA Requests – All Processed Requests

- 1. Provide the number of request dispositions as described in the columns below. Select only one column to report each request.
- 2. Report a request in one of the nine "Full Denial Based on Reasons Other than Exemptions" columns <u>only if</u> the request cannot be counted in one of the first three columns.

3. The numbers in the "Total" Column on the right must match the numbers in Section V, A, Column 3 ("Number of 1. Provide the median, average and range in number of days to process all perfected requests.

	Number of Full Grants	Number of Partial Grants/ Partial Denials	Number of Full Denials Based on Exemptions		Nu	umber of Full Do	enials Based	on Reasons Othe	than Exemption	ns			
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other	Total
BIA	394	206	15	140	20	21	24	30	13	20	16	24	923
BLM	500	277	18	120	7	32	26	5	6	3	1	1	996
BOR	118	26	5	16	8	10	2	0	0	1	1	0	187
FWS	548	89	20	70	23	7	0	0	0	2	0	31	790
MMS	103	38	4	12	3	5	6	1	2	4	0	5	183
NPS	485	213	24	61	22	13	10	8	0	10	3	1	850
OIG	5	22	12	5	2	23	0	1	0	1	2	0	73
OS	119	79	2	53	36	20	12	108	23	15	2	7	476

OSM	29	10	0	11	1	3	2	0	0	0	0	0	56
SOL	15	22	7	9	1	3	4	5	0	0	0	0	66
USGS	67	30	4	23	3	8	5	1	0	5	4	0	150
AGENCY OVERALL	2383	1012	111	520	126	145	91	159	44	61	29	69	4750

# B. (2) Disposition of FOIA Requests – "Other" Reasons for "Full Denials Based on Reasons Other than Exemptions" from Section V, B (1) Chart

Component	Description of "Other" Reasons for Denials from Chart B (1) & Number of Times Those Reasons Were Relied upon	TOTAL
	Unable to locate requester/mail returned: 4	
	No response to "Do you still want these documents"	
	communication: 7	
	Consent form not provided: 9	
	Litigation: 1	
	Website address provided: 1	
	Premature request: 1	
BIA	Documents reviewed, no copies requested: 1	24
BLM	Requester failed to provide requested clarified information	1
BOR	Not Applicable	0
	Request administratively closed:2	
FWS	Requester failed to provide requested clarification: 29	31
	Request administratively closed: 2	
MMS	Requester failed to provide requested clarification: 3	5
NPS	Administratively Closed (Requester came into the office and reviewed the maps; no response needed) NPS-2008-00126	1
OIG	Not Applicable	0
	Request administratively closed: 4	
OS	Requester failed to provide requested clarification: 3	7
OSM	Not Applicable	0
SOL	Not Applicable	0
USGS	Not Applicable	0
AGENCY OVERALL		69

B. (3) Disposition of FOIA Requests – Number of Times Exemptions Applied

<b>Bureau/Office</b>			Ex.	Ex.	Ex.	Ex.	Ex.	Ex.	Ex.	Ex.	Ex.	Ex.		
	Ex.1	Ex.2	3	4	5	6	7(A)	7(B)	7(C)	7(D)	7(E)	7(F)	Ex.8	Ex.9
	0	2	5	24	26	168	11	0	84	1	0	1	0	0
BIA														
	0	38	19	25	117	206	16	1	29	1	6	9	0	3
BLM														
	0	4	5	7	11	22	0	0	0	0	0	2	0	0
BOR														
	1	12	10	10	75	67	16	1	23	11	16	2	0	0
FWS		_					_			_	_	_		
	0	8	4	19	18	19	0	0	0	0	0	0	0	0
MMS		_												
	0	6	4	28	39	191	31	0	36	1	10	2	0	0
NPS		15	-		1.5	10	0		1.5	0			0	0
	0	17	0	7	16	18	9	0	17	0	0	0	0	0
OIG	0	2.4		10	4.77	<b>7.</b> 4	1	0	1	0	2	1	0	0
	0	24	2	13	47	54	1	0	1	0	2	1	0	0
OS	0	0	1	9	5	5	0	0	0	0	0	0	0	0
	0	U	1	9	3	3	0	0	0	U	U	U	U	U
OSM	0	0	0	2	16	13	0	0	3	0	0	0	0	0
		U	U		10	13	U	U	3	U	U	U	U	U
SOL	0	0	0	19	14	4	0	0	2	2	0	0	0	1
Hada		U	U	17	14	4	U			<i>_</i>	U	U	U	1
USGS														
AGENCY OVERALL	1	111	50	163	384	767	84	2	195	16	34	17	0	4

# VI. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS

## A. Received, Processed and Pending Administrative Appeals

Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
639	214	300	553

## B. Disposition of Administrative Appeals – All Processed Appeals

Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
26	1	61	212	300

## C. (1) Reasons for Denial on Appeal – Number of Times Exemptions Applied

Ex.1	Ex.2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)			Ex. 7(D)		Ex. 7(F)	Ex.8	Ex.9
0	4	0	0	6	10	1	0	6	0	0	0	0	0

# C. (2) Reasons for Denial on Appeal – Reasons Other than Exemptions

No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
7	2	1	5	0	2	0	0	14	0	181

# C. (3) Reasons for Denial on Appeal – "Other" Reasons from Section VI, C (2) Chart

Description of "Other" Reasons for Denial on Appeal from Chart C (2) & Number of Times Those Reasons Were Relied upon	TOTAL
Adequate search conducted	6
Administratively closed	114
Appeal withdrawn	29
Bureau did not review withheld records	1
Bureau did not waive exemption	1
Bureau failed to respond timely	9
Bureau issued a complete response	1
Premature appeal	1
Not a proper appeal	1
Procedural deficiency - No exemption cited	1
Exemption 7A issue moot	3
Search certificate not required at admin level	1
Unable to obtain info needed to finalize decision	8
Vaughn index not required at admin level	5
AGENCY OVERALL	181

# C. (4) Response Time for Administrative Appeals

Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
1073	1726	4	3448

# C. (5) Ten Oldest Pending Administrative Appeals

Date of Receipt of	10 <sup>th</sup> Oldest Appeal	9 <sup>th</sup>	8 <sup>th</sup>	7 <sup>th</sup>	6 <sup>th</sup>	5 <sup>th</sup>	4 <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Oldest Appeal
Ten Oldest										
Appeals	10/21/2003	10/11/2002	4/24/2002	2/16/2001	9/21/2000	9/14/2000	8/19/1999	11/4/1998	8/14/1998	6/2/1998
Number of										
<b>Days Pending</b>	1238	1493	1613	1909	2009	2014	2281	2478	2534	2586

### VII. FOIA REQUESTS: RESPONSE TIME FOR PROCESSED AND PENDING REQUESTS

A. Processed Requests – Response Time for All Processed Perfected Requests

Note: (1) Median and average number of days for the agency overall do not include figures reported for BIA, OIG, SOL, and one NPS region as they are not currently using DOI's electronic tracking system (applies to all sections of the report requesting the median/average number of days). This will be corrected in FY09.

(2) DOI uses four tracks to process requests: Simple, Normal, Complex, and Expedited. The Normal track is used for those requests that can be processed within 20 workdays.

Bureau/Office		Simple				Nor	mal		Complex				
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	
BIA	7	2.5	1	5	33	88.2	6	1327	72	98.8	17	674	
BLM	2	3	0	20	17	27	0	383	67	98	0	895	
BOR	3	5	1	12	13	16	1	142	40	72	1	476	
FWS	5	16.5	12	39	218.6	216.6	73	782	43	400	159	1300	
MMS	1	1	1	1	13	13	0	59	30	85	0	333	
NPS	12	11	4	18	10	20	0	733	28	51	0	265	
OIG	19.5	24.8	4	98	1971.5	1691.7	10	2674	37	47.5	3	199	
OS	1	1	0	15	2	5	0	37	31	53	0	547	
OSM	0	0	0	0	12	15	0	49	16	30	1	112	
SOL	63	94	2	642	0	0	0	0	0	0	0	0	
USGS	0	0	0	2	4	5	0	24	11	18	0	76	
AGENCY OVERALL	1	2	0	642	12	19	0	2674	33	59	0	1300	

		Expedited 1	processing	
Bureau/Office	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BIA	7	10.8	1	19
BLM	11	8	2	12
BOR	6	5	2	6
FWS	1	1	1	1
MMS	0	0	0	0
NPS	5	5	5	5
OIG	217	217	217	217
OS	13	13	3	22
OSM	0	0	0	0
SOL	0	0	0	0
USGS	0	0	0	0
AGENCY OVERALL	6	8	0	217

## B. Processed Requests – Response Time for Perfected Requests in Which Information Was Granted

		Sim	ıple			Nor	mal			Com	plex	
Bureau/Office	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BIA	3	2.8	1	6	11	60.8	6	810	25	69.7	17	199
BLM	3	4	0	20	19	28	0	383	68	100	0	895
BOR	3	5	1	12	47	77	1	476	6	6	6	6
FWS	3	6	12	43	12	19	79	1016	26	47	159	1016
MMS	1	1	1	1	15	14	0	59	42	102	2	333
NPS	13	12	4	18	10	21	0	733	32	53	0	265
OIG	20	41.3	6	98	356.5	727.5	82	2648	43	65	3	199
OS	1	2	0	15	7	8	0	37	40	65	2	547
OSM	0	0	0	0	15	17	0	49	23	36	1	112
SOL	63	91	2	642	0	0	0	0	0	0	0	0
USGS	0	0	0	1	5	5	0	19	12	21	1	76
AGENCY OVERALL	2	5	0	642	13	21	0	2648	42	69	0	1016

		Expedited PR	OCESSING	
Bureau/Office	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BIA	7	10.8	1	19
BLM	11	8	2	12
BOR	6	6	6	6
FWS	1	1	1	1
MMS	0	0	0	0
NPS	5	5	5	5
OIG	217	217	217	217
OS	13	13	3	22
OSM	0	0	0	0
SOL	0	0	0	0
USGS	0	0	0	0
AGENCY OVERALL	6	8	0	217

# C. Processed Requests – Response Time in Day Increments

# **Simple Requests**

Bureau/Office					81-	101-	121-	141-	161-	181-	201-	301-		
	1-20	21-40	41-60	61-80	100	120	140	160	180	200	300	400	401+	
	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	TOTAL
BIA	150	0	0	0	0	0	0	0	0	0	0	0	0	150
BLM	36	0	0	0	0	0	0	0	0	0	0	0	0	36
BOR	11	0	0	0	0	0	0	0	0	0	0	0	0	11
FWS	22	0	0	1	0	0	0	0	0	0	0	0	0	23
MMS	2	0	0	0	0	0	0	0	0	0	0	0	0	2
NPS	112	0	0	0	0	0	0	0	0	0	0	0	0	112
OIG	5	2	0	0	1	0	0	0	0	0	0	0	0	8
OS	118	0	0	0	0	0	0	0	0	0	0	0	0	118
OSM	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SOL	14	7	3	6	3	2	2	2	0	0	1	2	2	44
USGS	18	0	0	0	0	0	0	0	0	0	0	0	0	18
AGENCY OVERALL	488	9	3	7	4	2	2	2	0	0	1	2	2	522

# **Normal Requests**

Bureau/Office					81-	101-	121-	141-	161-	181-	201-	301-		
	1-20	21-40	41-60	61-80	100	120	140	160	180	200	300	400	401+	TOTAL
	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	TOTAL
BIA	288	170	68	47	17	33	13	11	5	5	20	14	44	735
BLM	517	207	53	24	13	9	6	5	2	5	1	6	0	848
BOR	124	26	4	1	1	0	0	1	0	0	0	0	0	157
FWS	390	81	19	10	4	2	1	1	1	0	5	1	0	515
MMS	132	15	1	0	0	0	0	0	0	0	0	0	0	148
NPS	573	81	17	13	11	3	4	0	1	0	4	0	1	708
OIG	1	0	0	0	1	0	0	0	0	0	2	3	30	37
OS	182	1	0	0	0	0	0	0	0	0	0	0	0	183
OSM	40	6	4	0	0	0	0	0	0	0	0	0	0	50
SOL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
USGS	116	2	0	0	0	0	0	0	0	0	0	0	0	118
AGENCY OVERALL	2363	589	166	95	47	47	24	18	9	10	32	24	75	3499

# **Complex Requests**

Bureau/Office	1-20	21-40	41-60	61-80	81- 100	101- 120	121- 140	141- 160	161- 180	181- 200	201- 300	301- 400	401+	
	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	TOTAL
BIA	5	9	10	5	1	4	0	0	1	1	0	0	2	38
BLM	11	15	17	25	12	5	3	6	4	1	4	4	2	109
BOR	7	1	2	2	0	1	1	1	0	0	0	0	0	15
FWS	105	57	30	19	13	10	2	4	3	1	7	0	1	252
MMS	11	7	4	2	1	0	1	0	1	0	2	4	0	33
NPS	12	3	6	3	1	2	0	0	1	0	1	0	0	29
OIG	9	6	7	1	0	0	0	2	0	1	1	0	0	27
OS	58	44	20	18	10	3	4	6	0	4	4	0	2	173
OSM	3	2	0	0	0	1	0	0	0	0	0	0	0	6
SOL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
USGS	10	3	0	1	0	0	0	0	0	0	0	0	0	14
AGENCY OVERALL	231	147	96	76	38	26	11	19	10	8	19	8	7	696

# **Requests Granted Expedited Processing**

Bureau/Office					81-	101-	121-	141-	161-	181-	201-	301-		
	1-20	21-40	41-60	61-80	100	120	140	160	180	200	300	400	401+	
	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	TOTAL
BIA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
BLM	3	0	0	0	0	0	0	0	0	0	0	0	0	3
BOR	3	0	0	0	0	0	0	0	0	0	0	0	0	3
FWS	1	0	0	0	0	0	0	0	0	0	0	0	0	1
MMS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NPS	1	0	0	0	0	0	0	0	0	0	0	0	0	1
OIG	0	0	0	0	0	0	0	0	0	0	1	0	0	1
OS	1	1	0	0	0	0	0	0	0	0	0	0	0	2
OSM	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SOL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
USGS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	9	1	0	0	0	0	0	0	0	0	1	0	0	11

# D. Pending Requests – All Pending Perfected Requests

Bureau/		Simple			Normal			Complex	
Office	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
BIA	0	0	0	113	222	153.4	8	108	111.3
BLM	0	0	0	77	16	55	27	110	116
BOR	1	0	0	16	15	48	5	36	65
FWS	0	0	0	37	10	33	71	137	157
MMS	0	0	0	13	8	12	11	107	105
NPS	0	0	0	43	21	94	11	82	100
OIG	2	197.5	197.5	216	915	988	45	146.5	126.3
OS	0	0	0	12	48	64	57	72	64
OSM	0	0	0	1	0	0	2	50	64
SOL	74	293	261	0	0	0	0	0	0
USGS	0	0	0	1	20	20	0	0	0
AGENCY OVERALL	77	1	1	529	40	438	196	92	172

Bureau/Office		Expedited PROCESSI	ING
	<b>Number Pending</b>		
BIA	0	0	0
BLM	0	0	0
BOR	0	0	0
FWS	1	1	1
MMS	0	0	0
NPS	1	8	8
OIG	0	0	0
OS	0	0	0
OSM	0	0	0
SOL	0	0	0
USGS	0	0	0
AGENCY OVERALL	2	5	5

# E. Pending Requests – Ten Oldest Pending Perfected Requests

	10 <sup>th</sup> Oldest Request and Number of Days									Oldest Request and Number of
	Pending	9 <sup>th</sup>	8 <sup>th</sup>	7 <sup>th</sup>	6 <sup>th</sup>	5 <sup>th</sup>	4 <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Days Pending
BIA	4/16/2007	4/12/2007	3/30/2007	2/7/2007	1/22/2007	1/17/2007	1/16/2007	1/10/2007	1/4/2007	11/30/2006
	368	370	377	415	426	429	430	434	438	461
BLM	12/3/2007	11/9/2007	11/8/2007	10/19/2007	10/5/2007	10/4/2007	7/19/2007	4/11/2007	2/18/2007	8/1/2006
	208	222	223	237	246	247	301	372	409	553
BOR	9/4/2008	8/15/2008	8/8/2008	8/6/2008	6/30/2008	4/7/2008	2/1/2008	1/29/2008	1/22/2008	1/7/2008
	18	31	36	38	64	123	168	171	176	186
FWS	6/16/2007	6/16/2007	6/13/2007	4/20/2007	3/6/2007	12/15/2006	11/16/2006	10/11/200 6	9/18/2006	8/2/2006
	324	324	327	365	398	455	476	502	519	552
MMS	8/5/2008	7/22/2008	5/27/2008	5/21/2008	4/29/2008	4/22/2008	3/31/2008	3/31/2008	1/25/2008	10/29/2007
	39	49	88	91	107	112	128	128	173	231
NPS	9/24/2007	9/20/2007	9/11/2007	9/4/2007	8/14/2007	7/5/2007	3/20/2007	1/10/2007	11/24/2006	8/25/2006
	255	257	264	269	283	311	388	437	470	535
OIG	8/14/2000	7/22/2000	3/10/2000	10/8/1998	9/2/1998	9/2/1998	7/24/1998	7/20/1998	6/25/1998	5/5/1997
	2122	2137	2233	2604	2630	2630	2658	2662	2679	2967
OS	4/30/2007	2/28/2007	10/3/2005	9/28/2005	1/16/2004	1/15/2004	1/15/2004	1/15/2004	1/15/2004	1/13/2004
	359	402	769	772	1215	1216	1216	1216	1216	1218
OSM								9/17/2008	7/23/2008	3/25/2008
	0	0	0	0	0	0	0	9	50	132
SOL	8/3/2006	7/11/2006	5/10/2006	3/21/2006	2/9/2006	12/27/2005	12/22/2005	12/22/200 5	12/22/2005	12/22/2005
	543	560	602	638	665	695	698	698	698	698
USGS								_		9/2/2008
	0	0	0	0	0	0	0	0	0	20

Agency Overall	10 <sup>th</sup> Oldest Request and Number of Days Pending	9 <sup>th</sup>	8 <sup>th</sup>	7 <sup>th</sup>	6 <sup>th</sup>	5 <sup>th</sup>	4 <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Oldest Request and Number of Days Pending
	8/14/00	7/22/00	3/10/00	10/8/1998	9/2/1998	9/2/1998	7/24/1998	7/20/1998	6/25/1998	5/5/1997
	2122	2137	2233	2604	2630	2630	2658	2662	2679	2967

**Note:** (1) Figures reported under Section VII, above, include both perfected and nonperfected requests. DOI is in the process of making updates to the electronic FOIA tracking system to capture data for perfected requests only.

(2) A couple of the Ten Oldest Pending Perfected Requests reported by the bureaus did not appear in the FY07 report due to errors that were made in compiling this information manually. The information reported above is accurate as it was derived electronically from data in the tracking system.

# VIII. REQUESTS FOR EXPEDITED PROCESSING AND REQUESTS FOR FEE WAIVER\* $\,$

## A. Requests for Expedited Processing

Bureau/Office	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
	5	0	7	10.8	3
BIA			10		
BLM	5	11	13	12	2
BOR	3	1	6	5	6
FWS	1	6	0	0	0
MMS	0	6	4	4	6
NPS	2	4	5	5	6
OIG	1	0	1	1	1
OS	2	18	3	6	13
OSM	1	0	1	1	1
SOL	0	0	0	0	0
USGS	0	0	0	0	0
AGENCY OVERALL	19	46	4	7	38

<sup>\*</sup>For the most part, Department bureaus and offices reported data in VIII.A and VII.B only from July 1 – September 30, 2008.

## B. Requests for Fee Waiver

Bureau/Office	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
BIA	26	3	12	16.5
BLM	160	14	0	2
BOR	9	0	9	14
FWS	263	9	0	3
MMS	12	8	6	8
NPS	16	4	64	64
OIG	5	0	14	23
OS	20	17	9	11
OSM	3	0	0	1
SOL	1	0	2	2
USGS	0	0	0	0
AGENCY OVERALL	515	55	2	6

## IX. FOIA PERSONNEL AND COSTS

		PERSONNEL			Costs	
Bureau/ Office	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs  (At initial request and appeal levels)	Litigation-Related Costs	Total Costs
BIA	4	10.37	14.37	\$847,063.24	\$0.00	\$847,063.24
BLM	10	27.22	37.22	\$2,750,305.37	\$39,203	\$2,789,508.37
BOR	1	7.5	8.5	\$527,467.89	\$8,500	\$535,967.89
FWS	7	12	19	\$1,201,113.40	\$31,400	\$1,232,513.40
MMS	2	4.9	6.9	\$664,750.98	\$0.00	\$664,750.98
NPS	1	9.78	10.78	\$1,056,941.49	\$65,000	\$1,121,941.49
OCIO	1	0.9	1.9	\$578,629	\$0.00	\$578,629
OIG	2	0.22	2.22	\$191,273	\$7,830	\$199,103
OS	5	2.55	7.55	\$812,059.22	\$0.00	\$812,059.22
OSM	0	1.18	1.18	\$123,979.86	\$0.00	\$123,979.86
SOL	1	9.59	10.59	\$592,873.80	\$122,572.77	\$715,446.57
USGS	0	1.45	1.45	\$113,737.80	\$0.00	\$113,737.80
APPEALS	4	0	4	\$500,000	\$2,500	\$502,500
AGENCY OVERALL	38	87.66	125.66	\$9,960,195.05	\$277,005.77	\$10,237,200.82

# X. FEES COLLECTED FOR PROCESSING REQUESTS

Bureau/Office	Total Amount of Fees Collected	Percentage of Total Costs
BIA	\$34,994.57	4.13
BLM	\$17,142.59	0.61
BOR	\$3,396	0.63
FWS	\$13,125.32	1.06
MMS	\$21,054.71	3.17
NPS	\$10,604.42	0.97
OIG	0	0
OS	\$26,365.63	3.25
OSM	\$2,532.19	2.19
SOL	\$2,692.93	0.38
USGS	\$5,558.45	4.89
AGENCY OVERALL	\$137,466.81	1.34

## XI. FOIA REGULATIONS

A copy of DOI's FOIA regulations, including the fee schedule (43 CFR Part 2, Subparts A thru E) may be found at the following Internet address: <a href="http://www.doi.gov/foia/FOIARegulations.pdf">http://www.doi.gov/foia/FOIARegulations.pdf</a>. A hard copy of the regulations may be obtained by contacting the Departmental FOIA Officer (see Section I., above).

## XII. BACKLOGS, CONSULTATIONS, AND COMPARISONS

A. Backlogs of FOIA Requests and Administrative Appeals

	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
BIA	121	
BLM	46	
BOR	9	
FWS	84	
MMS	9	
NPS	30	
OIG	252	
OS	46	
OSM	3	
SOL	70	
USGS	0	
AGENCY OVERALL	666	537

# B. Consultations on FOIA Requests – Received, Processed, and Pending Consultations

	Number of Consultations Received from Other Agencies that Were Pending at Your Agency as of Start of the Fiscal Year	Number of Consultations Received from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that Were <u>Processed</u> by Your Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that Were <u>Pending</u> at Your Agency as of <u>End</u> of the Fiscal Year
BIA	0	0	0	0
BLM	0	0	0	0
BOR	0	3	3	0
FWS	0	0	0	0
MMS	0	0	0	0
NPS	0	0	0	0
OIG	0	0	0	0
OS	0	8	8	0
OSM	0	0	0	0
SOL	0	0	0	0
USGS	0	1	1	0
AGENCY OVERALL	0	12	12	0

# C. Consultations on FOIA Requests – Ten Oldest Consultations Received from Other Agencies and Pending at Your Agency

	10 <sup>th</sup> Oldest Consultation and Number of Days Pending	9 <sup>th</sup>	8 <sup>th</sup>	$7^{ m th}$	6 <sup>th</sup>	5 <sup>th</sup>	4 <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Oldest Consultation and Number of Days Pending
BIA	0	0	0	0	0	0	0	0	0	0
BLM	0	0	0	0	0	0	0	0	0	0
BOR	0	0	0	0	0	0	0	0	0	0
FWS	0	0	0	0	0	0	0	0	0	0
MMS	0	0	0	0	0	0	0	0	0	0
NPS	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0
os	0	0	0	0	0	0	0	0	0	0
OSM	0	0	0	0	0	0	0	0	0	0
SOL	0	0	0	0	0	0	0	0	0	0
USGS	0	0	0	0	0	0	0	0	0	0

Agency Overall	10 <sup>th</sup> Oldest Consultation and Number of Days Pending	9 <sup>th</sup>	8 <sup>th</sup>	7 <sup>th</sup>	6 <sup>th</sup>	5 <sup>th</sup>	4 <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Oldest Consultation and Number of Days Pending
	0	0	0	0	0	0	0	0	0	0

D. Comparison of Numbers of Requests from Previous and Current Annual Report – Requests Received, Processed, and Backlogged

Bureau/Office					
	NUMBER OF REQ	UESTS <u>RECEIVED</u>	NUMBER OF REQUESTS PROCESSED		
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report	
BIA	1230	934	1867	923	
BLM	814	990	827	996	
BOR	137	200	136	187	
FWS	716	802	704	790	
MMS	248	180	232	183	
NPS	757	869	767	850	
OIG	86	81	49	73	
OS	632	477	631	476	
OSM	61	54	63	56	
SOL	77	80	34	66	
USGS	133	143	127	150	
AGENCY OVERALL	4891	4810	5437	4750	

3. Starting with the Annual Report for Fiscal Year 2009, provide the number of backlogged requests as of the end of the fiscal year from the previous Annual Report and the number of backlogged requests as of the end of the fiscal year from the current Annual Report.

	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
BIA	Not Applicable	121
BLM	Not Applicable	46
BOR	Not Applicable	9
FWS	Not Applicable	84
MMS	Not Applicable	9
NPS	Not Applicable	30
OIG	Not Applicable	252
OS	Not Applicable	46
OSM	Not Applicable	3
SOL	Not Applicable	66
USGS	Not Applicable	0
AGENCY OVERALL	Not Applicable	666

E. Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report – Appeals Received, Processed, and Backlogged

	NUMBER OF AP	PEALS <u>RECEIVED</u>	NUMBER OF APPEALS <u>PROCESSED</u>		
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report	
AGENCY OVERALI	, 192	214	284	300	

3. Starting with the Annual Report for Fiscal Year 2009, provide the number of backlogged administrative appeals as of the end of the fiscal year from the previous Annual Report and the number of backlogged administrative appeals as of the end of the fiscal year from the current Annual Report.

	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
AGENCY OVERALL	Not Applicable	537

F. Discussion of Other FOIA Activities (Optional)