

DOI FOIA ANNUAL REPORT

FOR
10/01/2020
THROUGH
09/30/2021

The following **Annual Freedom of Information Act** report covers the Period 10/01/2020, through 09/30/2021, as required by 5 U.S.C. 552.

I. Basic Information Regarding Report

1. Questions about this Report should be directed to:

Natasha Jones

Chief, Coordination and Oversight Team, Departmental FOIA Office

Office of the Solicitor

Department of the Interior (DOI)

1849 C. ST., NW

Washington, D.C. 20240

202-853-0297 (p)

2. The electronic address for this Report on DOI's FOIA website is: <https://www.doi.gov/foia/DOI-FOIA-Annual-Reports>

3. A copy of this Report in paper form may be obtained by contacting the Departmental FOIA Office (see above).

II. Making a FOIA Request

1. FOIA requests may be made by using one of the written forms of submission listed on the Department's FOIA website, <https://www.doi.gov/foia>, or by utilizing the physical or facsimile addresses of an appropriate FOIA contact, located at <https://www.doi.gov/foia/contact>. A list of DOI's bureaus/offices is located in the Acronyms, Definitions, and Exemptions section below.

2. DOI conserves and manages the Nation's natural resources and cultural heritage for the benefit and enjoyment of the American people, provides scientific and other information about natural resources and natural hazards to address societal challenges and create opportunities for the American people, and honors the Nation's trust responsibilities or special commitments to American Indians, Alaska Natives, and affiliated island communities to help them prosper. When DOI withholds records requested under the FOIA, it most frequently does so under Exemption 5 (which safeguards inter-agency or intra-agency records which would normally be privileged in the civil discovery context), Exemption 6 (which safeguards personal privacy interests), and Exemption 7(C) (which safeguards personal information in law enforcement records). However, DOI's diverse mission and records ensures a wide variety of FOIA exemptions may sometimes be appropriately used.

3. The electronic address for DOI's FOIA regulations is: <https://www.ecfr.gov/current/title-43/subtitle-A/part-2#part-2>. The electronic address for DOI's fee schedule is: <https://www.doi.gov/foia/fees-waivers>.

III. Agency Component Abbreviations

1. *Definitions of terms used in this Report:*

a. **Administrative Appeal**—a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.

b. **Average Number**—the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.

c. **Backlog**—the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.

d. **Component**—for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.

e. **Consultation**—the procedure whereby the agency responding to a FOIA request first forwards a record to another agency or component within the same agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency or component within the same agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.

f. **Exemption 3 Statute**—a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.

g. **FOIA Request**—a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report. Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

h. **Full Grant**—an agency decision to disclose all records in full in response to a FOIA request.

i. **Full Denial**—an agency decision not to release any records in response to a FOIA request because the records are exempt in their entirety under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.

j. **Median Number**—the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.

k. **Multi-Track Processing**—a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.

i. **Expedited Processing**—an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.

ii. **Simple Request**—a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.

iii. **Complex Request**—a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.

i. **Partial Grant/Partial Denial**—in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.

m. **Pending Request or Pending Administrative Appeal**—a request or administrative appeal for which an agency has not taken final action in all respects.

n. **Perfected Request**—a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.

o. **Processed Request or Processed Administrative Appeal**—a request or administrative appeal for which an agency has taken final action in all respects.

p. **Range in Number of Days**—the lowest and highest number of days to process requests or administrative appeals.

q. **Time Limits**—the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).

2. *Descriptions of the nine FOIA exemptions:*

a. **Exemption 1:** classified national defense and foreign relations information

b. **Exemption 2:** information that is related solely to the internal personnel rules and practices of an agency

c. **Exemption 3:** information that is prohibited from disclosure by another federal law

d. **Exemption 4:** trade secrets and other confidential business information

e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges

f. **Exemption 6:** information involving matters of personal privacy

g. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual

h. **Exemption 8:** information relating to the supervision of financial institutions

i. **Exemption 9:** geological information on wells

3. *Agency Component Abbreviations:*

Component Abbreviation	Component Name
ASIA	Assistant Secretary – Indian Affairs
BIA	Bureau of Indian Affairs
BIE	Bureau of Indian Education
BLM	Bureau of Land Management
BOEM	Bureau of Ocean Energy Management
BOR	Bureau of Reclamation
BSEE	Bureau of Safety and Environmental Enforcement
DFO	Departmental FOIA Office
FPAO	FOIA & Privacy Act Appeals Office

FWS	U.S. Fish and Wildlife Service
NPS	National Park Service
OIG	Office of the Inspector General
OS	Office of the Secretary
OSMRE	Office of Surface Mining Reclamation and Enforcement
OST	Office of the Special Trustee
SOL	Office of the Solicitor
USGS	U.S. Geological Survey

IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
25 U.S.C. § 2103(c)	Information related to decisions to grant/disapprove minerals agreements for Indian tribes.	N/A	BIA	1	4
			BLM	3	

16 U.S.C. § 470hh	Information pertaining to the nature and location of certain archaeological resources	Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004); Starkey v. U.S. Dep't of the Interior, 238 F. Supp. 2d 1188, 1193-94 (S.D. Cal. 2002).	BLM	27	36
			BOR	2	
			FWS	2	
			NPS	5	
41 U.S.C. § 4702 (formerly at 41 U.S.C. § 253b(m)(1))	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No.09-CV-00421, 2011 WL1303221, at *6 (D. Nev. Mar.31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-	BLM	5	19
			BOR	2	
			FWS	2	
			NPS	10	

		5257, 2004 WL1900562 (D.C. Cir. Aug. 25, 2004).			
16 U.S.C. § 470aaa-8	Information concerning the nature and specific location of a paleontological resource.	N/A	BLM	3	3
Fed. R. Crim. P. 6(e), enacted by Act of July 30, 1977, Pub. L. No. 95-78, § 2, 91 Stat. 319	Certain records that would reveal some secret aspect pertaining to grand jury proceedings.	Sussman v. USMS, 494 F.3d 1106, 1113 (D.C. Cir. 2007); Widi v. McNeil, No. 12-CV-00188, 2016 WL 4394724, at *23 (D. Me. Aug 16, 2016); Durham v. U.S. Atty. Gen., No. 06-843, 2008 WL 620744, at *2 (E.D. Tex. Mar. 3, 2008); Cozen O'Connor v. U.S. Dep't of Treasury, 570 F. Supp. 2d 749, 776 (E.D. Pa. 2008).	FWS	1	1
26 U.S.C. §§ 6103, 6105 (Internal	Certain tax return information, to include Taxpayer	Church of Scientology v. IRS, 484 U.S. 9, 15 (1987)(26 U.S.C. §	BLM	2	2

Revenue Code)	Identification Numbers of third parties, and certain convention information	6103); Leonard v. U.S. Dep't of Treasury, 590 F. App'x. 141, 143-44 (3d Cir.2014) (per curiam); Pac. Fisheries, Inc. v. IRS, 395 F. App'x. 438, 440 (9th Cir. 2010)(unpublished disposition) (26U.S.C. §§ 6103, 6105); Tax Analysts v. IRS, 217 F. Supp.2d 23, 27-29 (D.D.C. 2002)(26 U.S.C. § 6105).			
16 U.S.C. § 4304(a)	Specific location of significant caves	N/A	BLM	2	3
			NPS	1	
5 U.S.C. § 574(j)	Dispute resolution communications	N/A	BLM	1	1
54 U.S.C. § 100707 (formerly at 16 U.S.C. § 5937) (National Parks Omnibus	Information pertaining to: "endangered, threatened, rare, or commercially valuable" National Park System resources; "mineral or	Hornbostel v. U.S. Dep't of the Interior, No. 03-5257, 2004 WL 1900562 D.C. Cir. Aug. 25, 2004); Sw. Ctr. for Biological Diversity v. USDA, 314 F.3d 1060,	BLM	1	23
			FWS	2	
			NPS	20	

Management Act)	paleontological objects within units of the National Park System"; or "objects of cultural patrimony within units of the National Park System"	1062 (9th Cir. 2002); Pease v. U.S. Dep't of Interior, No. 1:99CV113, slip op. at 2, 4 (D. Vt. Sept. 17, 1999).			
10 U.S.C. § 2305(g)	Certain contractor proposals	N/A	FWS	1	1
41 U.S.C. § 2102 (amending 41 U.S.C. 423(a)(1)) (Procurement Integrity Act)	Contractor bid or proposal information; source selection information	Legal & Safety Employer Research, Inc. v. U.S. Dep't of the Army, No. Civ. S001748, 2001 WL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum).	BLM	3	4
			NPS	1	
54 U.S.C. § 307103 (formerly at 16 U.S.C. § 470w-3)	Information about the location, character, or ownership of a historic property	N/A	BLM	2	7
			BOR	1	
			FWS	1	
			NPS	3	

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
ASIA	89	199	251	37
BIA	168	819	685	302
BIE	47	67	76	38
BLM	1078	1075	791	1362
BOEM	28	105	114	19
BOR	56	213	231	38
BSEE	46	122	116	52
FWS	492	818	855	455
NPS	853	1679	1228	1304
OIG	24	252	259	17
OS	1594	506	756	1344
OSMRE	63	100	131	32
OST	3	39	17	25
SOL	201	144	131	214
USGS	22	164	133	53
AGENCY OVERALL	4764	6302	5774	5292

OST was tracked as part of OS in previous years. After reviewing its database, DOI adjusted the number of requests pending at the start of the fiscal year for ASIA, BIA, BIE, BLM, BOR, BSEE, FWS, NPS, OIG, OS, OSMRE, SOL and USGS.

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
ASIA	54	52	0	86	0	6	11	1	3	0	10	28	251
BIA	112	135	33	164	2	46	47	11	33	2	71	29	685
BIE	14	10	0	28	0	1	4	14	1	0	3	1	76
BLM	198	253	8	194	6	40	25	11	6	2	24	24	791
BOEM	22	37	0	38	0	4	4	3	1	1	1	3	114
BOR	88	40	1	33	0	11	16	2	6	1	22	11	231
BSEE	30	35	0	30	0	5	3	1	6	0	1	5	116
FWS	252	320	28	105	1	67	18	1	10	3	23	27	855
NPS	326	349	90	151	1	54	12	47	28	3	70	97	1228
OIG	9	66	34	118	12	5	4	4	0	1	0	6	259
OS	118	169	0	57	6	185	9	13	6	3	13	177	756
OSMRE	48	10	0	41	0	10	0	2	1	0	7	12	131
OST	5	0	0	6	0	2	0	0	1	0	1	2	17
SOL	14	20	0	42	1	11	4	6	7	14	2	10	131
USGS	45	25	1	33	2	9	1	0	0	2	1	14	133

AGENCY OVERALL	1335	1521	195	1126	31	456	158	116	109	32	249	446	5774
----------------	------	------	-----	------	----	-----	-----	-----	-----	----	-----	-----	------

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
ASIA	Aggregate cases	6	28
	Directed Requester to Another Entity Subject to the FOIA	1	
	Directed Requester to Publicly Available Information	18	
	Unable to Locate or Contact Requester	3	
BIA	Aggregate cases	13	29
	Directed Requester to Publicly Available Information	2	
	Request Opened in Error	8	
	Unable to Locate or Contact Requester	6	
BIE	Unable to Locate or Contact Requester	1	1

BLM	Aggregate cases	3	24
	Directed Requester to Another Entity Subject to the FOIA	2	
	Directed Requester to Publicly Available Information	14	
	Litigation	1	
	Request Opened in Error	1	
	Unable to Locate or Contact Requester	3	
BOEM	Directed Requester to Publicly Available Information	3	3
BOR	Directed Requester to Another Entity Subject to the FOIA	5	11
	Directed Requester to Publicly Available Information	4	
	Request Opened in Error	1	
	Unable to Locate or Contact Requester	1	
BSEE	Aggregate cases	3	5
	Directed Requester to Another Entity Subject to the FOIA	1	
	Request Opened in Error	1	
FWS	Aggregate cases	3	27
	Directed Requester to Another Entity Subject to the FOIA	4	

	Directed Requester to Publicly Available Information	6	
	Litigation	4	
	Unable to Locate or Contact Requester	10	
NPS	Aggregate cases	10	97
	Directed Requester to Another Entity Subject to the FOIA	2	
	Directed Requester to Publicly Available Information	47	
	Litigation	14	
	Unable to Locate or Contact Requester	24	
OIG	Directed Requester to Another Entity Subject to the FOIA	5	6
	Directed Requester to Publicly Available Information	1	
OS	Aggregate cases	28	177
	Directed Requester to Another Entity Subject to the FOIA	42	
	Directed Requester to Publicly Available Information	13	
	Litigation	93	
	Unable to Locate or Contact Requester	1	
OSMRE	Directed Requester to Publicly Available Information	9	12

	Litigation	1	
	Unable to Locate or Contact Requester	2	
OST	Request Opened in Error	2	2
SOL	Directed Requester to Another Entity Subject to the FOIA	1	10
	Litigation	6	
	Unable to Locate or Contact Requester	3	
USGS	Aggregate cases	2	14
	Directed Requester to Another Entity Subject to the FOIA	1	
	Directed Requester to Publicly Available Information	10	
	Litigation	1	
AGENCY OVERALL			446

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
ASIA	0	0	0	3	17	43	0	0	11	0	2	2	0	3

BIA	0	0	1	8	6	88	23	0	51	0	5	0	0	0
BIE	0	0	0	4	4	10	0	0	4	0	0	0	0	0
BLM	0	5	37	51	90	136	18	0	24	3	6	4	0	1
BOEM	0	0	0	3	27	24	0	0	0	0	0	0	0	0
BOR	0	0	4	7	26	19	0	0	1	0	0	3	0	0
BSEE	0	0	0	1	5	13	4	0	0	0	0	0	0	0
FWS	0	0	7	15	134	243	18	0	99	11	31	0	0	0
NPS	0	4	35	32	69	361	47	3	236	0	30	22	0	0
OIG	0	1	0	4	10	8	18	0	83	14	11	0	0	0
OS	0	1	0	1	96	163	0	0	37	0	5	0	0	0
OSMRE	0	0	0	0	1	4	0	0	0	0	0	0	0	0
OST	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SOL	0	0	0	0	9	14	0	0	2	0	0	0	0	0
USGS	0	0	0	5	11	13	0	0	2	1	0	0	0	0
AGENCY OVERALL	0	11	84	134	505	1139	128	3	550	29	90	31	0	4

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
FPAO	412	258	306	364
OIG	0	12	12	0
AGENCY OVERALL	412	270	318	364

After reviewing its database, DOI adjusted the number of requests pending for FPAO at the start of the fiscal year.

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
FPAO	53	6	53	194	306
OIG	4	1	2	5	12
AGENCY OVERALL	57	7	55	199	318

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
FPAO	0	0	4	7	21	15	9	0	10	0	2	2	0	0
OIG	0	0	0	0	1	0	0	0	2	0	0	0	0	0
AGENCY OVERALL	0	0	4	7	22	15	9	0	12	0	2	2	0	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
FPAO	26	0	9	3	0	7	3	0	8	8	146
OIG	0	0	4	0	0	1	0	0	0	0	0
AGENCY OVERALL	26	0	13	3	0	8	3	0	8	8	146

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FPAO	Moot	24	146
	Appeal Withdrawn	14	
	Improper Appeal	108	
OIG	N/A	0	0
AGENCY OVERALL			146

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FPAO	52.0	352.0	<1	1626.0
OIG	8.5	9.0	1.0	19.0

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
ASIA	18.0	56.73	<1	543.0	46.0	206.73	<1	1319.0	8.0	10.33	6.0	17.0
BIA	18.0	43.12	<1	774.0	25.5	60.05	<1	556.0	15.0	14.23	<1	43.0
BIE	115.0	257.3	<1	1162.0	112.5	348.25	6.0	1162.0	N/A	N/A	N/A	N/A
BLM	21.0	101.91	<1	1538.0	323.0	336.06	<1	1539.0	21.0	95.44	<1	885.0
BOEM	5.0	6.79	<1	39.0	369.0	274.57	<1	618.0	N/A	N/A	N/A	N/A
BOR	13.0	19.89	<1	224.0	105.0	150.4	<1	549.0	N/A	N/A	N/A	N/A
BSEE	4.0	8.68	<1	31.0	92.0	132.74	1.0	716.0	2.0	2.0	2.0	2.0
FWS	21.0	101.09	<1	1132.0	73.5	180.45	<1	1600.0	67.5	84.5	7.0	196.0
NPS	21.0	77.65	<1	815.0	86.5	199.0	<1	1408.0	5.0	44.85	<1	460.0
OIG	14.5	24.3	<1	532.0	111.0	111.0	111.0	111.0	N/A	N/A	N/A	N/A
OS	131.0	210.31	<1	987.0	706.5	623.97	5.0	1077.0	681.0	583.25	40.0	987.0
OSMRE	7.0	20.84	<1	436.0	53.0	234.47	<1	1467.0	<1	3.33	<1	10.0
OST	19.0	39.45	<1	154.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SOL	42.0	224.09	<1	979.0	182.5	241.77	73.0	816.0	N/A	N/A	N/A	N/A

USGS	6.0	15.84	<1	166.0	20.0	45.91	<1	567.0	40.5	40.5	3.0	78.0
AGENCY OVERALL	21.0	92.16	<1	1538	118.5	260.43	<1	1600	15.0	113.29	<1	987

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
ASIA	48.0	82.18	<1	356.0	144.0	292.1	2.0	1319.0	7.0	7.0	6.0	8.0
BIA	23.0	45.64	<1	451.0	38.0	73.89	<1	556.0	10.0	14.0	<1	43.0
BIE	315.5	381.32	<1	1162.0	1162.0	1162.0	1162.0	1162.0	N/A	N/A	N/A	N/A
BLM	22.0	74.07	<1	982.0	100.0	279.03	<1	1515.0	37.0	93.52	2.0	485.0
BOEM	7.0	10.56	<1	39.0	421.0	305.64	<1	618.0	N/A	N/A	N/A	N/A
BOR	15.0	24.22	<1	224.0	185.0	185.6	20.0	549.0	N/A	N/A	N/A	N/A
BSEE	6.5	9.17	<1	28.0	98.0	142.66	1.0	716.0	N/A	N/A	N/A	N/A
FWS	27.0	97.34	<1	917.0	91.0	190.09	<1	1600.0	67.5	84.5	7.0	196.0
NPS	29.0	83.72	<1	785.0	75.0	181.74	<1	1408.0	6.0	48.33	<1	460.0
OIG	30.0	40.74	2.0	220.0	111.0	111.0	111.0	111.0	N/A	N/A	N/A	N/A
OS	157.5	221.14	1.0	939.0	291.0	393.63	9.0	970.0	238.0	238.0	238.0	238.0

OSMRE	17.0	34.76	<1	436.0	82.0	233.42	3.0	951.0	N/A	N/A	N/A	N/A
OST	39.0	57.4	4.0	154.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SOL	469.0	430.92	20.0	979.0	226.0	272.11	148.0	424.0	N/A	N/A	N/A	N/A
USGS	8.0	20.4	<1	166.0	33.0	70.4	<1	567.0	40.5	40.5	3.0	78.0
AGENCY OVERALL	30.0	99.11	<1	1162	91.0	209.35	<1	1600	15.0	67.61	<1	485

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1- 20 Days	21- 40 Days	41- 60 Days	61- 80 Days	81- 100 Days	101- 120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
ASIA	81	20	10	6	8	8	2	3	6	5	7	2	1	159
BIA	194	69	31	14	7	5	10	6	3	1	4	4	5	353
BIE	4	8	2	0	4	7	1	1	0	1	3	4	12	47
BLM	146	37	19	21	9	8	5	3	5	2	8	10	22	295
BOEM	71	5	0	0	0	0	0	0	0	0	0	0	0	76
BOR	107	14	5	0	1	0	0	1	1	1	1	0	0	131
BSEE	56	9	0	0	0	0	0	0	0	0	0	0	0	65
FWS	160	55	18	6	12	4	5	5	4	4	13	11	25	322
NPS	435	121	78	27	27	23	19	13	13	20	28	26	46	876

OIG	158	61	17	10	2	2	0	1	0	0	2	0	1	254
OS	86	56	27	26	13	22	28	18	8	17	34	48	106	489
OSMRE	50	9	0	0	1	0	0	0	0	1	0	0	1	62
OST	6	1	0	3	0	0	0	1	0	0	0	0	0	11
SOL	33	4	4	2	2	2	0	0	2	1	1	2	22	75
USGS	20	4	0	0	0	0	0	0	1	0	0	0	0	25
AGENCY OVERALL	1607	473	211	115	86	81	70	52	43	53	101	107	241	3240

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
ASIA	28	6	6	2	2	0	1	4	0	0	5	9	12	75
BIA	44	13	9	11	7	2	4	1	0	1	2	2	2	98
BIE	1	0	0	0	1	0	1	0	0	0	0	0	1	4
BLM	41	23	31	12	5	4	3	3	0	1	18	35	115	291
BOEM	7	0	2	1	2	0	1	0	0	0	0	2	13	28
BOR	4	8	2	7	3	1	1	2	1	1	12	4	2	48
BSEE	6	6	3	2	3	0	1	1	3	4	7	1	1	38

BOR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
BSEE	1	0	0	0	0	0	0	0	0	0	0	0	0	1
FWS	2	0	0	0	0	0	1	0	0	1	0	0	0	4
NPS	10	2	0	0	0	0	0	0	0	0	0	0	1	13
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OS	0	1	0	0	1	0	0	0	0	0	1	0	5	8
OSMRE	3	0	0	0	0	0	0	0	0	0	0	0	0	3
OST	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SOL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
USGS	1	0	0	1	0	0	0	0	0	0	0	0	0	2
AGENCY OVERALL	44	10	2	3	3	1	1	3	0	1	2	1	8	79

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
ASIA	1	128.0	128.0	31	100.0	276.13	0	N/A	N/A
BIA	77	222.0	261.04	42	235.5	333.67	0	N/A	N/A

BIE	32	231.5	251.13	4	127.0	116.5	0	N/A	N/A
BLM	634	166.0	253.85	696	535.5	575.86	12	615.5	524.92
BOEM	2	12.0	12.0	15	302.0	301.2	0	N/A	N/A
BOR	8	23.0	31.5	22	39.0	119.77	0	N/A	N/A
BSEE	18	315.5	281.28	31	90.0	194.77	1	332.0	332.0
FWS	65	138.0	268.14	321	196.0	275.38	2	398.0	398.0
NPS	814	182.0	273.02	356	280.5	373.6	4	750.5	744.25
OIG	13	23.0	23.23	3	491.0	551.33	0	N/A	N/A
OS	678	553.0	477.12	648	890.0	809.08	14	965.5	898.71
OSMRE	2	7.0	7.0	26	886.5	746.81	0	N/A	N/A
OST	13	99.0	109.46	0	N/A	N/A	0	N/A	N/A
SOL	114	538.5	520.94	92	351.5	394.88	1	903.0	903.0
USGS	2	3.5	3.5	47	106.0	156.34	1	243.0	243.0
AGENCY OVERALL	2473	231.0	331.11	2334	509.0	534.47	35	752.0	689.49

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component	10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
-----------------------	---------------------------	-----	-----	-----	-----	-----	-----	-----	-----	-------------------

ASIA	Date of Receipt	2019-12-09	2019-07-02	2019-06-04	2019-05-22	2019-04-04	2019-03-04	2018-12-20	2018-12-03	2018-01-02	2016-02-29
	Number of Days Pending	452	561	572	576	610	645	693	704	933	1350
BIA	Date of Receipt	2019-04-28	2019-02-03	2018-10-22	2018-09-24	2018-09-21	2017-12-21	2017-05-04	2017-03-30	2016-10-18	2016-07-11
	Number of Days Pending	602	666	733	747	753	942	1101	1108	1237	1306
BIE	Date of Receipt	2020-05-18	2020-04-30	2020-04-24	2020-04-08	2020-01-14	2020-01-13	2019-08-21	2019-05-31	2018-09-25	2018-07-31
	Number of Days Pending	342	354	358	370	429	430	520	575	747	788
BLM	Date of Receipt	2016-03-24	2016-03-10	2016-03-01	2016-01-26	2016-01-22	2016-01-15	2016-01-14	2016-01-13	2016-01-12	2015-12-18
	Number of Days Pending	1332	1342	1349	1417	1419	1424	1425	1426	1427	1442
BOEM	Date of Receipt	2020-10-13	2020-10-13	2020-01-31	2020-01-15	2019-12-03	2019-11-04	2019-09-25	2019-08-09	2019-08-06	2019-08-02
	Number of Days Pending	230	230	301	302	456	475	501	534	537	539
BOR	Date of Receipt	2021-06-15	2021-06-10	2021-05-24	2021-05-13	2021-02-17	2020-09-30	2020-08-27	2020-06-24	2019-11-22	2018-09-06

	Number of Days Pending	62	72	80	89	152	193	265	301	445	759
BSEE	Date of Receipt	2020-05-14	2020-05-06	2020-04-17	2020-04-14	2019-11-16	2019-10-23	2019-10-11	2019-07-18	2018-01-31	2018-01-19
	Number of Days Pending	344	350	363	364	408	469	470	550	916	924
FWS	Date of Receipt	2017-12-06	2017-09-23	2017-06-20	2017-06-16	2017-06-09	2017-05-25	2017-01-05	2016-09-27	2016-09-27	2016-05-24
	Number of Days Pending	953	999	1069	1071	1076	1086	1173	1251	1251	1338
NPS	Date of Receipt	2017-01-23	2017-01-19	2017-01-12	2017-01-04	2016-12-16	2016-11-04	2016-06-13	2016-05-05	2016-05-02	2016-02-03
	Number of Days Pending	1167	1168	1169	1173	1174	1221	1318	1351	1353	1392
OIG	Date of Receipt	2021-08-19	2021-08-13	2021-08-12	2021-08-12	2021-08-11	2021-07-22	2021-06-09	2019-11-07	2019-10-08	2018-12-18
	Number of Days Pending	23	25	25	25	33	49	76	469	491	694
OS	Date of Receipt	2017-03-06	2017-03-03	2017-02-13	2017-01-31	2017-01-26	2017-01-23	2017-01-23	2016-12-16	2016-12-09	2016-11-08
	Number of Days Pending	1144	1145	1158	1167	1170	1173	1173	1196	1201	1219

OSMRE	Date of Receipt	2017-12-27	2017-12-27	2017-12-18	2017-10-19	2017-09-08	2017-09-07	2017-09-07	2017-06-23	2017-06-23	2017-06-02
	Number of Days Pending	939	939	940	982	1013	1013	1013	1066	1066	1081
OST	Date of Receipt	2021-07-06	2021-06-30	2021-06-04	2021-05-07	2021-04-02	2021-03-08	2021-02-05	2021-01-04	2020-12-02	2020-11-09
	Number of Days Pending	61	61	80	99	124	142	164	184	186	201
SOL	Date of Receipt	2017-05-23	2017-05-10	2017-04-28	2017-04-05	2017-03-03	2017-03-02	2017-01-17	2016-11-21	2016-08-11	2016-07-22
	Number of Days Pending	1088	1097	1105	1117	1145	1146	1177	1214	1283	1297
USGS	Date of Receipt	2020-10-06	2020-10-05	2020-10-05	2020-09-21	2020-05-26	2020-03-12	2020-03-02	2020-02-19	2019-12-18	2016-04-18
	Number of Days Pending	237	238	245	255	337	389	390	404	445	1361
AGENCY OVERALL	Date of Receipt	2016-03-01	2016-02-29	2016-02-03	2016-01-26	2016-01-22	2016-01-15	2016-01-14	2016-01-13	2016-01-12	2015-12-18
	Number of Days Pending	1349	1350	1392	1417	1419	1424	1425	1426	1427	1442

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
ASIA	3	24	6.0	25.3	15
BIA	13	179	31.0	47.35	41
BIE	0	23	50.0	73.09	0
BLM	32	47	9.0	21.51	46
BOEM	0	16	1.0	1.94	15
BOR	0	38	7.5	10.03	29
BSEE	1	15	13.5	58.56	7
FWS	3	74	7.0	19.79	45
NPS	14	226	7.0	33.13	138
OIG	0	3	3.0	2.33	3
OS	2	76	14.0	101.01	35
OSMRE	3	1	14.5	12.75	1
OST	0	13	18.0	70.38	5
SOL	0	36	2.0	2.56	35
USGS	3	11	2.5	7.0	12

AGENCY OVERALL	74	782	11.0	38.57	427
----------------	----	-----	------	-------	-----

VIII.B. Requests for Fee Waiver

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
ASIA	12	13	0.0	13.92
BIA	18	173	0.0	5.3
BIE	0	34	4.5	17.82
BLM	186	43	0.0	13.28
BOEM	23	4	0.0	1.0
BOR	13	37	2.0	6.32
BSEE	7	4	0.0	71.82
FWS	330	42	0.0	11.07
NPS	111	131	0.0	8.38
OIG	0	1	21.0	21.0
OS	56	29	3.0	5.28
OSMRE	26	3	0.0	12.76
OST	1	11	1.0	14.67

SOL	29	43	0.0	0.54
USGS	58	0	0.0	0.34
AGENCY OVERALL	870	568	0.0	9.29

IX. FOIA Personnel and Costs

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full- Time FOIA Staff"	Processing Costs	Litigation- Related Costs	Total Costs
ASIA	3	0.00	3.00	454567.81	0.00	454567.81
BIA	1	12.53	13.53	926072.39	0.00	926072.39
BIE	3	0.75	3.75	449000.00	0.00	449000.00
BLM	20	2.50	22.50	2580250.00	58000.00	2638250.00
BOEM	3	1.00	4.00	698150.83	0.00	698150.83
BOR	2	4.75	6.75	736879.92	5768.00	742647.92
BSEE	2	3.80	5.80	630824.98	9000.00	639824.98

FPAO	1	0.92	1.92	500000.00	17750.00	517750.00
FWS	12	4.00	16.00	1400254.70	294409.40	1694664.10
NPS	5	13.00	18.00	901532.00	106600.00	1008132.00
OIG	4	0.00	4.00	599346.00	119869.00	719215.00
OS	8	10.63	18.63	1649911.44	974151.00	2624062.44
OSMRE	2	2.50	4.50	271773.00	0.00	271773.00
OST	1	1.00	2.00	260320.00	0.00	260320.00
SOL	6	12.40	18.40	2755910.00	2085233.00	4841143.00
USGS	5	0.60	5.60	570757.24	22888.80	593646.04
DFO	3	1.20	4.20	1305504.46	0.00	1305504.46
AGENCY OVERALL	81	71.58	152.58	16691054.77	3693669.20	20384723.97

DFO costs cover centralized support to the Department's FOIA processing offices, including the Department's FOIA tracking and case management systems, policy and oversight, and targeted processing assistance. Data pertaining to the requests processed by the DFO are contained in the reporting of the component receiving the assistance.

X. Fees Collected for Processing Requests

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
-----------------------	--------------------------------------	------------------------------

ASIA	0.00	0.0000
BIA	710.25	0.0800
BIE	0.00	0.0000
BLM	144.00	0.0100
BOEM	0.00	0.0000
BOR	4331.00	0.5900
BSEE	0.00	0.0000
FWS	0.00	0.0000
NPS	0.00	0.0000
OIG	0.00	0.0000
OS	0.00	0.0000
OSMRE	0.00	0.0000
OST	0.00	0.0000
SOL	0.00	0.0000
USGS	0.00	0.0000
AGENCY OVERALL	5185.25	0.0300

XI.A. Number of Times Subsection (C) Used

Agency / Component	Number of Times Subsection Used
ASIA	0
BIA	0
BIE	0
BLM	0
BOEM	0
BOR	0
BSEE	0
FWS	0
NPS	0
OIG	0
OS	0
OSMRE	0
OST	0
SOL	0
USGS	0
AGENCY OVERALL	0

XI.B. Number of Subsection (A)(2) Postings

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
ASIA	0	0
BIA	1	0
BIE	0	0
BLM	0	0
BOEM	1	4982
BOR	3545	38
BSEE	1	374
FWS	92	5
NPS	303	177
OIG	1	113
OS	27	275
OSMRE	0	0
OST	0	0
SOL	0	0
USGS	0	25

AGENCY OVERALL	3971	5989
----------------	------	------

XII.A. Backlogs of FOIA Requests and Administrative Appeals

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
ASIA	23	N/A
BIA	100	N/A
BIE	34	N/A
BLM	1234	N/A
BOEM	14	N/A
BOR	20	N/A
BSEE	47	N/A
FPAO	0	355
FWS	346	N/A
NPS	1103	N/A
OIG	11	0

OS	1279	N/A
OSMRE	25	N/A
OST	12	N/A
SOL	200	N/A
USGS	36	N/A
AGENCY OVERALL	4484	355

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
ASIA	5	1	6	0
BIA	0	0	0	0
BIE	0	0	0	0
BLM	0	2	2	0

	Number of Days	0	0	0	0	0	0	0	0	0	0
OIG	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OS	Date	N/A	N/A	N/A	N/A	N/A	N/A	2021-09-29	2021-09-22	2021-09-13	2021-09-01
	Number of Days	0	0	0	0	0	0	1	6	13	20
OSMRE	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OST	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
SOL	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
USGS	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2021-09-27
	Number of Days	0	0	0	0	0	0	0	0	0	3
AGENCY OVERALL	Date	2021-09-27	2021-09-24	2021-09-22	2021-09-22	2021-09-20	2021-09-13	2021-09-01	2021-08-04	2021-02-18	2020-06-09
	Number of Days	3	4	6	6	8	13	20	40	156	327

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
ASIA	83	199	23	251
BIA	791	819	937	685
BIE	42	67	9	76
BLM	821	1075	587	791
BOEM	112	105	124	114
BOR	234	213	219	231
BSEE	179	122	164	116
FWS	949	818	1001	855
NPS	1213	1679	1079	1228
OIG	225	252	234	259

OS	772	506	947	756
OSMRE	160	100	175	131
OST	0	39	0	17
SOL	200	144	171	131
USGS	196	164	236	133
AGENCY OVERALL	5977	6302	5906	5774

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
ASIA	75	23
BIA	84	100
BIE	43	34
BLM	998	1234
BOEM	25	14

BOR	20	20
BSEE	38	47
FWS	397	346
NPS	768	1103
OIG	13	11
OS	1541	1279
OSMRE	66	25
OST	0	12
SOL	186	200
USGS	13	36
AGENCY OVERALL	4267	4484

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last	Number Processed During Fiscal Year from

			Year's Annual Report	Current Annual Report
FPAO	168	258	189	306
OIG	7	12	7	12
AGENCY OVERALL	175	270	196	318

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
FPAO	404	355
OIG	0	0
AGENCY OVERALL	404	355