

**DEPARTMENT OF THE INTERIOR**  
**Freedom of Information Act 2020 Annual Report**  
**(October 1, 2019 – September 30, 2020)**

**I. Basic Information Regarding Report**

1. Questions about this Report should be directed to:

Cindy Cafaro  
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Office of the Solicitor  
Department of the Interior (DOI)  
1849 C. ST., NW  
Washington, D.C. 20240  
202-208-5342 (p)

2. The electronic address for this Report on DOI's FOIA website is: <https://www.doi.gov/foia/DOI-FOIA-Annual-Reports>

3. A copy of this Report in paper form may be obtained by contacting the Departmental FOIA Office (see above).

**II. Making a FOIA Request**

1. FOIA requests may be made by using one of the written forms of submission listed on the Department's FOIA website, <https://www.doi.gov/foia>, or by utilizing the physical or facsimile addresses of an appropriate FOIA contact, located at <https://www.doi.gov/foia/contacts>. A list of DOI's bureaus/offices is located in the Acronyms, Definitions, and Exemptions section below.

2. DOI conserves and manages the Nation's natural resources and cultural heritage for the benefit and enjoyment of the American people, provides scientific and other information about natural resources and natural hazards to address societal challenges and create opportunities for the American people, and honors the Nation's trust responsibilities or special commitments to American Indians, Alaska Natives, and affiliated island communities to help them prosper. When DOI withholds records requested under the FOIA, it most frequently does so under Exemption 5 (which safeguards inter-agency or intra-agency records which would normally be privileged in the civil discovery context), Exemption 6 (which safeguards personal privacy interests), and Exemption 7(C) (which safeguards personal information in law enforcement records). However, DOI's diverse mission and records ensures a wide variety of FOIA exemptions may sometimes be appropriately used.

3. The electronic address for DOI's FOIA regulations is: <https://www.ecfr.gov/cgi-bin/text-idx?c=ecfr&sid=0fc3ab3499768eebc2e3691c8cf88dec&rgn=div5&view=text&node=43:1.1.1.1.2&idno=43>. The electronic address for DOI's fee schedule is: <https://www.doi.gov/foia/fees-waivers>.

### III. Acronyms, Definitions, And Exemptions

#### 1. *Definitions of terms used in this Report:*

- a. **Administrative Appeal**—a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
- b. **Average Number**—the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
- c. **Backlog**—the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
- d. **Component**—for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- e. **Consultation**—the procedure whereby the agency responding to a FOIA request first forwards a record to another agency or component within the same agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency or component within the same agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.

f. **Exemption 3 Statute**—a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.

g. **FOIA Request**—a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report. Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

h. **Full Grant**—an agency decision to disclose all records in full in response to a FOIA request.

i. **Full Denial**—an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.

j. **Median Number**—the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.

k. **Multi-Track Processing**—a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.

i. **Expedited Processing**—an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.

ii. **Simple Request**—a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.

iii. **Complex Request**—a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.

l. **Partial Grant/Partial Denial**—in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.

- m. **Pending Request or Pending Administrative Appeal**—a request or administrative appeal for which an agency has not taken final action in all respects.
- n. **Perfected Request**—a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- o. **Processed Request or Processed Administrative Appeal**—a request or administrative appeal for which an agency has taken final action in all respects.
- p. **Range in Number of Days**—the lowest and highest number of days to process requests or administrative appeals.
- q. **Time Limits**—the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).

2. *Descriptions of the nine FOIA exemptions:*

- a. **Exemption 1:** classified national defense and foreign relations information
- b. **Exemption 2:** information that is related solely to the internal personnel rules and practices of an agency
- c. **Exemption 3:** information that is prohibited from disclosure by another federal law
- d. **Exemption 4:** trade secrets and other confidential business information
- e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges
- f. **Exemption 6:** information involving matters of personal privacy
- g. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
- h. **Exemption 8:** information relating to the supervision of financial institutions

i. **Exemption 9:** geological information on wells

3. *Agency Component Abbreviations:*

Component Abbreviation	Component Name
ASIA	Assistant Secretary – Indian Affairs
BIA	Bureau of Indian Affairs
BIE	Bureau of Indian Education
BLM	Bureau of Land Management
BOEM	Bureau of Ocean Energy Management
BOR	Bureau of Reclamation
BSEE	Bureau of Safety and Environmental Enforcement
DFO	Departmental FOIA Office
FPAO	FOIA & Privacy Act Appeals Office
FWS	U.S. Fish and Wildlife Service
NPS	National Park Service
OIG	Office of the Inspector General
OS	Office of the Secretary
OSMRE	Office of Surface Mining Reclamation and Enforcement
SOL	Office of the Solicitor
USGS	U.S. Geological Survey

**IV. Exemption 3 Statutes**

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
16 U.S.C. § 470aaa-8 (Paleontological Resources Preservation Act)	Information concerning the nature and specific location of a paleontological resource.	N/A	ASIA	0	1
			BIA	0	
			BIE	0	
			BLM	1	
			BOEM	0	
			BOR	0	
			BSEE	0	
			FPAO	0	
			FWS	0	
			NPS	0	
			OIG	0	

			OS	0	
			OSMRE	0	
			SOL	0	
			USGS	0	
			DFO	0	
Fed. R. Crim. P. 6(e) enacted by Act of July 30 1977 Pub. L. No. 95-78 91 Stat. 319	Certain records pertaining to grand jury proceedings.	Sussman v. USMS, 494 F.3d 1106, 1113 (D.C. Cir. 2007); Widi v. McNeil, No. 12-CV-00188, 2016 WL 4394724, at *23 (D. Me. Aug 16, 2016); Durham v. U.S. Atty. Gen., No. 06-843, 2008 WL 620744, at *2 (E.D. Tex. Mar. 3, 2008); Cozen O'Connor v. U.S. Dep't of Treasury, 570 F. Supp. 2d	ASIA	0	53
			BIA	3	
			BIE	0	
			BLM	23	
			BOEM	0	
			BOR	0	
			BSEE	0	
			FPAO	0	
			FWS	2	
			NPS	17	
			OIG	0	
			OS	0	
OSMRE	1				
SOL	1				

		749, 776 (E.D. Pa. 2008).	USGS	6	6
			DFO	0	
54 U.S.C. § 307103	Information about the location, character or ownership of a historic resource	Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 32-33 (D.D.C. 2003) (dictum), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	ASIA	0	
			BIA	0	
			BIE	0	
			BLM	4	
			BOEM	0	
			BOR	0	
			BSEE	0	
			FPAO	0	
			FWS	0	
			NPS	2	
			OIG	0	
			OS	0	
			OSMRE	0	
			SOL	0	
USGS	0				
DFO	0				



**V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS**

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
ASIA	28	83	23	88
BIA	279	791	937	133
BIE	15	42	9	48
BLM	838	821	587	1072
BOEM	40	112	124	28
BOR	42	234	219	57
BSEE	30	179	164	45
FWS	534	949	1001	482
NPS	740	1213	1079	874
OIG	31	225	234	22
OS	1775	772	947	1600
OSMRE	99	160	175	84
SOL	173	200	171	202
USGS	61	196	236	21

DFO	0	0	0	0
AGENCY OVERALL	4685	5977	5906	4756

ASIA and BIE were tracked as part of BIA in previous years. After reviewing its database, DOI adjusted the number of requests pending at the start of the fiscal year for BIA, BLM, BOEM, BOR, FWS, NPS, OS, OSMRE, and SOL.

**V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS**

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
ASIA	5	2	1	9	0	0	1	1	2	0	1	1	23
BIA	208	280	112	160	2	43	33	21	18	3	19	38	937
BIE	4	0	0	3	0	1	0	1	0	0	0	0	9
BLM	198	209	5	73	4	25	15	9	6	8	11	24	587
BOEM	33	26	0	25	2	3	0	4	1	25	3	2	124
BOR	107	49	8	20	0	8	6	6	3	0	1	11	219
BSEE	48	22	1	63	1	3	2	1	2	2	3	16	164

FWS	380	284	28	157	9	56	6	16	2	0	15	48	1001
NPS	349	393	31	132	0	41	8	12	7	3	53	50	1079
OIG	9	92	26	63	19	5	3	12	3	0	1	1	234
OS	170	170	1	132	4	32	7	37	7	0	14	373	947
OSMRE	64	6	0	73	0	14	0	2	3	1	3	9	175
SOL	34	46	1	58	0	2	0	1	5	11	4	9	171
USGS	50	65	3	50	11	16	4	8	1	3	0	25	236
DFO	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	1659	1644	217	1018	52	249	85	131	60	56	128	607	5906

**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"**

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
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ASIA	Directed Requester to Publicly Available Information	1	1
BIA	Request Opened in Error	17	38
	Unable to Locate Requester	9	
	Court Sealed	1	
	Aggregate Cases	2	
	Directed Requester to Another Entity Subject to the FOIA	6	
	Directed Requester to Publicly Available Information	3	
BLM	Request Opened in Error	11	24
	Directed Requester to Another Entity Subject to the FOIA	3	
	Litigation	1	
	Unable to Locate Requester	1	
	Directed Requester to Publicly Available Information	5	
	Aggregate Cases	3	
BOEM	Directed Requester to Publicly Available Information	1	2
	Aggregate Cases	1	

BOR	Directed Requester to Publicly Available Information	5	11
	Request Opened in Error	1	
	Litigation	3	
	Aggregate Cases	1	
	Unable to Locate Requester	1	
BSEE	Directed Requester to Publicly Available Information	3	16
	Request Opened in Error	1	
	Directed Requester to Another Entity Subject to the FOIA	10	
	Unable to Locate Requester	2	
FWS	Request Opened in Error	4	48
	Litigation	5	
	Unable to Locate Requester	17	
	Aggregate Cases	2	
	Directed Requester to Another Entity Subject to the FOIA	3	
	Directed Requester to Publicly Available Information	17	
NPS	Request Opened in Error	1	50

	Litigation	4	
	Unable to Locate Requester	28	
	Aggregate Cases	3	
	Directed Requester to Another Entity Subject to the FOIA	5	
	Directed Requester to Publicly Available Information	9	
OIG	Directed Requester to Publicly Available Information	1	1
OS	Aggregate Cases	2	373
	Directed Requester to Another Entity Subject to the FOIA	80	
	Request Opened in Error	18	
	Litigation	78	
	Unable to Locate Requester	91	
	Directed Requester to Publicly Available Information	104	
OSMRE	Court Sealed	1	9
	Directed Requester to Publicly Available Information	3	
	Unable to Locate Requester	3	

	Directed Requester to Another Entity Subject to the FOIA	2	
SOL	Litigation	5	9
	Request Opened in Error	4	
USGS	Directed Requester to Another Entity Subject to the FOIA	5	25
	Directed Requester to Publicly Available Information	18	
	Aggregate Cases	2	
DFO	N/A	0	0
BIE	N/A	0	0
AGENCY OVERALL			607

**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
ASIA	0	0	0	1	0	0	0	0	0	0	0	0	0	0
BIA	0	0	3	40	55	209	60	0	128	0	2	0	0	0

BIE	0	0	0	0	0	0	0	0	0	0	0	0	0	0
BLM	0	7	27	25	101	131	19	1	19	1	2	1	0	0
BOEM	0	0	0	6	15	15	0	0	0	0	0	0	0	0
BOR	0	1	0	5	27	22	0	0	3	0	1	9	0	0
BSEE	0	0	0	4	8	16	0	0	1	0	1	0	0	0
FWS	0	0	2	14	149	207	19	2	63	8	8	0	0	0
NPS	0	21	19	16	50	294	13	1	164	0	16	5	0	0
OIG	0	0	0	7	5	7	21	0	103	17	10	0	0	0
OS	0	1	0	2	87	187	3	0	16	1	4	1	0	1
OSMRE	0	0	1	1	4	2	0	0	0	0	0	0	0	0
SOL	0	0	1	2	43	31	1	0	0	0	0	0	0	0
USGS	0	0	6	0	31	45	1	0	10	1	0	0	0	0
DFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	30	59	123	575	1166	137	4	507	28	44	16	0	1



**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
FPAO	432	168	189	411
OIG	0	7	7	0
AGENCY OVERALL	432	175	196	411

**VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS**

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
FPAO	47	13	57	72	189
OIG	2	2	1	2	7



											Expedited Processing	
FPAO	17	0	4	5	1	0	0	1	16	1	72	
OIG	0	0	0	0	0	0	0	0	0	3	3	
AGENCY OVERALL	17	0	4	5	1	0	0	1	16	4	75	

**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FPAO	Moot	13	72
	Appeal Withdrawn	3	
	Improper Appeal	53	
	Unable to Locate or Contact Appellant	3	
OIG	Adequate Search Conducted	2	3
	Improper Appeal	1	

AGENCY OVERALL			75
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**VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS**

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FPAO	297.0	510.0	1.0	1586.0
OIG	7.0	11.0	2.0	20.0
AGENCY OVERALL	152.0	260.5	1	1586

**VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
FPAO	Date of Appeal	2015-03-09	2015-02-12	2015-02-10	2015-01-28	2015-01-15	2015-01-05	2014-11-19	2014-11-19	2014-11-19	2014-11-07

	Number of Days Pending	1390	1406	1408	1417	1425	1433	1460	1460	1460	1467
OIG	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date of Appeal	2015-03-09	2015-02-12	2015-02-10	2015-01-28	2015-01-15	2015-01-05	2014-11-19	2014-11-19	2014-11-19	2014-11-07
	Number of Days Pending	1390	1406	1408	1417	1425	1433	1460	1460	1460	1467

**VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS**

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
ASIA	63.0	93.29	3.0	515.0	92.5	92.5	29.0	156.0	<1	<1	<1	<1
BIA	25.0	67.66	<1	987.0	31.5	63.45	<1	593.0	2.0	64.2	<1	314.0

BIE	109.0	139.67	6.0	465.0	<1	<1	<1	<1	<1	<1	<1	<1
BLM	17.0	47.83	<1	644.0	66.0	155.27	<1	793.0	24.0	97.71	1.0	356.0
BOEM	7.0	10.45	<1	74.0	249.0	292.2	15.0	678.0	<1	<1	<1	<1
BOR	12.0	15.41	<1	167.0	41.0	85.91	<1	1072.0	<1	<1	<1	<1
BSEE	6.0	12.36	<1	128.0	163.0	177.6	32.0	622.0	12.0	12.0	12.0	12.0
FWS	24.0	93.29	<1	1115.0	98.0	178.28	<1	739.0	41.0	58.67	28.0	107.0
NPS	27.0	82.65	<1	1382.0	183.0	233.76	<1	1245.0	5.0	123.43	<1	431.0
OIG	10.0	12.0	1.0	99.0	111.0	155.0	19.0	456.0	<1	<1	<1	<1
OS	4.0	155.5	<1	768.0	533.5	477.72	<1	1318.0	768.0	591.08	26.0	775.0
OSMRE	16.0	21.03	<1	218.0	227.0	246.76	<1	1064.0	<1	<1	<1	<1
SOL	26.0	94.38	2.0	575.0	44.0	89.81	4.0	890.0	<1	<1	<1	<1
USGS	4.0	24.71	<1	289.0	30.0	103.63	<1	931.0	22.0	22.0	22.0	22.0
DFO	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1
AGENCY OVERALL	22.0	78.77	<1	1382	110.0	217.91	<1	1318	43.0	256.92	<1	775

**VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

	SIMPLE	COMPLEX	EXPEDITED PROCESSING
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Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
ASIA	55.5	155.5	13.0	515.0	29.0	29.0	29.0	29.0	<1	<1	<1	<1
BIA	25.0	71.53	<1	987.0	35.0	65.87	<1	593.0	2.0	64.2	<1	314.0
BIE	110.0	98.5	26.0	148.0	<1	<1	<1	<1	<1	<1	<1	<1
BLM	18.0	53.09	<1	644.0	77.0	161.82	<1	793.0	33.0	112.33	1.0	356.0
BOEM	9.0	13.34	<1	74.0	306.0	309.83	15.0	678.0	<1	<1	<1	<1
BOR	12.0	16.03	<1	167.0	46.0	96.83	<1	1072.0	<1	<1	<1	<1
BSEE	11.0	18.98	1.0	110.0	189.0	207.57	38.0	622.0	12.0	12.0	12.0	12.0
FWS	32.0	107.51	<1	1115.0	114.5	188.35	<1	716.0	41.0	58.67	28.0	107.0
NPS	31.0	72.58	<1	774.0	178.5	208.21	<1	733.0	3.5	92.67	<1	431.0
OIG	10.0	12.5	1.0	99.0	142.0	173.0	21.0	456.0	<1	<1	<1	<1
OS	132.5	176.55	<1	768.0	466.0	440.78	5.0	1318.0	35.0	35.0	26.0	44.0
OSMRE	13.5	21.37	<1	144.0	163.0	167.31	11.0	403.0	<1	<1	<1	<1
SOL	56.0	135.16	2.0	575.0	66.0	93.67	11.0	357.0	<1	<1	<1	<1
USGS	11.5	39.48	<1	289.0	108.0	153.68	<1	931.0	22.0	22.0	22.0	22.0
DFO	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1
AGENCY OVERALL	26.0	81.66	<1	1115	107.0	194.79	<1	1318	22.0	73.24	<1	431

**VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
ASIA	4	2	4	6	0	0	2	0	0	0	2	0	1	21
BIA	271	136	55	36	34	12	11	4	1	4	29	10	20	623
BIE	1	1	1	0	0	3	0	1	0	0	1	0	1	9
BLM	170	37	16	7	5	5	5	3	2	3	8	2	6	269
BOEM	61	1	1	1	0	0	0	0	0	0	0	0	0	64
BOR	114	29	2	2	1	0	0	0	1	0	0	0	0	149
BSEE	108	9	5	0	1	2	1	0	0	0	0	0	0	126
FWS	238	83	37	22	22	12	12	4	8	7	23	10	31	509
NPS	378	127	70	54	30	30	19	16	21	14	42	38	25	864
OIG	140	56	11	3	3	0	0	0	0	0	0	0	0	213
OS	87	44	34	43	23	29	26	22	16	16	58	40	45	483
OSMRE	96	10	1	2	2	2	1	1	0	0	1	0	0	116
SOL	46	7	8	3	2	1	1	3	3	3	10	4	4	95
USGS	82	10	3	1	5	4	1	0	1	1	2	0	0	110



DFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	1796	552	248	180	128	100	79	54	53	48	176	104	133	3651

**VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
ASIA	0	1	0	0	0	0	0	1	0	0	0	0	0	2
BIA	47	33	18	3	10	3	2	2	1	2	7	5	1	134
BIE	0	0	0	0	0	0	0	0	0	0	0	0	0	0
BLM	56	33	27	13	10	6	10	6	8	2	17	14	33	235
BOEM	2	1	0	0	4	0	1	1	3	0	1	4	8	25
BOR	11	12	6	2	4	0	4	3	2	0	1	0	1	46
BSEE	0	4	7	0	1	2	0	0	4	3	4	3	2	30
FWS	74	48	36	24	28	19	15	13	12	6	46	25	67	413
NPS	11	3	6	5	3	2	4	5	3	7	10	10	16	85
OIG	2	1	1	6	0	1	1	1	0	1	3	3	1	21



BSEE	1	0	0	0	0	0	0	0	0	0	0	0	0	1
FWS	0	1	1	0	0	1	0	0	0	0	0	0	0	3
NPS	4	0	0	0	0	1	0	0	0	0	0	1	1	7
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OS	0	1	1	0	0	0	0	0	0	0	0	1	10	13
OSMRE	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SOL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
USGS	0	1	0	0	0	0	0	0	0	0	0	0	0	1
DFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	13	4	3	0	0	2	0	0	0	0	1	4	11	38

**VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS**

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
ASIA	68	103.5	208.82	17	116.0	227.76	0	0.0	0.0

BIA	78	112.0	145.19	35	94.0	200.4	3	9.0	10.0
BIE	45	115.0	232.47	2	467.5	467.5	0	0.0	0.0
BLM	318	251.0	306.81	694	368.0	430.24	18	326.5	309.39
BOEM	3	9.0	9.33	25	289.0	292.88	0	0.0	0.0
BOR	11	15.0	12.27	25	73.0	133.4	0	0.0	0.0
BSEE	25	115.0	125.12	15	399.0	375.47	1	84.0	84.0
FWS	112	160.0	249.69	329	198.0	259.89	3	95.0	118.33
NPS	496	177.0	213.45	325	267.0	295.22	6	394.5	381.17
OIG	18	21.0	25.0	4	243.0	257.0	0	0.0	0.0
OS	733	338.0	324.13	834	646.0	571.51	21	724.0	611.48
OSMRE	19	37.0	117.11	55	597.0	503.78	0	0.0	0.0
SOL	114	420.5	466.61	81	137.0	231.09	1	655.0	655.0
USGS	1	80.0	80.0	19	64.0	150.68	0	0.0	0.0
DFO	0	0.0	0.0	0	0.0	0.0	0	0.0	0.0
AGENCY OVERALL	2041	267.0	278.58	2460	397.5	421.04	53	392.0	411.72

**VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS**

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
ASIA	Date of Receipt	2018-11-13	2018-09-24	2018-03-30	2018-02-28	2018-01-31	2018-01-02	2017-10-20	2016-05-24	2016-02-29	2015-11-23
	Number of Days Pending	461	503	627	649	668	685	736	1090	1092	1159
BIA	Date of Receipt	2019-02-03	2018-12-04	2018-10-22	2018-09-24	2018-09-21	2017-12-21	2017-05-04	2017-03-30	2016-10-18	2016-07-11
	Number of Days Pending	418	456	485	499	505	694	853	860	989	1058
BIE	Date of Receipt	2019-02-06	2018-12-10	2018-09-25	2018-07-31	2018-06-25	2017-01-31	2017-01-30	2017-01-13	2017-01-13	2016-10-11
	Number of Days Pending	406	451	499	540	566	919	920	930	930	993
BLM	Date of Receipt	2016-01-12	2016-01-14	2015-12-18	2016-01-13	2015-10-13	2015-09-29	2015-08-11	2015-07-07	2015-07-10	2015-07-02
	Number of Days Pending	751	752	1157	1182	1243	1248	1287	1308	1309	1310
BOEM	Date of Receipt	2019-07-05	2019-03-29	2019-04-26	2019-03-08	2019-03-04	2019-01-28	2019-01-30	2018-11-02	2018-10-24	2018-09-17

	Number of Days Pending	311	350	359	394	398	403	420	475	483	509
BOR	Date of Receipt	2020-01-07	2020-01-07	2019-12-26	2019-11-22	2019-12-09	2019-10-22	2019-10-29	2019-09-12	2018-09-06	2018-08-08
	Number of Days Pending	148	185	189	192	203	215	218	259	511	532
BSEE	Date of Receipt	2019-10-02	2019-07-18	2019-03-01	2017-10-11	2018-03-20	2018-02-24	2018-02-24	2018-01-31	2018-01-19	2018-01-18
	Number of Days Pending	232	302	399	571	635	652	652	668	676	677
FWS	Date of Receipt	2017-05-25	2017-04-28	2017-01-05	2016-11-25	2016-09-27	2016-09-27	2016-06-10	2016-05-24	2016-04-13	2015-04-27
	Number of Days Pending	838	857	925	947	1003	1003	1078	1090	1119	1361
NPS	Date of Receipt	2017-01-12	2017-01-19	2017-01-04	2016-12-16	2016-11-04	2016-06-13	2016-05-05	2016-05-02	2016-02-03	2015-11-20
	Number of Days Pending	921	927	935	948	973	1070	1103	1105	1144	1217
OIG	Date of Receipt	2020-08-13	2020-07-31	2020-07-27	2020-07-10	2020-07-06	2020-06-15	2020-06-05	2019-11-13	2019-10-10	2018-12-21



	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date of Receipt	2015-11-23	2015-11-20	2015-10-13	2015-09-29	2015-08-11	2015-07-07	2015-07-10	2015-07-02	2015-04-27	2015-03-13
	Number of Days Pending	1159	1217	1243	1248	1287	1308	1309	1310	1361	1382

**VIII.A. REQUESTS FOR EXPEDITED PROCESSING**

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
ASIA	0	0	N/A	N/A	0
BIA	6	7	13.0	15.15	4
BIE	0	0	N/A	N/A	0
BLM	13	4	5.0	7.65	14
BOEM	1	1	16.0	16.0	1
BOR	0	6	6.0	5.5	6



BSEE	1	0	19.0	19.0	0
FWS	6	21	9.0	46.48	14
NPS	7	35	7.5	31.33	24
OIG	0	6	3.0	3.0	6
OS	5	33	20.0	61.95	9
OSMRE	0	0	0.0	0.0	0
SOL	0	3	10.0	10.0	3
USGS	1	11	1.0	2.17	11
DFO	0	0	N/A	N/A	0
AGENCY OVERALL	40	127	9.5	33.28	92

**VIII.B. Requests for Fee Waiver**

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
ASIA	0	0	N/A	N/A
BIA	23	13	2.5	7.94

BIE	0	0	N/A	N/A
BLM	124	3	0.0	5.31
BOEM	11	0	3.0	12.0
BOR	28	4	4.5	9.78
BSEE	4	0	23.5	53.0
FWS	252	8	1.0	16.43
NPS	89	13	3.0	69.35
OIG	4	5	2.0	5.0
OS	79	24	9.0	11.86
OSMRE	2	0	1.0	1.0
SOL	29	10	0.0	0.67
USGS	82	0	0.0	1.07
DFO	0	0	N/A	N/A
AGENCY OVERALL	727	80	1.0	17.9

**IX. FOIA Personnel and Costs**

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
ASIA	3	2.45	5.45	512882.49	0.00	512882.49
BIA	1	13.75	14.75	903805.26	11822.00	915627.26
BIE	2	0.75	2.75	309000.00	0.00	309000.00
BLM	17	5.50	22.50	2690233.38	140163.14	2830396.52
BOEM	2	2.67	4.67	498477.53	0.00	498477.53
BOR	5	2.80	7.80	897807.00	0.00	897807.00
BSEE	3	5.25	8.25	637862.00	7497.50	645359.50
FPAO	1	0.92	1.92	500000.00	12500.00	512500.00
FWS	14	3.00	17.00	1314144.00	428121.00	1742265.00
NPS	4	3.10	7.10	774507.00	35151.00	809658.00
OIG	5	0.00	5.00	581650.00	18150.00	599800.00
OS	6	7.47	13.47	1399215.00	7241.00	1406456.00

OSMRE	2	0.50	2.50	263736.94	84194.46	347931.40
SOL	4	17.62	21.62	3589180.90	3760790.81	7349971.71
USGS	5	0.75	5.75	741759.00	0.00	741759.00
DFO	4	0.75	4.75	1781639.00	0.00	1781639.00
AGENCY OVERALL	78	67.28	145.28	17395899.50	4505630.91	21901530.41

*DFO costs cover centralized support to the Department's FOIA processing offices, including the Department's FOIA tracking and case management systems, policy and oversight, and targeted processing assistance. Data pertaining to the requests processed by the DFO are contained in the reporting of the component receiving the assistance.*

**X. Fees Collected for Processing Requests**

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
ASIA	0.00	0.0000
BIA	2898.10	0.3200
BIE	0.00	0.0000
BLM	734.10	0.0300
BOEM	217.00	0.0400
BOR	16559.85	1.8400

BSEE	340.00	0.0500
FWS	922.60	0.0700
NPS	7243.20	0.9400
OIG	0.00	0.0000
OS	0.00	0.0000
OSMRE	0.00	0.0000
SOL	0.00	0.0000
USGS	1593.49	0.2100
DFO	0.00	0.0000
AGENCY OVERALL	30508.34	0.1800

**XI.A. Number of Times Subsection (C) Used**

Agency / Component	Number of Times Subsection Used
ASIA	0
BIA	0

BIE	0
BLM	0
BOEM	0
BOR	0
BSEE	0
FWS	0
NPS	0
OIG	0
OS	0
OSMRE	0
SOL	0
USGS	0
DFO	0
AGENCY OVERALL	0

**XI.B. Number of Subsection (A)(2) Postings**

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
ASIA	0	0
BIA	0	0
BIE	0	0
BLM	0	0
BOEM	0	3560
BOR	0	0
BSEE	1	1
FWS	2	0
NPS	177	303
OIG	0	0
OS	80	0
OSMRE	0	0
SOL	0	0
USGS	0	14

DFO	8	0
AGENCY OVERALL	268	3878

*These numbers are approximate.*

**XII.A. Backlogs of FOIA Requests and Administrative Appeals**

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
ASIA	75	N/A
BIA	84	N/A
BIE	43	N/A
BLM	998	N/A
BOEM	25	N/A
BOR	20	N/A
BSEE	38	N/A
FPAO	0	404
FWS	397	N/A
NPS	768	N/A



OIG	13	0
OS	1541	N/A
OSMRE	66	N/A
SOL	186	N/A
USGS	13	N/A
DFO	0	N/A
AGENCY OVERALL	4267	404

**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
ASIA	0	5	0	5

BIA	0	1	1	0
BIE	0	0	0	0
BLM	0	0	0	0
BOEM	0	17	17	0
BOR	0	0	0	0
BSEE	0	14	14	0
FWS	17	56	69	4
NPS	0	0	0	0
OIG	0	45	45	0
OS	8	60	50	18
OSMRE	0	0	0	0
SOL	0	1	1	0
USGS	0	24	24	0
DFO	0	0	0	0
AGENCY OVERALL	25	223	221	27

*After reviewing its database, DOI adjusted the number of consultations that were pending for FWS and OS as of the start of the fiscal year.*





	Number of Days	0	0	0	0	0	0	0	0	0	0
USGS	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
DFO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date	N/A	N/A	2020-09-24	2020-09-18	2020-09-11	2020-08-17	2020-03-25	2020-02-14	2019-11-27	2019-08-21
	Number of Days	0	0	4	8	13	31	132	159	211	278

**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED**

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last	Number Processed During Fiscal Year from

			Year's Annual Report	Current Annual Report
ASIA	0	83	0	23
BIA	1408	791	1364	937
BIE	0	42	0	9
BLM	1058	821	904	587
BOEM	192	112	184	124
BOR	257	234	229	219
BSEE	247	179	249	164
FWS	1081	949	1004	1001
NPS	1527	1213	1157	1079
OIG	272	225	264	234
OS	1269	772	840	947
OSMRE	148	160	126	175
SOL	286	200	246	171
USGS	228	196	215	236
DFO	0	0	0	0
AGENCY OVERALL	7973	5977	6782	5906

**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
ASIA	0	75
BIA	213	84
BIE	0	43
BLM	758	998
BOEM	28	25
BOR	20	20
BSEE	21	38
FWS	449	397
NPS	619	768
OIG	15	13
OS	1718	1541
OSMRE	86	66

SOL	149	186
USGS	40	13
DFO	0	0
AGENCY OVERALL	4116	4267

**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
FPAO	159	168	105	189
OIG	9	7	11	7
AGENCY OVERALL	168	175	116	196



**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
FPAO	424	404
OIG	0	0
AGENCY OVERALL	424	404