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4.1 **Purpose.** This chapter provides the requirements for Department of the Interior (DOI) government-to-government consultation between appropriate tribal officials and DOI officials. It expands and clarifies DOI’s policy on consultation with Indian Tribes and Alaska Native Claims Settlement Act of 1971 (ANCSA) Corporations and acknowledges the provisions for conducting consultation in compliance with Executive Order (EO) 13175 (Consultation and Coordination with Indian Tribal Governments), applicable statutes, and administrative actions.

4.2 **Scope.** The policy and requirements in this chapter apply to all DOI bureaus and offices. It complements, but does not supersede, any existing laws, rules, statutes, or regulations that guide consultation processes with Indian tribes.

4.3 **Definitions.**

A. **Indian Tribe or Tribe.** Any American Indian or Alaska Native tribe, band, nation, pueblo, village, or community that the Secretary of the Interior acknowledges to exist as an Indian tribe pursuant to the Federally Recognized Indian Tribe List Act of 1994, 25 U.S.C. § 479a.

B. **ANCSA Corporation.** Any Alaska Native village corporation, urban corporation, or regional corporation as defined in, or established pursuant to, the Alaska Native Claims Settlement Act, 43 U.S.C. § 1601 et seq.

C. **ANCSA Corporation Official or Designee.** An official or ANCSA member designated in writing by an ANCSA Corporation.

D. **Tribal Governance Officer (TGO).** An individual designated by DOI to carry out responsibilities described in this chapter.

E. **Tribal Liaison Officer (TLO).** One or more individuals officially designated by a bureau or office to carry out responsibilities described in this chapter.
F. **Tribal Official.** An elected or appointed tribal leader or official designated in writing by an Indian tribe to represent the tribe in government-to-government consultations.

4.4 **Policy.** It is the policy of DOI to recognize and fulfill its legal obligations to identify, protect, and conserve tribal trust resources; carry out its trust relationship with federally recognized Indian tribes and tribal members; and consult with tribes on a government-to-government basis whenever DOI plans or actions have tribal implications. All bureaus and offices shall comply with and participate in the consultation process in a manner that demonstrates a meaningful commitment and ensures continuity in the process.

4.5 **Responsibilities.**

A. **TGO.** The TGO is appointed by the Secretary and is responsible for carrying out the responsibilities in this chapter including the following:

   (1) Serving as the Secretary’s representative when requested to do so in matters pertaining to consultation.

   (2) Leading and managing DOI’s consultation efforts to ensure effective government-to-government relationships with Indian tribes and ANCSA Corporations.

   (3) Overseeing bureau and office compliance with the policy in this chapter, EO 13175, and other consultation requirements pertaining to government-to-government consultation.

   (4) Implementing a DOI reporting system to ensure that consultation efforts are documented and properly reported.

B. **Heads of Bureaus and Offices.** Heads of bureaus and offices are responsible for:

   (1) Designating in writing one or more TLOs.

   (2) Ensuring that procedures are established to carry out consultation activities of their organizations.

   (3) Ensuring compliance with the requirements in this chapter.

C. **TLOs.** The TLOs are responsible for:

   (1) Serving as the bureau/office principal point of contact for tribal consultation matters.

   (2) Promoting and facilitating consultation and collaboration between Indian tribes and their bureau/office.
(3) Reporting to the TGO annually of newly engaged consultation processes with any Indian tribe or ANCSA Corporation.

D. Department of the Interior University (DOIU). The DOIU in collaboration with bureaus/offices, tribal colleges and universities, and other entities with Indian expertise is responsible for developing and delivering training to facilitate implementation of this policy.

E. Office of Collaborative Action and Dispute Resolution (CADR). The CADR is responsible for assisting in planning and facilitating an effective consultation process, negotiated rulemaking, or other collaborative approach to decisionmaking.

F. Joint Federal-Tribal Team (Team). The Team is responsible for making recommendations on the implementation of this policy. The Team members should include representatives from DOI and Indian tribes. Members of the Team serve at the discretion of the Secretary. The Team will meet regularly to identify opportunities for improvements in DOI’s consultation practices.

4.6 Alaska Native Corporation Settlement Act of 1971 (ANCSA) Consultation. When taking Departmental action that has a substantial and direct effect on ANCSA Corporations, DOI will initiate consultation with ANCSA Corporations. To the extent that concerns expressed by Indian tribes and ANCSA Corporations substantively differ, DOI officials shall give due consideration to the right of sovereignty and self-governance of federally recognized Indian tribes.

4.7 Departmental Database. A single DOI database will be maintained to manage the contact list for leadership of all Indian tribes and ANCSA Corporations, as defined in paragraph 4.3A and 4.3B respectively that are eligible for Federal consultation. The database should be an electronic and interactive system that allows real time updating of contacts and allows all bureau/office access. This database shall be maintained by the Bureau of Indian Affairs, Office of Indian Services.

4.8 Tribal Consultation Annual Report. On an annual basis, bureaus/offices shall develop a report of the results of their efforts to promote consultation with Indian tribes. The report is due to the TGO by December 31 of each year. Reporting is intended to be comprehensive and may include, but is not limited to, the scope, cost, and activities of the consultation efforts. The report should also highlight significant consultation efforts with Indian tribes and when appropriate, ANCSA Corporations. The report should also include proposed plans and recommendations. (The format for the report is provided in the Appendix.)
Department of the Interior
Tribal Consultation Annual Report

Bureau/Office:

The annual report is a summary of all bureau/office consultations during one fiscal year. The information in the Annual Report is a summary of and should be compiled from all of the information captured during the fiscal year. (Use as much space as needed and include the bureau/office name on each page.)

1. Summarize and list all of the consultation topics.

2. List all of the tribes consulted.

3. List the location of each consultation by city and state.

4. Summarize and list all of the issues and recommendations.

5. Summarize successes and accomplishments and include recommendations for improvements to the consultation process.

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