101st Congress 2d Session S. Pub. 101-9

Government Printing and Binding Regulations

Published by the

JOINT COMMITTEE ON PRINTING U.S. CONGRESS



No. 26 February 1990

U.S. GOVERNMENT PRINTING OFFICE

25-500 ≒

WASHINGTON: 1990

101ST CONGRESS JOINT COMMITTEE ON PRINTING

CONGRESS OF THE UNITED STATES

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LETTER OF TRANSMITTAL

February 1990.

To: All Departments

The Joint Committee on Printing hereby transmits Government Printing and Binding Regulations No. 26, effective as of this date. While this volume contains no substantive changes to existing regulations, it does provide updated listings of Government Printing Office regional procurement offices and satellites, and includes copies of circular letters of general applicability that have been issued to departmental administrators since the issuance of the last edition.

WENDELL H. FORD Chairman

(III)

AUTHORITY OF THE JOINT COMMITTEE ON PRINTING

[Extracts from United States Code, Title 44]

§ 103. Joint Committee on Printing: remedial powers

The Joint Committee on Printing may use any measures it considers necessary to remedy neglect, delay, duplication, or waste in the public printing and binding and the distribution of Government publications.

§ 501. Government printing, binding, and blank-book work to be done at Government Printing Office

All printing, binding, and blank-book work for Congress, the Executive Office, the Judiciary, other than the Supreme Court of the United States, and every executive department, independent office and establishment of the Government, shall be done at the Government Printing Office, except—

(1) classes of work the Joint Committee on Printing considers

to be urgent or necessary to have done elsewhere; and

(2) printing in field printing plants operated by an executive department, independent office or establishment, and the procurement of printing by an executive department, independent office or establishment from allotments for contract field printing, if approved by the Joint Committee on Printing.

Printing or binding may be done at the Government Printing

Office only when authorized by law.

§ 502. Procurement of printing, binding, and blank-book work by Public Printer

Printing, binding, and blank-book work authorized by law, which the Public Printer is not able or equipped to do at the Government Printing Office, may be produced elsewhere under contracts made by him with the approval of the Joint Committee on Printing.

GOVERNMENT PRINTING AND BINDING REGULATIONS OF THE JOINT COMMITTEE ON PRINTING

(All references to these Regulations must cite number and paragraph)

Resolved by the Joint Committee on Printing, under authority of section 103, 501, and 502, title 44, United States Code, That, except as otherwise provided herein, from and after April 4, 1977, the following shall supersede and repeal all regulations heretofore promulgated by the committee which are inconsistent therewith.

CONTENTS

	Page		Page
Title I—Definitions:		38. Publications, by Private Pub-	
1. Printing	1	lishers	21
2. Duplicating/Copying	1	39. Publications, Free Distribution	
3. Department		of	21
4. Printing Plant		40. Publications, Identification of	22
5. Regional Printing Procurement		41. Publications, Sale of by Super-	
Office	2	intendent of Documents	22
6. Federal Printing	3	42. Responsibility for Application	
7. Production Unit		and Enforcement of Regulations	22
		43. Stationery, Embossed	23
Title II—Equipment:		44. Stationery, Personalized	23
8. Classification, Buy American		45. Stationery: Sizes, Quality,	
Act, etc	5	Weight, Printing Thereon, etc	23
9. Purchase, etc	10	46. Waiver, Purchase of Printing	
10. Disposal of Excess Equipment	10	by	23
11. New Processes	11	47. Printing Facilities of Federal	
12. Research and Development	11	Prison Industries, Inc	24
Title III—General Provisions:		Title IV-Joint Committee on Print-	
	19	ing Report Forms:	
13. Advertisements, Commercial	13	48. No. 1—Printing Plants	27
14. Art Signatures	13	49. No. 2—Commercial Printing	27
15. Courtesy Credit Lines	13	50. No. 3—Collators	28
16. Publication Bylines, Mastheads	14	51. No. 4—Map and/or Chart	
17. Copyright Notices	14	Plants	28
18. Color Printing	14	52. No. 5—Inventory	28
19. Illustrations	15	53, No. 6—Stored Equipment	28
20. Cards, Calling, Greeting	15	54. No. 7—Excess Equipment	29
21. Blank Books	15	55. Summary of Reports	29
22. Calendars, Date: Desk and Wall	16	Table I—Normal life of printing	
23. Certificate of Necessity	16	equipment	30
24. Correspondence and Liaison		Table II—Condition and evaluation	
With Committee	16	guide for printing equipment	31
25. Form and Style of Work	16	Title V—Authorized Federal Plants:	
26. Forms, Standard, Procurement		56. Authorization	33
of	17	Listing by departments	
27. Journals, Magazines, etc	17	Listing by regions	
28. Legal Requirements	17	Map, Federal Printing Regions	52
29. Legality and Necessity	18	GPO Regional Printing Procure-	
30. Central Printing and Publica-		ment Offices	53
tions Management Organization	18	Title VI—Letters to Agencies and	
31. Mailing Lists	18	Departments from JCP:	
32. Mailing: Self-Mailer Technique	19	December 18, 1978	56
33. Neglect, Delay, Duplication or		February 15, 1979	57
Waste	19	June 20, 1983	58
34. Paper, Standardization of	19	March 13, 1984	59
35. Printing Requirements from		September 23, 1985	60
Contracts	20	March 13, 1986	62
36. Printing Requirements from		February 24, 1989	63
Grants	20	October 19, 1989	64
27 Private or Commercial Work	21	Tennery 25 1000	65

TITLE I: DEFINITIONS

- 1-1. Printing.—The term "printing" as used in these regulations shall be construed to include and apply to the processes of composition, platemaking, presswork, binding, and microform; the equipment as classified in the tables in Title II and used in such processes; or the end items produced by such processes and equipment.
- 1-2. Composition.—Composition as used in these regulations applies to the setting of type by hot-metal casting, phototypesetting, or electronic character generating devices for the purpose of producing camera copy, negatives, a plate or image to be used in the production of printing or microform.
- 1-3. Microform.—The term "microform," as used in these regulations, applies to any product, produced in miniaturized image format, for mass or general distribution and as a substitute for conventionally printed material, but not including microfilming of administrative records, accounting reports, or similar items.
- 2-1. Duplicating/Copying.—The term "duplicating/copying" as used in these regulations means that material produced by use of (a) equipment listed in column 2 of the equipment tables and (b) duplicating equipment employing the lithographic process; and automatic copy-processing or copier-duplicating machines employing electrostatic, thermal, or other copying processes. Provided, That work exceeding 5,000 production units of any one page, and work exceeding 25,000 production units in the aggregate of multiple pages, shall not be done without prior authority of: (a) the Central Printing and Publications Management Organization as provided in paragraph 30 of these regulations; or (b) the Joint Committee on Printing.
- 2-2. A report shall be forwarded to the Committee not later than 30 days after the close of each quarter, listing individual jobs by title, quantity (pages and copies), date, and where done, which exceed either the 5,000 or 25,000 production units.
- 2-3. Microform Duplicating.—The term "Microform Duplicating," as used in these regulations, means the production of not

more than 250 duplicates from original microform, as defined in paragraph 7-2.

- 3. Department.—The term "department", as used in these regulations, means any executive or military department or independent agency and temporary or permanent committees and commissions of the Government.
- 4-1. Printing Plant.—The term "printing plant," as used in these regulations, means any plant which produces "printing" as defined in paragraph 1, owned or operated wholly or in part by the Government or at Government expense, and shall include all such plants located on property owned or controlled by the Government. No printing plant shall be operated without prior authorization of the Joint Committee on Printing. No plant shall be moved from the building in which it was authorized for operation, or disestablished, without prior authority of the committee. No printing plant may be operated by a commercial contractor without prior approval of the Joint Committee on Printing.
- 4-2. Authorized plants shall be equipped to produce only that work which is not deemed to be commercially procurable. All work that can be procured within the necessary time constraints, except as provided in paragraph 49-2 of these regulations, will be forwarded to the Government Printing Office or its Regional Printing Procurement Office, as the case may be, for commercial procurement under contracts established for that purpose. Departments are required to solicit the opinion of the GPO as to the procurability of their individual printing requirements.
- 4-3. Map and Chart Printing Plants.—These plants are authorized to produce multicolor flat and/or folded maps and charts without referral to GPO procurement offices. In-house production, however, is limited to maps and charts, with all other supporting publications to be procured commercially through the GPO unless otherwise authorized by the Joint Committee on Printing. Only those departments which are authorized to operate map and chart printing plants have the option to procure map and chart work directly from commercial sources or through GPO procurement offices.
- 5. Government Printing Office Regional Printing Procurement Office (GPO-RPPO).—This term means any office, established by the Public Printer in accordance with Joint Committee on Printing authorization, which shall procure Federal printing needs which are determined to be commercially procurable. Except for orders submitted to the GPO Central Office and those placed by departments on direct-deal contracts authorized by GPO, all orders must

be forwarded to the nearest GPO-RPPO. The GPO-RPPO will determine the area of bid competition, considering all factors, including lead-time and transportation costs.

6. Federal Printing.—This term means all printing as defined in paragraph 1 for the use of all departments, irrespective of the place of production or procurement origin or ultimate end-use.

Determination as to where Federal printing is to be requisitioned shall be made by the head of each department through a central printing and publications management service, as defined in paragraph 30, in accordance with the collective provisions provided in paragraphs 4, 5, 28, and 46.

- 7-1. Production Unit.—A production unit means one sheet, size 8½ x 11 inches (215 x 280 mm), one side only, one color.
- 7-2. Microform Production Unit.—A production unit for microform, for the purposes of these regulations, is defined as one roll of microfilm 100 feet in length or one microfiche.
- 7-3. All production from presses of whatever size shall be computed on the basis of the unit size of press multiplied by the number of impressions obtained from the individual press concerned. For example:

11 by 17 inches or less (103/4 x 141/4 maximum image)	Units 1	19 by 25 inches	Units 4 6 8
maximum image)	1 2 2 2 2 2 4 4	22 by 29 inches	6 8

ENGLISH-METRIC CONVERSION TABLE

English	Metric Equivalent	English	Metric Equivalent
10¾ x 14¼	273 x 362 mm	22" x 34"	559 x 864 mm
11" x 17"		23" x 36"	
14" x 20"		25" x 38"	
15" x 18"		34" x 44"	
17" x 22"	432 x 559 mm	45" x 48"	1143 x 1219 mm
19" x 25"	483 x 635 mm	42" x 58"	1067 x 1473 mm
22" x 29"	559 x 737 mm	48" x 54"	1219 x 1372 mm

TITLE II: EQUIPMENT

- 8-1. Equipment: Printing, Binding, and Related or Auxiliary.—Determination as to classification has been made on certain basic equipment types, and is indicated on the following four tables which are provided for guidance to departments. These tables give basic, representative types of equipment only, and should not be considered as an all-inclusive listing.
- 8-2. An X in column 1 shown in the following listing indicates that Joint Committee on Printing approval is necessary prior to acquisition. Except as indicated by footnotes in the following listing, an X in column 2 indicates that the equipment listed therein may be acquired without Joint Committee on Printing approval. Column 2 equipment acquired for use in a printing plant shall be included on the annual inventory report, JCP Form No. 5.
- 8-3. Buy American Act.—Attention is directed to the Buy American Act (41 U.S. Code 10 a-d) which provides that the Government give preference to domestic source end products, pursuant to requirements administered in accordance with Executive Order No. 10582, dated December 17, 1954, as amended by Executive Order No. 12148, July 20, 1979.

COMPOSING AND RELATED EQUIPMENT

	(1)	(2)
Cameras, composing	x	
CASTING MACHINES, lead and rule	X	
COMPUTER OUTPUT MICROFORM (COM) DEVICES	X1	
CUTTERS, lead, rule and slug	X	
KEYBOARDS, TAPE ACTIVATED, for operating phototypesetting machines.	X	
MITERING MACHINES, printers'	X	
MOLDING MACHINES, rubber or plastic, molding from type	X	
Mortising machines	X	
PHOTOCOMPOSITION DEVICES, display matter		X
PHOTOGRAPHIC/ELECTRONIC CHARACTER GENERATING DEVICES	X1	
Phototypesetting machines	X	
PROOF PRESSES, letterpress or offset	X	
ROUTERS AND TYPE-HIGH MACHINES	X	
Saws, metal-trimmer, printers'	х	
STEREOTYPE CASTING MACHINES	X	
TABLES, imposing, correcting	X	
Typecasting and typesetting machines	X	

¹ Joint Committee on Printing authorization is required only when used in the printing process as defined in paragraph 1.

PLATEMAKING AND RELATED EQUIPMENT

	(1)
Beveling machines, printers'	x
Cameras, process	
COPY-PROCESSING MACHINES, not requiring the use of negatives or metal plates.	
Driers, print and film	
LAMPS, camera and platemaking	
LETTERPRESS, PLATEMAKING UNITS	X
LETTERPRESS, PLATEMAKING UNITS, photopolymer	X
MICROFORM PLATEMAKER	
MICROGRAPHIC DUPLICATOR	
Mortising machines	X
Offset platemaking units, not requiring the use of negatives	
Offset platemaking units, requiring the use of negatives	
Offset platemaking units, projection with or without negatives	X
Photocomposing machines, step and repeat	X
Planers, power-operated	X
PLATE COATER, offset, automatic	
Presses, molding	X
Processors, film	
Processors, plate, offset, automatic	
Proof presses, letterpress, offset	X
ROUTERS AND TYPE-HIGH MACHINES	X
Saw trimmers, power-operated	X
Sinks, temperature-control	
TABLES, plate rub-up, opaquing, lineup and register, etc	
VACUUM FRAMES, printing, plate	

¹ Not authorized for use in connection with duplicating/copying as defined in paragraph 2.

PRINTING PRESSES AND RELATED EQUIPMENT

	(1)	(2)
Copiers/Duplicators:		
Automatic copy-processing or copier-duplicating machines, producing copies by electrostatic, thermal, or other copying processes.		X1
Dampener cleaners, press	X	
Driers, press	X	
DUPLICATORS:		
Offset, lithographic, sheet-fed, perfecting, and tandem:		
Single unit, or two-unit perfecting and tandem, sheet-fed		Х²
(11 x 17 inches with image maximum of 10% x 14% inches).		
Presses, printing:		
Combination offset lithographic and letterpress	X	
Electrostatic	X	
Engraving	X	
Flexographic or Aniline		
Gravure and rotogravure	X	
Imprinting	X	
Letterpress, rotary, wraparound, cylinder, flatbed, web, or platen.	X	
Offset, lithographic, other than duplicators	X	
Silk screen process unit, power-operated	X	
Thermographic or embossing	1 1	

¹ Prior Joint Committee on Printing approval is required for paper size capability of 11 x 17 inches or larger (see letter of October 19, 1989 on page 64).

² Acquisition of tandem or two-unit perfecting presses by nonprinting facilities shall be reported to the Joint Committee on Printing within 30 days.

BINDING AND RELATED EQUIPMENT

	(1)
Addressing and mailing machines, hand-operated and automatic	
BINDING MACHINES, adhesive, wire or plastic, hand-operated and electric table models.	
BINDING MACHINES, adhesive (perfect), wire or plastic, power-operated, except electric table models.	X
BOOK CARTON INSERTER AND SEALER	x
Bundling machines or presses	1 1
COLLATING MACHINES, including stitching attachments, power-operated:	
Sheet only	1 1
Signatures, or sheet and signatures	1
Coloring machines, paper, web- or sheet-fed	
Conditioning machines, paper	
Cornering machines, paper, power-operated	
Cutters, paper, up to 31 inches	ļ
Cutters, paper, over 31 inches	X
Drilling Machines, paper, power-operated, over 3-spindle	X
DRILLING MACHINES, paper, power-operated, up to 3-spindle	
EYELETTING MACHINES, tag, power-operated	
FOLDING MACHINES, sheet-size capacity 14" x 24" or less	
FOLDING MACHINES, sheet-size capacity larger than 14" x 24"	
FOLDING MACHINES, sheet-fed, for folding diazo prints, etc	
GATHERING MACHINES, sheet-led, for folding diazo prints, etc	
	1 1
Inserting machines, signature	X
Jogging machines, power-operated, except portable and electric table models.	
Laminating machines	
Packaging and tying machines	
Perforator, power-operated	. X
Presses, bindery, backing, die-cutting, stamping, etc	. X
Punching machines, paper, power-operated, except electric table models.	X
Punching machines, hand-operated and electric table models	
SEALING MACHINES, pamphlet, automatic	
Sewing machines, book	1 . !
STAPLING MACHINES, wire spools or preformed staples, power- operated, except electric table models.	Х
STITCHING AND STAPLING MACHINES, box	
STITCHING MACHINES, paper, power-operated (single- and dual-head, maximum capacity %").	
STITCHING MACHINES, paper, power-operated (multihead)	. X
Stripping machines, book	
TABLES, bindery, gathering, rotary, power-operated	
THERMOGRAPHIC OR EMBOSSING PRESS	1
Trimmer, book, power-operated	1
WRAPPER, AND/OR BANDING MACHINES (kraft paper or shrink film)	

¹ The Joint Committee on Printing directs that all such machines, acquired by Government installations other than printing plants, be reported by department headquarters to the committee on JCP Form No. 3.

- 9. Equipment: Purchase, Rental, or Transfer.—Procedure for the purchase, rental, or transfer of printing, binding, and related or auxiliary equipment, as listed in columns 1 and 2 of the four tables in paragraph 8, shall be as follows:
 - (a) The purchase, rental, or transfer of column 1 items require prior approval of the Joint Committee on Printing. All requests for prior approval of the committee to acquire equipment shall contain justification for its need, name and location of installation where needed, size (11- by 17-inch presses must show unit or plate image size), and shall be submitted in duplicate.
 - (b) Purchase requests must show make (or equal) and estimated cost, together with expected trade-in allowance, if applicable; transfer requests must show make, age, condition, serial number, and estimated value; and rental requests must show make (or equal), and rental cost. When any transaction requiring prior approval of the Joint Committee on Printing has been completed, the committee shall be immediately notified thereof on form accompanying the authorization.
 - (c) Joint Committee on Printing authorizations to purchase, rent or transfer equipment, except as otherwise provided as a part of the approval, are void after one year unless completed by action, or by obligation of funds.
 - (d) Requests for authority to rent equipment, unless otherwise indicated, will be considered to be lease-purchase or purchase option arrangements. Until equipment is purchased, such authorizations will be subject to renewal annually.
 - (e) The JCP approval number on all purchase and rental actions shall be cited on all advertisements and procurement documents which are related to the acquisition of JCP controlled equipment.
- 10. Equipment, Disposal of Excess.—Procedure for disposing of printing equipment determined by each department to be excess to its needs is as follows:
 - (a) All items listed in columns 1 and 2 of paragraph 8 which are used in an authorized printing plant may be disposed of in accordance with existing Government surplus property disposal procedures without reference to the committee. Equipment disposals shall be reported to the committee on JCP Form No. 7 within 30 days after completion of the disposal action (cf. United States Code, Title 44, section 312).

- (b) To estimate the value of printing equipment:
 - (1) Determine the normal life expectancy according to table I. (Page 30.)
 - (2) Select the applicable condition in table II (page 31), column A, the normal life in column B, and (reading to the right) under C, the percentage.
 - (3) Multiply the original cost of the equipment by the percentage.
- 11. New Processes.—Frequently there appear upon the market certain types of printing, binding, and related or auxiliary equipment utilizing newly developed or improved processes or new principles of operation. Before any such equipment is acquired, which will be used as a substitute for those processes described in paragraph 1, Joint Committee on Printing prior approval is required and departments shall provide the committee with all available information pertaining thereto. (Please see Joint Committee on Printing letters of February 15, 1979, on p. 57, and October 19, 1989, on p. 64).
- 12. Research and Development.—The Committee shall be advised and shall be supplied with all available data pertaining to departmental plans to engage in applied research and/or development which may affect printing, binding and related or auxiliary fields, contractually or otherwise. Such advice and data will be supplied the committee prior to the establishment of any contracts or the advertisement of requests for proposals. In addition, if printing or related equipment is required in conjunction with such research or as a result of the research, prior approval of the committee is necessary before procurement.

TITLE III: GENERAL PROVISIONS

13. Advertisements, Commercial.—No Government publication or other Government printed matter, prepared or produced with either appropriated or nonappropriated funds or identified with an activity of the Government, shall contain any advertisement inserted by or for any private individual, firm, or corporation; or contain material which implies in any manner that the Government endorses or favors any specific commercial product, commodity, or service.

The Committee is of the opinion that commercial advertising is not a proper or authorized function of the Government. Such advertisements are unfair to those who do not so advertise in that, whether intentionally or not, they are frequently made to appear to have the sanction of the Government. Furthermore, the publication of such advertisements is unjust to the public in that the advertisers profit thereby at the expense of the Government, particularly as a considerable number of the publications are circulated free, at least in part, under Government frank. Advertising in Government publications is also unfair to the publishers of other periodicals in that they generally cannot meet such competition, owing to the great advantage possessed by a Government publication. Another reason for objecting is that advertisers are apt to use Government periodicals for the purpose of currying favor with the officers issuing the same or the special class among whom such publications are circulated. This is a temptation that the Government ought not to encourage.

- 14. Art Signatures.—When the size of signatures on freehand art is out of proportion or relation to the design, the copy is unacceptable and shall not be printed unless the signature is removed or sufficiently reduced in size. Signatures of technical illustrators, designers, typographers, or layout artists shall not be printed.
- 15. Courtesy Credit Lines.—Courtesy credit lines are permissible only for uncopyrighted materials contributed or loaned by nongovernmental parties. They shall be subordinate in size of type to that of both text and legends for illustrations. When all materials have

come from a single nongovernmental source, credit lines shall be given only in an undisplayed paragraph.

- 16-1. Publication Bylines.—The printing of Government employees' bylines in Government publications shall be confined to the authors of the articles appearing therein, and to the photographers who have originated the pictures contained therein. The authors' and photographers' bylines shall be printed in exact juxtaposition with the articles or pictures which they have created.
- 16-2. Publication Mastheads.—The printed mastheads of Government publications shall include the name of the publishing department or agency and its issuing bureau, branch, or office, together with the names of the department or agency head and the head of the issuing bureau, branch, or office. Additional names shall include only the names of the executive or managing editor, sports editor, feature editor, photo editor, and art editor, or their editorial equivalent officers by whatever title they are identified, unless otherwise authorized by the Joint Committee on Printing.
- 17. Copyright Notices.—Copyright notices shall be subordinated in size of type to that of both text and legends for illustrations. When privately copyrighted material is reprinted in a Government publication, notice of copyright is essential in order that the public not be misled.
- 18-1. Color Printing.—The committee recognizes that printing in two or more colors generally increases costs. Consequently, it is the responsibility of the head of any department, independent office or establishment of the Government to assure that all multicolor printing shall contribute demonstrable value toward achieving a greater fulfillment of the ultimate end-purpose of whatever printed item in which it is included.
- 18-2. Demonstrably valuable multicolor printing, for the purpose of these regulations, includes the following categories:
 - (a) Maps and technical diagrams where additional color is necessary for clarity.
 - (b) Object identification (medical specimens, diseases, plants, flags, uniforms, etc.).
 - (c) Safety programs, fire prevention, savings bonds programs, and competitive areas of personnel recruiting.
 - (d) Areas wherein clearly identifiable savings in costs can be soundly predicated on multicolor use.
 - (e) Printing for programs required by law, whose relative success or failure is in direct ratio to the degree of public response, and where that response can be logically attrib-

- utable to the number of colors planned and the manner in which they are proposed to be used.
- (f) Color for promotional or motivational purposes such as programs concerning public health, safety, consumer benefits; or to encourage utilization of Government facilities such as programs for Social Security, Medicare, and certain areas of need for veterans would come within this category.
- 18-3. Multicolor printing which does not meet the demonstrably valuable contribution requirement of these regulations, includes but is not exclusively limited to the following categories:
 - (a) Printed items wherein additional color is used primarily for decorative effect.
 - (b) Printed items where additional color is used primarily in lieu of effective layout and design.
 - (c) Printed items where additional color is used excessively, i.e., four colors when two or three will fulfill the need; three colors when two are adequate; two colors when one is adequate.
 - (d) Printed items wherein the inclusion of multicolor does not reflect careful, competent advanced planning which recognizes the contribution the use of color is expected to make to the ultimate end-purpose.
- 19. Illustrations.—Illustrations are to be used in Government printed matter only when they:
 - (a) Relate entirely to the transaction of public business, and are in the public interest.
 - (b) Relate directly to the subject matter and are necessary to explain the text.
 - (c) Do not serve to aggrandize any individual.
 - (d) Are in good taste and do not offend proper sensibilities.
 - (e) Are restricted to the minimum size necessary to accomplish their purpose.
 - (f) Illustrate employees actually engaged in an act or service related to their official duties.
- 20. Cards: Calling, Greeting.—Printing or engraving of calling or greeting cards is considered to be personal rather than official and shall not be done at Government expense.
- 21. Blank Books.—Blank books not available through General Services Administration but regularly carried in stock by commercial dealers and which require no printing and/or binding operation after receipt of order may be procured without obtaining a waiver from the Government Printing Office: *Provided*, That no

order or orders for each type of blank book exceeds \$500 in any one year.

- 22-1. Calendars, Date: Desk and Wall.—Standardized Government desk and wall calendars are the only calendars which departments are authorized to obtain at Government expense, and shall be ordered from the General Services Administration.
- 22-2. Style, size, and format of the standardized wall calendar, to be procured from the Government Printing Office, shall be subject to approval of the Joint Committee on Printing.

Schedule and appointment sheets are not considered to be calendars.

23. Certificate of Necessity.—Section 1103, title 44, United States Code:

When a department, the Supreme Court, or the Library of Congress requires printing or binding to be done, it shall certify that it is necessary for the public service. . . .

24-1. Correspondence and Liaison With Committee.—All official correspondence for the consideration of the Joint Committee on Printing is to be signed by the head of the department or an official designated by him. The Committee shall be notified in writing by the head of the department of any official so designated.

In order to ensure prompt delivery, all official correspondence should be addressed as follows:

Chairman, Joint Committee on Printing U.S. Senate Post Office Washington, DC 20510-6606

- 24-2. All matters pertaining to printing (composition, platemaking, presswork, binding, and microform), and the distribution of printed matter, shall be referred to the Committee by and through one designated source in each department.
- 25. Form and Style of Work for Departments.—The head of each department shall cause printing and binding for general use to be standardized. With respect to printing which is requisitioned from the Government Printing Office, attention is again directed to section 1105, title 44, United States Code, which provides that—

The Public Printer shall determine the form and style in which the printing or binding ordered by a department is executed, and the material and the size of type used, having proper regard to economy, workmanship, and the purposes for which the work is needed.

The Government Printing Office Style Manual, approved by the Joint Committee on Printing, was specifically compiled and published to meet the requirements of this law. It is the opinion of the committee that deviations therefrom generally constitute a waste in public printing and binding.

- 26-1. Forms, Standard, Approval and Procurement of.—Standard forms are subject to the approval of the General Services Administration, or, in certain cases, the General Accounting Office or other responsible agency.
- 26-2. Stock standard forms shall be obtained from the Federal Supply Service (FSS) unless otherwise authorized by the General Services Administration. Deviations from stock standard forms shall be submitted to the FSS for approval prior to procurement through the Government Printing Office.
- 27-1. Journals, Magazines, Periodicals, and Similar Publications.—The committee invites attention to section 1108, title 44, United States Code, relating to periodicals which reads as follows:

The head of an executive department, independent agency or establishment of the Government, with the approval of the President, may use from the appropriations available for printing and binding such sums as are necessary for the printing of journals, magazines, periodicals, and similar publications he certifies in writing to be necessary in the transaction of the public business required by law of the department, office, or establishment. There may be printed, in addition to those necessary for the public business, not to exceed two thousand copies for free distribution by the issuing department, office, or establishment. The Public Printer, subject to regulation by the Joint Committee on Printing, shall print additional copies required for sale to the public by the Superintendent of Documents; but the printing of these additional copies may not interfere with the prompt execution of printing for the Government.

- 27-2. The term "journals, magazines, periodicals, and similar publications", as used in above section, shall be construed as not applying to strictly administrative reports, memoranda, and similar materials, or to strictly statistical materials, and information required exclusively for the official use of the issuing office or service in the transaction of its routine business. Such information shall be construed as being required exclusively for the use of the issuing office or service in the transaction of its routine business if not more than 2,000 copies, or not more than 10 percent of the total quantity printed, whichever is lesser, are for free distribution to other than the issuing department, office, or establishment, its official established auxiliary organizations, and other individuals and organizations required to be kept informed in the transaction of the routine business of the department, office, or establishment.
- 28. Legal Requirements.—Sections 501 and 502, title 44, United States Code:

SEC. 501. All printing, binding, and blank-book work for Congress, the Executive Office, the Judiciary, other than the Supreme Court of the United States, and every

executive department, independent office and establishment of the Government, shall be done at the Government Printing Office, except—

- (1) classes of work the Joint Committee on Printing considers to be urgent or necessary to have done elsewhere; and
- (2) printing in field printing plants operated by an executive department, independent office or establishment, and the procurement of printing by an executive department, independent office or establishment from allotments for contract field printing, if approved by the Joint Committee on Printing.

Printing or binding may be done at the Government Printing Office only when authorized by law.

SEC. 502. Printing, binding, and blank-book work authorized by law, which the Public Printer is not able or equipped to do at the Government Printing Office, may be produced elsewhere under contracts made by him with the approval of the Joint Committee on Printing.

- 29. Legality and Necessity.—No printing, binding, or blank-book work shall be done at the Government Printing Office or at any other printing or binding office, plant, or school of the Government unless authorized by law. (See secs. 501 and 1123, title 44, U.S.C.) All printed matter issued shall be devoted to the work which the branch or officer of the Government issuing the same is required by law to undertake, and shall not contain matter which is unnecessary in the transaction of the public business or matter relating to work which any other branch of the Government service is authorized to perform. (See secs. 1102, 1113, and 1118, title 44, U.S.C.)
- 30. Central Printing and Publications Management Organization.—Heads of departments shall maintain under their direct supervision a central printing and publications management organization with responsibility for the conduct of a coordinated program controlling the development, production, procurement or distribution of materials through the utilization of conventional printing and binding methods or through the utilization of multiple copy microform methods. The central printing and publications management organization also will maintain responsibility and control of duplicating equipment and automatic copy-processing or copier-duplicating machines, as identified in column 2 of the equipment tables. (Please see Joint Committee on Printing letter of February 15, 1979, on p. 57.)
- 31. Mailing Lists.—All departments shall make necessary revisions in their mailing lists at least once each year in order to eliminate waste in Government funds caused by publications being improperly addressed or mailed to persons no longer desiring them. This method of revision shall require that persons receiving publications indicate that they wish to continue receiving the publication. Failure to reply to a mailing list revision request shall require the elimination of the addressee from the mailing list unless it is necessary in the conduct of official business to continue mailing publications to the addressee. It is the judgment of the Joint Com-

mittee on Printing that the use of titles on mailing lists in lieu of names will reduce the cost of list maintenance.

- 32. Mailing: Self-Mailer Technique.—The penalty or postage indicia and mailing address shall be imprinted directly upon printed materials rather than using separate envelopes for mailing whenever such technique will prove feasible and economical, and in accordance with existing postal regulations.
- 33. Neglect, Delay, Duplication, or Waste.—The attention of the Committee should be promptly called to "any neglect, delay, duplication, or waste in the public printing and binding and the distribution of Government publications."
- 34-1. Paper, Standardization of.—The Committee invites attention to section 509, title 44, United States Code, relating to standards of paper, which reads as follows:

The Joint Committee on Printing shall fix upon standards of paper for the different descriptions of public printing and binding, and the Public Printer, under their direction, shall advertise in six newspapers or trade journals, published in different cities, for sealed proposals to furnish the Government with paper, as specified in the schedule to be furnished applicants by the Public Printer, setting forth in detail the quality and quantities required for the public printing. The Public Printer shall furnish samples of the standard of papers fixed upon to applicants who desire to bid.

- 34-2. The "Government Paper Specification Standards" establishes specification standards of paper for the public printing and binding for the U.S. Government, including paper to be used on copying and duplicating devices as defined in paragraph 2. Unless otherwise authorized by the Joint Committee on Printing, these specifications and standards are mandatory for use by the departments of the Government in the preparation of procurement documents for paper stocks and in specifying paper stocks to be used in printing, binding, or duplicating. It is the opinion of the Committee that types, grades, or weights, other than those given under these standards generally constitute waste in public printing, and it is directed that the procurement or use of such paper types, grades, or weights, for printing, binding, or duplicating be discontinued.
 - 34-3. Semiannual and annual contracts for paper, in general, are not in the best interests of the Government. Such procurement practices should be used only when savings in costs are clearly demonstrable.
 - 34-4. The Public Printer is authorized and directed to furnish at cost to the departments such copies of these standards, and standard samples, as they may require in the transaction of the public business.

- 35-1. Printing Requirements Resulting From Contracts for Equipment and Services.—The Joint Committee on Printing does not intend that contractors shall become prime or substantial sources of printing for departments or agencies. Therefore, the inclusion of printing, as defined in paragraph 1, within contracts for the manufacture and/or operation of equipment and for services such as architectural, engineering, and research, is prohibited unless authorized by the Joint Committee on Printing.
- 35-2. This regulation does not preclude the procurement of writing, editing, preparation of manuscript copy, or preparation of related illustrative material as a part of contracts; or administrative printing, e.g., forms and instructional materials necessary to be used by the contractor to respond to the terms of a contract. Nor does it preclude recording manuscript copy in digital form for type-setting purposes provided coding instructions have been approved by the Central Printing and Publications Management Office. However, the printing of such material for the Government must be accomplished in accordance with printing laws and regulations.
- 35-3. A requirement for a contractor to duplicate less than 5,000 units of only one page, or less than 25,000 units in the aggregate of multiple pages for the use of a department or agency, will not be deemed to be printing primarily or substantially for a department or agency. For the purpose of this paragraph, such pages may not exceed a maximum image size of 10¾ by 14¼ inches.
- 35-4. A requirement for a contractor to produce or procure less than 250 duplicates from original microform, as defined in paragraph 7-2, will not be deemed to be printing primarily or substantially for a department or agency.
- 36-1. Printing Requirements Resulting From Grants.—The Joint Committee on Printing does not intend that grantees shall become prime or substantial sources of printing for the use of departments and agencies. Therefore, the inclusion of printing, as defined in paragraph 1, within grants is prohibited unless authorized by the Joint Committee on Printing.
 - 36-2. This regulation does not preclude—
 - (a) The issuance of grants by any department or agency for the support of nongovernment publications, provided such grants were issued pursuant to an authorization of law and were not made primarily or substantially for the purpose of having material printed for the use of any department or agency.
 - (b) The publication of findings by grantees within the terms of their grants provided that such publication is not pri-

- marily or substantially for the purpose of having such findings printed for the use of a department or agency.
- (c) The initiation by departments and agencies of the procurement of writing, editing, preparation of manuscript copy, or preparation of related illustrative material from grantees; or the administrative printing requirements of the grantee required to respond to the terms of the grant. Nor does it preclude recording manuscript copy in digital form for typesetting purposes provided coding instructions have been approved by the Central Printing and Publications Management Office. However, the printing of such material for the Government must be accomplished in accordance with printing laws and regulations.
- 36-3. Acrequirement for a grantee to duplicate less than 5,000 units of only one page, or less than 25,000 units in the aggregate of multiple pages of his findings for the use of a department or agency, will not be deemed to be printing primarily or substantially for a department or agency. For the purpose of this paragraph, such pages may not exceed a maximum image size of 10¾ by 14¼ inches.
- 36-4. A requirement for a grantee to produce or procure less than 250 duplicates from original microform, as defined in paragraph 7-2, will not be deemed to be printing primarily or substantially for a department or agency.
- 37. Private or Commercial Work.—No work of a private or commercial nature may be accomplished at any Government plant even though the Government is reimbursed therefor. (See secs. 1102 and 1118, title 44, U.S.C.)
- 38. Publications, by Private Publishers.—When a department uses appropriated funds to create information for publication, the printing and binding of that information is subject to the provisions of sections 103 and 501 of title 44, United States Code, and it shall not be made available to a private publisher for initial publication without the prior approval of the Joint Committee on Printing.
- 39-1. Publications, Free Distribution of.—Departments shall not make free distribution of any publication to any private individual or private organization in quantities exceeding 50 copies without prior approval of the Joint Committee on Printing. This quantity limitation shall not apply when the production cost of the publication to be distributed is less than \$100.

- 39-2. Requests for Committee approval shall list the name of the publication, the name of the person or organization desiring the same, and the number of copies desired.
- 39-3. This restriction includes the free distribution in bulk of any material to private individuals or organizations for redistribution to names on their mailing lists. Committee approval is not required when the initiative for distribution through nongovernmental facilities is taken by departments. (See also sec. 3204, title 39, U.S.C.)
- 40. Publications, Identification of.—All documents and publications printed at Government expense shall have printed thereon the name of the branch, bureau, department, or office of the Government responsible for publishing the same, the date of issuance, the title, subtitle, and series title and number when applicable.
- 41-1. Publications, Sale of by Superintendent of Documents.— The Superintendent of Documents will sell only those publications printed by the Government Printing Office or ordered printed through the Government Printing Office or the Government Printing Office Regional Printing Procurement Offices. This restriction on sale shall not affect the continued Catalog listing by the Superintendent of Documents of publications which are not printed by or ordered printed through the Government Printing Office. Nothing in this paragraph shall be construed as modifying or repealing provisions of sections 1702, 1711, and 1720 of title 44, United States Code.

41-2. Section 1902, title 44, United States Code provides:

Government publications, except those determined by their issuing components to be required for official use only or for strictly administrative or operational purposes which have no public interest or educational value and publications classified for reasons of national security, shall be made available to depository libraries through the facilities of the Superintendent of Documents for public information. Each component of the Government shall furnish the Superintendent of Documents a list of such publications it issued during the previous month, that were obtained from sources other than the Government Printing Office.

To meet the requirement of Monthly Catalog listing of Government publications by the Superintendent of Documents, each agency printing officer shall forward two copies of those types of Government publications cited in section 1902, title 44, United States Code, which are produced or procured through other than GPO sources to the Director, Library Programs Service (SL), Government Printing Office, Washington, DC 20401.

42. Responsibility for Application and Enforcement of Regulations.—The head of each department is responsible for the application and enforcement of these regulations and other applicable reg-

ulations and legislative provisions. (Please see Joint Committee on Printing letter of February 15, 1979, on p. 57.)

- 43-1. Stationery, Embossed.—The Public Printer may furnish, upon requisition, to the President of the United States, members of his Cabinet, and the Department of State (for diplomatic correspondence exclusively), such quantities of embossed stationery as may be necessary for official use. The Comptroller General, the Librarian of Congress, the Public Printer, the head of each permanent commission, independent establishment or board, and the judges of the U.S. Courts may requisition embossed noteheads or letterheads not to exceed 5,000 copies in the aggregate, and envelopes therefor in any one fiscal year: Provided, That greater quantities may be furnished upon the approval of the Joint Committee on Printing. The Public Printer shall not honor requests from any Government activity for embossing second sheets or other than standard-size envelopes. No embossed stationery other than listed above shall be produced or procured at Government expense unless authorized by the Joint Committee on Printing.
 - 43-2. The provisions apply also to thermographic printing.
- 44. Stationery, Personalized.—The printing of names of officers or officials of the executive or judicial branches of the Government on official stationery and preprinted mastheads may be accomplished only after approval by the Joint Committee on Printing. Addresses and telephone numbers should be omitted in order to allow greater distribution and prevent the creation of "frozen" stocks frequently caused by changes. The Committee directs that no personalized second sheets shall be produced or procured at Government expense.
- 45. Stationery: Sizes, Quality, Weight, Printing Thereon, etc.— The Committee directs attention to the Federal Property Management Regulation which prescribes standards (sizes, grades and weights of paper, and colors of ink and paper) to be used for printing stationery and envelopes for official Government correspondence.
- 46-1. Waiver, Purchase of Printing by.—Section 504, title 44, United States Code provides:

The Joint Committee on Printing may permit the Public Printer to authorize an executive department, independent office, or establishment of the Government to purchase direct for its use such printing, binding, and blank-book work, otherwise authorized by law, as the Government Printing Office is not able or suitably equipped to execute or as may be more economically or in the better interest of the Government executed elsewhere.

- 46-2. Pursuant to the above, the Public Printer is permitted to return to any department of the Government any written requisition for printing, binding, and blank-book work, otherwise authorized by law, as in his opinion he is neither able nor suitably equipped to execute or which may be more economically, or in the better interest of the Government, procured elsewhere than at the Government Printing Office or one of the Government Printing Office Regional Printing Procurement Offices. In all such instances he is hereby permitted to authorize such Government activity to procure the work direct from other sources. Such waiver, when granted, shall not be construed as an exemption from the provisions of these regulations. Upon all such returned requisitions the Public Printer shall endorse a statement that the action taken is in accordance with the provisions of section 504, title 44, United States Code.
- 46-3. All procurement by waiver must be identified by number and date of issuance and reported on JCP Form No. 2, "Commercial Printing Report."
- 46-4. No department requisitioning printing from the Government Printing Office or one of the Government Printing Office Regional Printing Procurement Offices shall anticipate the release of any requisition for direct procurement, until so advised by the Public Printer.
- 47. Printing Facilities of Federal Prison Industries, Inc.—These facilities may be used for the production of unclassified printing. Printing services are available at the following seven locations ² and may be used by sending a purchase order direct to any one of them:
 - c/o Warden; Federal Correctional Institution Lompoc, CA 93436
 - c/o Warden; Federal Correctional Institution Leavenworth, KS 66048
 - c/o Warden; Federal Correctional Institution Lexington, KY 40511-8799
 - c/o Warden; Federal Correctional Institution Sandstone, MN 55072
 - c/o Warden; Federal Correctional Institution Ray Brook, NY 12977
 - c/o Warden; Federal Correctional Institution Fort Worth, TX 76119-5996

² Since the printing of the 1977 regulations, one plant was deauthorized, and six were added to the Federal Prisons Industries, Inc. There is a printing plant in Ashland, KY, but it is for training only.

c/o Warden; Federal Correctional Institution Petersburg, VA 23804-1000

Where the form of purchase order contains the Convict Labor clause that clause should be deleted.

TITLE IV: JOINT COMMITTEE ON PRINTING REPORT FORMS

(Forms for reporting information required in this title may be obtained from the Joint Committee on Printing or reprinted by departments desiring to do so.)

- 48. JCP Form No. 1 (Printing Plants Except Plants Primarily Concerned in Map and/or Chart Reproduction).—A semiannual report ³ summarizing all work done shall be made on Parts II and III, JCP Form No. 1, revised March 1971, by each printing plant other than plants primarily concerned in map and/or chart production. This form shall be forwarded to the committee in duplicate by department headquarters not later than 60 days after the close of the first 6-month period (October-March). The second 6-month report (April-September) shall include Part I: "Cost Information", to cover the entire year and shall be forwarded to the committee not later than November 30. Production information reported at the close of the first 6-month period shall not be repeated in the final report except that cumulative production units total shall be furnished in the spaces provided.
- 49-1. JCP Form No. 2 (Commercial Printing Report).—A semiannual report covering all transactions concerning composition, printing, binding, and blank-book work which are procured direct from commercial sources shall be reported on JCP Form No. 2, revised March 1971, and forwarded to the committee by department headquarters not later than 60 days after the close of the reporting periods (October-March and April-September). Negative reports are not required.
- 49-2. Individual printing and related items costing \$500 or less per line item may be procured without reference to the Government Printing Office Regional Printing Procurement Offices, provided that:
 - (a) They are not of a continuing repetitive nature, and
 - (b) are not conducive to the establishment of an open-end indefinite quantity type contract, and

³ The reporting dates were amended by Joint Committee on Printing letter of March 13, 1986. Please see pp. 58-62 for agency reporting procedures.

(c) cannot be ordered against existing GPO contracts.

These orders must be reported and identified on JCP Form No. 2 through use of an asterisk in the waiver column and an appropriate footnote.

- 49-3. Do not report work procured through any Government Printing Office source, printed envelopes ordered through GSA contracts, rebinding publications of any description, or tabulating cards procured from any source. In addition, do not include orders procured outside the (50) United States provided the areas of origin and distribution of such orders are restricted to the non-U.S. area.
- 50. JCP Form No. 3 (Acquisition of Power-operated Collators for Use in Facilities Other Than Printing Plants).—A report is required on JCP Form No. 3, March 1963, covering the acquisition of each power-operated collating machine listed in column 2 of paragraph 8 for any installation other than an authorized printing plant. This report shall be forwarded to the Joint Committee on Printing by department headquarters within 30 days after acquisition.
- 51. JCP Form No. 4 (Plants Primarily Concerned in Map and/or Chart Reproduction).—A summarized report is required on JCP Form No. 4, revised May 1965, from each plant primarily concerned in map and/or chart reproduction, which shall include all work done therein during each fiscal year. Impressions on various size presses shall be reported in lieu of production units as defined in paragraph 7. For instance, 1,000 copies, face only, would represent 1,000 impressions; 1,000 copies, face and back, would represent 2,000 impressions, regardless of size of sheet. This form shall be forwarded to the committee by department headquarters not later than 60 days after the close of each fiscal year.
- 52. JCP Form No. 5 (Annual Plant Inventories).—Inventories of equipment of all printing plants shall be submitted on JCP Form No. 5, revised May 1965, so that all printing, binding, and related or auxiliary equipment will be listed, showing make, condition, age, serial number, model, and size. This report shall be forwarded to the committee by department headquarters not later than 60 days after the close of each fiscal year.
- 53. JCP Form No. 6 (Stored Equipment).—Departments shall report on JCP Form No. 6, revised May 1965, all printing, binding, and related or auxiliary equipment in storage in depots, warehouses, etc. This report shall be forwarded to the committee by department headquarters not later than 60 days after the close of each fiscal year. Negative reports are not required.

54. JCP Form No. 7 (Excess Equipment).—Departments shall report on JCP Form No. 7, revised December 1972, disposition of all items of equipment as required by paragraph 10(a). Use tables on pages 28 and 29 to establish market value.

55. Summary of Reports.—Following is a summary of reports required by the Joint Committee on Printing from department headquarters:

JCP Form No.	Report	Due
1	Production (parts II and III) Production and Costs (parts I, II, and III)	See par. 48.
2	Commercial printing	See par. 49.
3	Power collator acquired for facili- ties other than printing plants	Within 30 days after acquisition.
4	Production (map and chart)	Not later than November 30.
5	Plant inventory	Not later than November 30.
6	Stored equipment	Not later than November 30.
7	Excess equipment	Within 30 days after disposal.

TABLE I—NORMAL LIFE OF PRINTING EQUIPMENT

	Years		Years
Addressing and mailing machines	10	Perforators	20
Back-stripping machines	15	Photostat machines:	
Blueprint machines	10	Standard	
Book carton inserter and sealer	10	Continuous	
Cameras, copying darkroom	20	Photocomposing machines	
Casting machines, lead and rule	20	Photocomposition devices	
Collating cabinets (nonmechanical)	20	Plate developing machines	. 10
Collating machines:		Presses, offset:	
Power-operated	10	Sheet sizes 10" x 15" to less	10
Manually operated (foot-treadle or		than 14" x 20"	. 10
electric pushout)	5	Sheet sizes 14" x 20" to less	15
		than 22" x 34"	
Collating tables, rotary		Sheet sizes 22" x 34" and larger	20
Counting machine (card and paper)		Presses, letterpress:	. 10
Cutters and book trimmers		Rotary (multigraph)	
Drilling machines, power-operated	15	Platen	
Duplicating machines:		Cylinder	
Stencil	10	Presses, proof	
Spirit or gelatin		Presses, silk screen, power-operated	
Electric typewriters, tape activated		Press dampener cleaner	
Feeder-stitcher, automatic, signature	15	Processor, film	_
Folders:		Saw trimmers	
Up to and including 14" x 24"	10		. 20
Larger sizes	20	Screens, halftone:	. 20
Gathering machines, power-operated	20	Glass	_
Insetting machine, signature	. 15	Contact	
Intensity meters	10	Sinks, temperature-control	
Imposing tables		Stitchers, power-operated Tables:	. 10
Joggers, power-operated		Lineup and register	. 15
Lamps, camera or plate		Layout and opaquing	
Lead and slug cutters, miterers and		Plate finishing	
monotabular broach	20	Tying machines	
Melting furnaces		Typecasting and typesetting	
Offset platemaking units not requir-		machines	20
ing the use of negatives	. 10	Trucks, transfer, galley, chase form	
Offset platemaking units requiring the		Vacuum frames	
use of negatives		Wrapping and/or banding machines	
Packaging and sealing machine,	. 10	(kraft paper or shrink film)	
plastic wrap	. 10	White print	
PICOUL WIGH			

TABLE II—CONDITION AND EVALUATION GUIDE FOR PRINTING EQUIPMENT

(Figures show value remaining as percent of original cost)

		12 13 14 15 16 17 18 19 20		10 10<	10 10<	10 10<	10 10<	10 10<				
	years	=		10 10 30 42	22%8	10 22 31	10 10 18 28	10 17 21				
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		œ		10 26 45 54	10 23 51 51	52224	34 34 34	22210				
		7		52.00	0.00	10 36 45	3885	2588				
		9		0243	10 37 45 59	10 40 40 40	5226	32730				
		5		0200	63	10 38 53	010288	35220				
		4		27 58 66 74	527	24425	03882	16 32 38 38				
			8	90110	496.28	39 63 72	55 23		ļ			
								2	5555	61 75 81 87	717	57
		1	9999	8888	522	5 60 10 65 15 70 20 75	100100	2000				
В	Normal	life in years*	5 10 15 20	101 15	10 10 15 20	3222		2-8				
A	A Candition group		New—Unused, undamaged, and possesses original appearance and serviceability.	Excellent—Used, no apparent wear and free from operational defects.	Good-Used, requires only organizational maintenance.	Fair—Needs adjustments, repairs and replacement of minor parts to compensate for normal wear.	Poor-Needs major repair	Unsatisfactory—Unserviceable and not economically repairable.				

*One shift basis.