

DEPARTMENT OF THE INTERIOR
Freedom of Information Act 2016 Annual Report
(October 1, 2015 – September 30, 2016)

I. BASIC INFORMATION REGARDING REPORT

1. Questions about this Report should be directed to:

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Office of the Executive Secretariat and Regulatory Affairs (OS/OES)
Department of the Interior (DOI)
1849 C. ST., NW
Washington, D.C. 20240
202-208-5342 (p)

2. The electronic address for this Report on DOI's FOIA website is: <https://www.doi.gov/foia/DOI-FOIA-Annual-Reports>

3. A copy of this Report in paper form may be obtained by contacting the Departmental FOIA Officer (see above).

II. MAKING A FOIA REQUEST

1. FOIA requests should be submitted to the FOIA contact at the bureau/office where the records are maintained (see DOI's FOIA contacts page at <https://www.doi.gov/foia/contacts>, which provides the names, addresses, and telephone numbers of all individual agency components that receive FOIA requests). A list of DOI's bureaus/offices is located in the Acronyms section below.

2. DOI protects and manages the Nation's natural resources and cultural heritage; provides scientific and other information about those resources; and honors its trust responsibilities or special commitments to American Indians, Alaska Natives, and affiliated island communities. When DOI withholds records requested under the FOIA, it most frequently does so under Exemption 5 (which safeguards inter-agency or intra-agency records which would normally be privileged in the civil discovery context), Exemption 6 (which safeguards personal privacy interests), and Exemption 7(C) (which safeguards personal information in law enforcement records). However, DOI's diverse mission and records ensures a wide variety of FOIA exemptions may sometimes be appropriately used.

3. The electronic address for DOI's FOIA regulations is: <http://www.ecfr.gov/cgi-bin/text-idx?c=ecfr&sid=0fc3ab3499768eebc2e3691c8cf88dec&rgn=div5&view=text&node=43:1.1.1.1.2&idno=43>. The electronic address for DOI's fee schedule is: <https://www.doi.gov/foia/fees-waivers>.

III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

1. Acronyms used in this Report:

Component Abbreviation	Component Name
BIA	Bureau Of Indian Affairs
BLM	Bureau of Land Management
BOEM	Bureau of Ocean Energy Management
BOR	Bureau of Reclamation
BSEE	Bureau of Safety and Environmental Enforcement
FWS	U.S. Fish and Wildlife Service
NPS	National Park Service
OIG	Office of Inspector General
OS	Office of the Secretary
OSM	Office of Surface Mining Reclamation and Enforcement
SOL	Office of the Solicitor
USGS	U.S. Geological Survey
FOIA/PA Appeals	FOIA/Privacy Act Appeals Office

2. Definitions of terms used in this Report:

a. **Administrative Appeal**—a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.

b. **Average Number**—the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.

c. **Backlog**—the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.

d. **Component**—for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.

e. **Consultation**—the procedure whereby the agency responding to a FOIA request first forwards a record to another agency or component within the same agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency or component within the same agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.

f. **Exemption 3 Statute**—a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.

g. **FOIA Request**—a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report. Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

h. **Full Grant**—an agency decision to disclose all records in full in response to a FOIA request.

i. **Full Denial**—an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.

j. **Median Number**—the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.

k. **Multi-Track Processing**—a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.

i. **Expedited Processing**—an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.

ii. **Simple Request**—a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.

iii. **Complex Request**—a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.

l. **Partial Grant/Partial Denial**—in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.

m. **Pending Request or Pending Administrative Appeal**—a request or administrative appeal for which an agency has not taken final action in all respects.

n. **Perfected Request**—a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.

o. **Processed Request or Processed Administrative Appeal**—a request or administrative appeal for which an agency has taken final action in all respects.

p. **Range in Number of Days**—the lowest and highest number of days to process requests or administrative appeals.

q. **Time Limits**—the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).

3. Descriptions of the nine FOIA exemptions:

a. **Exemption 1**: classified national defense and foreign relations information

b. **Exemption 2**: information that is related solely to the internal personnel rules and practices of an agency

c. **Exemption 3**: information that is prohibited from disclosure by another federal law

d. **Exemption 4**: trade secrets and other confidential business information

e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges

f. **Exemption 6:** information involving matters of personal privacy

g. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual

h. **Exemption 8:** information relating to the supervision of financial institutions

i. **Exemption 9:** geological information on wells

3. Agency Component Abbreviations

Component Abbreviation	Component Name
BIA	Bureau Of Indian Affairs
BLM	Bureau of Land Management
BOEM	Bureau of Ocean Energy Management
BOR	Bureau of Reclamation
BSEE	Bureau of Safety and Environmental Enforcement
FWS	U.S. Fish and Wildlife Service
NPS	National Park Service
OIG	Office of Inspector General
OS	Office of the Secretary
OSM	Office of Surface Mining Reclamation and Enforcement
SOL	Office of the Solicitor
USGS	U.S. Geological Survey
FOIA/PA Appeals	FOIA/Privacy Act Appeals Office

IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
16 U.S.C. § 470hh (Archaeological Resources Protection Act of 1979)	Information pertaining to the nature and location of certain archaeological resources	Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	BIA	6	27
			BLM	14	
			FWS	1	
			NPS	6	
54 U.S.C. § 307103 (National Historic Preservation Act Amendments)	Information about the location, character or ownership of a historic resource	Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 32-33 (D.D.C. 2003) (dictum), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004)	BIA	1	5
			BLM	3	
			NPS	1	
16 U.S.C. § 4304(a) (Federal Cave Protection Act of 1988)	Specific location of significant caves.	N/A	BIA	1	3
			FWS	1	
			NPS	1	
Fed. R. Crim. P. 6(e), enacted by Act of July 30, 1977, Pub. L. No. 95-78, 91 Stat. 319	Certain records pertaining to grand jury proceedings.	Sussman v. USMS, 494 F.3d 1106, 1113 (D.C. Cir. 2007); Fund for Constitutional Gov't v. Nat'l Archives & Records Serv., 656 F.2d 856, 867-68 (D.C. Cir. 1981);	OIG	1	1

		Durham v. U.S. Atty. Gen., No. 06-843, 2008 WL 620744, at *2 (E.D. Tex. Mar. 3, 2008); Cozen O'Connor v. U.S. Dep't of Treasury, 570 F. Supp. 2d 749, 776 (E.D. Pa. 2008).			
54 U.S.C. § 100707 (National Parks Omnibus Management Act)	Information pertaining to: "endangered, threatened, rare, or commercially valuable" National Park System resources	Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004); Sw. Ctr. for Biological Diversity v. USDA, 170 F. Supp. 2d 931, 945 (D. Ariz. 2000), aff'd, 314 F.3d 1060, 1062 (9th Cir. 2002); Pease v. U.S. Dep't of Interior, No. 1:99CV113, slip op. at 2, 4 (D. Vt. Sept. 17, 1999).	NPS	6	8
	"mineral or paleontological objects within units of the National Park System"		USGS	2	
	or "objects of cultural patrimony within units of the National Park System."				
41 U.S.C. § 2102 (amending 41 U.S.C. 423(a)(1)) (Procurement Integrity Act)	Contractor bid or proposal information	Legal & Safety Employer Research, Inc. v. U.S. Dep't of the Army, No. Civ. S001748, 2001 WL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum).	BLM	2	3
	source selection information.		NPS	1	
25 U.S.C. § 2103(c) (Indian Mineral Development Act of 1982)	Information related to decisions to grant/disapprove minerals agreements for Indian tribes.	Jicarilla Apache v. U.S., 60 Fed. Cl. 611, 613-14 (2004) (dictum) (overturned on other grounds by U.S. v. Jicarilla Apache Nation, 131 S. Ct. 2313 (2011)).	BLM	1	3

			OS	2	
16 U.S.C. § 470aaa-8 (Paleontological Resources Preservation Act)	Information concerning the nature and specific location of a paleontological resource.	N/A	BLM	2	3
			NPS	1	
41 U.S.C. § 253b(m)(1) (currently at 41 U.S.C. § 4702) (contractor proposals)	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts.	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	BIA	1	17
			BLM	2	
			BOR	1	
			FWS	4	
			NPS	8	
			OS	1	

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
BIA	196	1,892	1,877	211
BLM	181	852	837	196
BOEM	25	127	135	17
BOR	19	232	234	17
BSEE	42	172	182	32
FWS	252	1,132	1,175	209
NPS	148	918	875	191
OIG	20	159	153	26
OS	90	512	540	62
OSM	18	83	74	27
SOL	37	137	155	19
USGS	29	212	200	41
AGENCY OVERALL	1,057	6,428	6,437	1,048

Some bureaus made entries in FY 16 that resulted in minor adjustments to the number of requests they had pending at the start of the fiscal year. Additionally, under DOI's existing Electronic FOIA Tracking System validation processes, the total number of perfected requests may vary from the total number of processed requests (due to this, there are fewer perfected requests being captured in the section VII.C charts than are being captured in this chart). DOI continues to review its processes and will make any necessary adjustments in FY 17.

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
BIA	710	569	70	238	7	83	55	30	41	1	20	53	1,877
BLM	282	281	15	78	4	60	23	14	9	1	20	50	837
BOEM	52	14	1	33	1	8	1	7	1	2	2	13	135
BOR	112	53	4	23	5	12	9	3	1	0	2	10	234
BSEE	70	30	0	60	2	6	0	5	1	1	1	6	182
FWS	501	331	63	118	6	60	11	16	14	10	12	33	1,175
NPS	290	294	41	89	15	50	28	22	9	1	14	22	875
OIG	25	71	4	44	4	0	0	1	0	0	0	4	153
OS	207	72	4	87	6	41	11	1	8	12	2	89	540
OSM	19	4	0	13	1	34	0	0	1	0	1	1	74
SOL	49	20	4	62	3	3	0	2	0	0	3	9	155
USGS	75	61	0	38	2	12	2	4	0	0	1	5	200
AGENCY OVERALL	2,392	1,800	206	883	56	369	140	105	85	28	78	295	6,437

**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS
BASED ON REASONS OTHER THAN EXEMPTIONS"**

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
BIA	Request Opened in Error	33	53
	Publicly Available Information	2	
	Directed Requester to Another Entity Subject to the FOIA	10	
	Unable to Locate Requester	8	
BLM	Request Opened in Error	19	50
	Publicly Available Information	9	
	Directed Requester to Another Entity Subject to the FOIA	3	
	Unable to Locate Requester	19	
BOEM	Request Opened in Error	9	13
	Publicly Available Information	4	
BOR	Request Opened in Error	4	10
	Publicly Available Information	1	
	Directed Requester to Another Entity Subject to the FOIA	4	
	Unable to Locate Requester	1	
BSEE	Request Opened in Error	3	6
	Directed Requester to Another Entity Subject to the FOIA	3	
FWS	Request Opened in Error	11	33
	Publicly Available Information	8	
	Directed Requester to Another Entity Subject to the FOIA	3	
	Unable to Locate Requester	11	
NPS	Request Opened in Error	4	22
	Publicly Available Information	3	
	Directed Requester to Another Entity Subject to the FOIA	9	
	Unable to Locate Requester	6	
OIG	Request Opened in Error	1	4
	Publicly Available Information	2	
	Unable to Locate Requester	1	
OS	Request Opened in Error	6	89
	Publicly Available Information	35	
	Directed Requester to Another Entity Subject to the FOIA	47	
	Unable to Locate Requester	1	

OSM	Directed Requester to Another Entity Subject to the FOIA	1	1
SOL	Request Opened in Error	1	9
	Publicly Available Information	2	
	Directed Requester to Another Entity Subject to the FOIA	4	
	Unable to Locate Requester	2	
USGS	Request Opened in Error	1	5
	Directed Requester to Another Entity Subject to the FOIA	4	
AGENCY OVERALL			295

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
BIA	0	0	9	61	64	486	54	0	167	3	3	1	0	1
BLM	0	4	18	34	96	202	26	0	33	1	12	12	0	6
BOEM	0	0	0	7	7	10	0	0	0	0	0	0	0	0
BOR	0	5	1	13	30	20	1	0	2	0	0	4	0	0
BSEE	0	0	0	9	8	22	0	0	1	0	1	0	0	3
FWS	0	4	6	70	116	268	40	0	101	6	19	8	0	0
NPS	0	19	20	19	53	209	24	1	96	2	14	10	0	0
OIG	0	0	2	3	5	69	5	0	63	0	2	2	0	0
OS	0	0	3	21	35	51	4	0	19	0	4	0	0	0
OSM	0	0	0	0	2	2	0	0	0	0	0	0	0	0
SOL	0	0	0	1	21	6	0	0	0	0	0	0	0	0
USGS	0	0	2	0	19	51	1	0	6	0	0	1	0	0
AGENCY OVERALL	0	32	61	238	456	1,396	155	1	488	12	55	38	0	10

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
FOIA/PA Appeals	240	182	90	332
OIG	0	6	1	5
AGENCY OVERALL	240	188	91	337

FOIA/PA Appeals noted a minor adjustment to the number of appeals it had pending at the start of the fiscal year.

Due to a regulatory change, OIG began processing appeals arising from its own FOIA responses partway through the fiscal year, including new appeals and existing appeals referred to OIG by FOIA/PA Appeals.

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
FOIA/PA Appeals	5	3	45	37	90
OIG	1	0	0	0	1
AGENCY OVERALL	6	3	45	37	91

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
FOIA/PA Appeals	0	0	0	1	0	4	0	0	3	0	0	1	0	0
OIG	0	0	0	0	0	1	0	0	1	1	0	0	0	0
AGENCY OVERALL	0	0	0	1	0	5	0	0	4	1	0	1	0	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
FOIA/PA Appeals	0	0	0	0	0	0	0	1	21	0	15
OIG	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	1	21	0	15

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FOIA/PA Appeals	Adequate search conducted	3	15
	Appeal withdrawn	2	
	No bureau response to adjudicate	1	
	Improper appeal	1	
	Moot	2	
	Referral by FOIA/PA Appeals to OIG due to regulatory change	6	
OIG	N/A	0	0
AGENCY OVERALL			15

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FOIA/PA Appeals	109.00	229.00	11.00	1,709.00
OIG	380.50	280.50	113.00	648.00
AGENCY OVERALL	109.00	229.00	11.00	1,709.00

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
FOIA/PA Appeals	Date of Appeal	2011-09-12	2011-08-18	2011-08-05	2011-05-26	2011-03-31	2011-02-28	2011-01-19	2011-01-14	2010-11-26	2010-10-05
	Number of Days Pending	1271	1287	1296	1345	1385	1408	1435	1437	1470	1505
OIG	Date of Appeal	N/A	N/A	N/A	N/A	N/A	2014-04-14	2013-12-13	2013-10-22	2013-05-05	2012-12-27
	Number of Days Pending	0	0	0	0	0	664	746	782	892	998
AGENCY OVERALL	Date of Appeal	2011-09-12	2011-08-18	2011-08-05	2011-05-26	2011-03-31	2011-02-28	2011-01-19	2011-01-14	2010-11-26	2010-10-05
	Number of Days Pending	1271	1287	1296	1345	1385	1408	1435	1437	1470	1505

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BIA	3	6	<1	192	15	24	<1	1061	18	18	11	28
BLM	7	9	4	19	25	56	<1	1117	78	78	13	143
BOEM	6	6	1	10	8	80	<1	1208	92	92	92	92
BOR	2	3	<1	16	16	27	<1	269	28	28	28	28
BSEE	3	4	1	9	17	42	3	313	N/A	N/A	N/A	N/A
FWS	2	5	<1	47	18	48	<1	680	15	20	<1	45
NPS	12	37	<1	506	18	40	<1	486	10	10	2	18
OIG	5	6	1	18	19	55	<1	507	19	19	19	19
OS	2	2	<1	4	10	56	<1	1162	26	26	1	51
OSM	N/A	N/A	N/A	N/A	33	63	<1	294	N/A	N/A	N/A	N/A
SOL	92	98	5	265	18	62	<1	818	N/A	N/A	N/A	N/A
USGS	1	1	<1	1	34	63	<1	651	145	145	145	145
AGENCY OVERALL	3	12	<1	506	17	42	<1	1208	15	29	<1	145

Under DOI's existing Electronic FOIA Tracking System validation processes, the total number of perfected requests captured in the charts for Section VII varies from the total number of processed perfected requests captured in Section V.A. DOI continues to review its processes and will make any necessary adjustments for the FY 2017 report

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BIA	3	4	<1	16	15	23	<1	1061	18	18	11	28
BLM	7	9	4	19	28	61	<1	1117	78	78	13	143
BOEM	5	5	1	10	14	128	<1	1208	92	92	92	92
BOR	3	3	<1	16	16	29	<1	269	28	28	28	28
BSEE	2	2	1	3	24	55	3	313	N/A	N/A	N/A	N/A
FWS	2	5	<1	47	20	53	<1	680	15	15	<1	45
NPS	12	38	<1	506	19	41	<1	486	10	10	2	18
OIG	5	6	1	18	27	73	1	507	19	19	19	19
OS	3	2	<1	4	15	70	<1	1162	51	51	51	51
OSM	N/A	N/A	N/A	N/A	49	57	<1	157	N/A	N/A	N/A	N/A
SOL	92	98	5	265	34	68	<1	818	N/A	N/A	N/A	N/A
USGS	1	1	<1	1	46	76	<1	651	145	145	145	145
AGENCY OVERALL	3	12	<1	506	19	46	<1	1208	15	30	<1	145

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BIA	88	1	0	0	0	0	0	0	0	1	0	0	0	90
BLM	6	0	0	0	0	0	0	0	0	0	0	0	0	6
BOEM	29	0	0	0	0	0	0	0	0	0	0	0	0	29
BOR	27	0	0	0	0	0	0	0	0	0	0	0	0	27
BSEE	7	0	0	0	0	0	0	0	0	0	0	0	0	7
FWS	79	1	2	0	0	0	0	0	0	0	0	0	0	82
NPS	41	7	0	0	2	0	2	0	1	0	2	0	1	56
OIG	39	0	0	0	0	0	0	0	0	0	0	0	0	39
OS	7	0	0	0	0	0	0	0	0	0	0	0	0	7
OSM	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SOL	1	1	0	0	1	1	0	0	0	0	1	0	0	5
USGS	3	0	0	0	0	0	0	0	0	0	0	0	0	3
AGENCY OVERALL	327	10	2	0	3	1	2	0	1	1	3	0	1	351

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BIA	1,042	278	64	28	28	14	7	9	5	3	8	2	4	1,492
BLM	272	149	73	32	26	27	16	8	6	10	14	7	8	648
BOEM	52	3	1	0	0	1	1	1	1	0	3	3	4	70
BOR	110	21	14	4	7	6	0	0	0	1	1	0	0	164
BSEE	87	24	9	7	7	10	1	0	1	1	5	1	0	153
FWS	516	162	75	35	22	20	16	12	11	6	18	13	15	921
NPS	373	132	47	22	14	13	9	3	7	5	14	7	6	652
OIG	56	17	8	6	3	2	3	0	0	2	2	2	3	104
OS	231	37	22	19	9	7	8	3	2	3	4	0	16	361
OSM	11	9	5	2	1	1	2	2	0	0	3	0	0	36
SOL	73	19	8	7	6	0	1	2	1	1	5	3	4	130
USGS	75	22	22	21	6	5	2	1	0	1	9	2	4	170
AGENCY OVERALL	2,898	873	348	183	129	106	66	41	34	33	86	40	64	4,901

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BIA	3	2	0	0	0	0	0	0	0	0	0	0	0	5
BLM	1	0	0	0	0	0	0	1	0	0	0	0	0	2
BOEM	0	0	0	0	1	0	0	0	0	0	0	0	0	1
BOR	0	1	0	0	0	0	0	0	0	0	0	0	0	1
BSEE	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FWS	7	1	2	0	0	0	0	0	0	0	0	0	0	10
NPS	6	0	0	0	0	0	0	0	0	0	0	0	0	6
OIG	1	0	0	0	0	0	0	0	0	0	0	0	0	1
OS	1	0	1	0	0	0	0	0	0	0	0	0	0	2
OSM	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SOL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
USGS	0	0	0	0	0	0	0	1	0	0	0	0	0	1
AGENCY OVERALL	19	4	3	0	1	0	0	2	0	0	0	0	0	29

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
BIA	0	N/A	N/A	183	29	79	6	5	82
BLM	0	N/A	N/A	178	85	160	2	173	173
BOEM	0	N/A	N/A	17	290	315	0	N/A	N/A
BOR	1	10	10	14	25	70	0	N/A	N/A
BSEE	0	N/A	N/A	31	145	185	0	N/A	N/A
FWS	2	107	107	188	115	159	1	33	33
NPS	1	27	27	166	54	104	4	49	90
OIG	0	N/A	N/A	25	62	95	0	N/A	N/A
OS	0	N/A	N/A	54	50	104	0	N/A	N/A
OSM	0	N/A	N/A	26	126	157	0	N/A	N/A
SOL	1	325	325	18	79	205	0	N/A	N/A
USGS	0	N/A	N/A	36	59	91	0	N/A	N/A
AGENCY OVERALL	5	27	115	936	65	130	13	33	95

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
BIA	Date of Receipt	2015-08-20	2015-06-19	2015-06-04	2015-06-01	2015-05-26	2015-04-24	2015-03-05	2014-10-10	2013-11-07	2013-02-26
	Number of Days Pending	280	323	334	337	341	362	398	494	726	904
BLM	Date of Receipt	2014-06-12	2014-06-03	2014-05-28	2014-05-05	2014-05-02	2014-02-25	2014-02-03	2013-11-19	2013-09-12	2013-04-05
	Number of Days Pending	578	585	589	605	606	654	669	719	765	876
BOEM	Date of Receipt	2015-11-10	2015-08-06	2015-06-29	2015-04-23	2015-03-16	2015-02-18	2014-10-15	2014-10-09	2014-08-20	2012-12-17
	Number of Days Pending	224	290	317	363	391	409	492	495	530	950
BOR	Date of Receipt	2016-09-16	2016-09-16	2016-09-16	2016-08-05	2016-07-11	2016-06-28	2016-06-27	2016-06-24	2015-12-03	2015-01-27
	Number of Days Pending	10	10	10	39	58	66	67	68	209	424
BSEE	Date of Receipt	2015-10-15	2015-09-08	2015-09-02	2015-08-11	2015-07-28	2015-06-22	2015-03-20	2015-01-12	2014-10-10	2011-12-16
	Number of Days Pending	242	268	271	287	297	322	387	434	494	1201
FWS	Date of Receipt	2014-09-22	2014-08-13	2014-08-05	2014-07-15	2014-07-13	2014-05-22	2014-02-28	2013-09-13	2013-08-02	2013-07-16
	Number of Days Pending	508	535	541	556	557	592	651	764	793	806
NPS	Date of Receipt	2015-05-18	2015-05-11	2015-03-31	2015-03-23	2015-02-20	2014-12-09	2014-10-23	2014-08-21	2014-08-07	2014-06-26
	Number of Days Pending	346	351	380	386	407	455	486	529	539	568
OIG	Date of Receipt	2016-04-25	2016-04-20	2016-04-20	2016-04-20	2016-04-18	2016-01-06	2016-01-06	2015-11-03	2015-07-15	2014-12-04
	Number of Days Pending	111	114	114	114	116	187	187	229	306	458
OS	Date of Receipt	2015-12-14	2015-10-27	2015-09-30	2015-09-18	2015-09-08	2015-08-25	2015-06-08	2015-04-22	2015-01-30	2013-07-17

	Number of Days Pending	202	234	252	260	268	277	332	364	421	805
OSM	Date of Receipt	2016-02-16	2016-01-04	2015-10-15	2015-10-09	2015-10-02	2015-07-01	2015-05-20	2015-04-16	2015-03-27	2014-08-25
	Number of Days Pending	160	189	242	245	250	315	344	368	382	527
SOL	Date of Receipt	2016-05-20	2016-05-09	2016-02-18	2015-06-17	2015-06-17	2015-05-16	2014-10-21	2014-08-01	2014-04-11	2013-12-18
	Number of Days Pending	92	101	158	325	325	346	488	543	621	699
USGS	Date of Receipt	2016-04-13	2016-03-21	2016-03-14	2016-01-27	2016-01-19	2015-12-01	2015-11-18	2015-11-13	2015-04-23	2014-07-14
	Number of Days Pending	119	136	141	173	179	211	219	222	363	557
AGENCY OVERALL	Date of Receipt	2013-11-07	2013-09-13	2013-09-12	2013-08-02	2013-07-17	2013-07-16	2013-04-05	2013-02-26	2012-12-17	2011-12-16
	Number of Days Pending	726	764	765	793	805	806	876	904	950	1201

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
BIA	5	13	1	4	16
BLM	2	8	3	5	9
BOEM	1	4	3	3	5
BOR	1	9	3	39	9
BSEE	0	4	9	12	2
FWS	10	23	2	4	29
NPS	6	13	1	3	16
OIG	1	3	1	1	4
OS	2	8	1	1	9
OSM	0	0	N/A	N/A	0
SOL	0	0	N/A	N/A	0
USGS	1	3	1	1	4
AGENCY OVERALL	29	88	1	7	103

VIII.B. REQUESTS FOR FEE WAIVER

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
BIA	26	9	2	7
BLM	128	9	0	3
BOEM	5	0	1	2
BOR	10	3	1	2
BSEE	6	0	1	2
FWS	240	4	1	103
NPS	62	12	1	5
OIG	7	0	1	1
OS	7	3	1	21
OSM	5	0	31	25
SOL	1	0	1	0
USGS	73	1	1	2
AGENCY OVERALL	570	41	1	44

IX. FOIA PERSONNEL AND COSTS

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
BIA	5	29.98	34.98	\$2,627,356.77	\$88,419.07	\$2,715,775.84
BLM	19	4.5	23.5	\$2,737,608.42	\$36,500.00	\$2,774,108.42
BOEM	1	3.3	4.3	\$530,138.05	\$5,943.00	\$536,081.05
BOR	1	6.15	7.15	\$748,753.90	\$3,000.00	\$751,753.90
BSEE	4	2.95	6.95	\$631,817.70	\$9,280.00	\$641,097.70
FWS	11	18.31	29.31	\$2,810,226.43	\$81,060.00	\$2,891,286.43
NPS	2	7.35	9.35	\$137,398.09	\$264,965.00	\$402,363.09
OIG	4	0	4	\$396,813.80	\$0.00	\$396,813.80
OS	11	2	13	\$1,322,762.75	\$0.00	\$1,322,762.75
OSM	1	0.49	1.49	\$139,299.68	\$218,680.00	\$357,979.68
SOL	1	0.03	1.03	\$3,788,273.51	\$1,199,339.00	\$4,987,612.51
USGS	4	0.25	4.25	\$451,260.90	\$15,825.00	\$467,085.90
FOIA/PA Appeals	1	0.9	1.9	\$500,000.00	\$22,000.00	\$522,000.00
AGENCY OVERALL	65	76.21	141.21	\$16,821,710.00	\$1,945,011.07	\$18,766,721.07

X. FEES COLLECTED FOR PROCESSING REQUESTS

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
BIA	\$27,160.55	1.03%
BLM	\$15,151.05	0.55%
BOEM	\$3,945.25	0.74%
BOR	\$23,265.15	3.11%
BSEE	\$4,211.20	0.67%
FWS	\$14,099.71	0.50%
NPS	\$10,088.96	7.34%
OIG	\$0.00	0.00%
OS	\$7,622.70	0.58%
OSM	\$0.00	0.00%
SOL	\$1,113.15	0.03%
USGS	\$1,143.75	0.25%
AGENCY OVERALL	\$107,801.47	0.64%

XI.A. NUMBER OF TIMES SUBSECTION (C) USED

Agency / Component	Number of Times Subsection (C) Used
DOI	0
AGENCY OVERALL	0

XI.B. NUMBER OF SUBSECTION (A)(2) POSTINGS

Agency / Component	Number of (a)(2) Records Posted by the FOIA Office	Number of (a)(2) Records Posted by Program Offices
BIA	30	50
BLM	4969	25000
BOEM	0	8250
BOR	0	2600
BSEE	1	400
FWS	0	1000
NPS	104	6000
OIG	0	5
OS	78	800
OSM	0	400
SOL	0	0
USGS	0	3750
AGENCY OVERALL	5182	48255

XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
BIA	110	N/A
BLM	153	N/A
BOEM	17	N/A
BOR	7	N/A
BSEE	22	N/A
FWS	147	N/A
NPS	112	N/A
OIG	16	5
OS	33	N/A
OSM	21	N/A
SOL	17	N/A
USGS	22	N/A
FOIA/PA Appeals	0	332
AGENCY OVERALL	677	337

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
BIA	0	0	0	0
BLM	0	0	0	0
BOEM	0	6	6	0
BOR	0	0	0	0
BSEE	0	10	10	0
FWS	1	29	29	1
NPS	0	0	0	0
OIG	0	0	0	0
OS	4	34	38	0
OSM	0	2	2	0
SOL	0	0	0	0
USGS	0	11	11	0
AGENCY OVERALL	5	92	96	1

One bureau made entries in FY 16 that resulted in minor adjustments to the number of consultations it had pending at the start of the fiscal year.

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
BIA	1,872	1,892	1,816	1,877
BLM	836	852	796	837
BOEM	171	127	165	135
BOR	351	232	345	234
BSEE	254	172	243	182
FWS	1,300	1,132	1,333	1,175
NPS	812	918	846	875
OIG	212	159	215	153
OS	572	512	548	540
OSM	117	83	117	74
SOL	104	137	117	155
USGS	213	212	219	200
AGENCY OVERALL	6,814	6,428	6,760	6,437

Some bureaus made entries in FY 16 that resulted in minor adjustments to the number of requests they processed last fiscal year and/or had pending at the start of the fiscal year.

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
BIA	49	110
BLM	121	153
BOEM	19	17
BOR	6	7
BSEE	19	22
FWS	146	147
NPS	83	112
OIG	14	16
OS	37	33
OSM	10	21
SOL	33	17
USGS	18	22
AGENCY OVERALL	555	677

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
FOIA/PA Appeals	191	182	221	90
OIG	0	6	0	1
AGENCY OVERALL	191	188	221	91

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
FOIA/PA Appeals	233	332
OIG	0	5
AGENCY OVERALL	233	337

